

# A Statistical Model for a Complete Supernova Equation of State

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HEIDELBERG  
UNIVERSITY  
UNIVERSITY OF EXCELLENCE

Matthias Hempel and Jürgen Schaffner-Bielich  
Institute for Theoretical Physics

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**GIR** Graduate Program  
Hadron and Ion Research



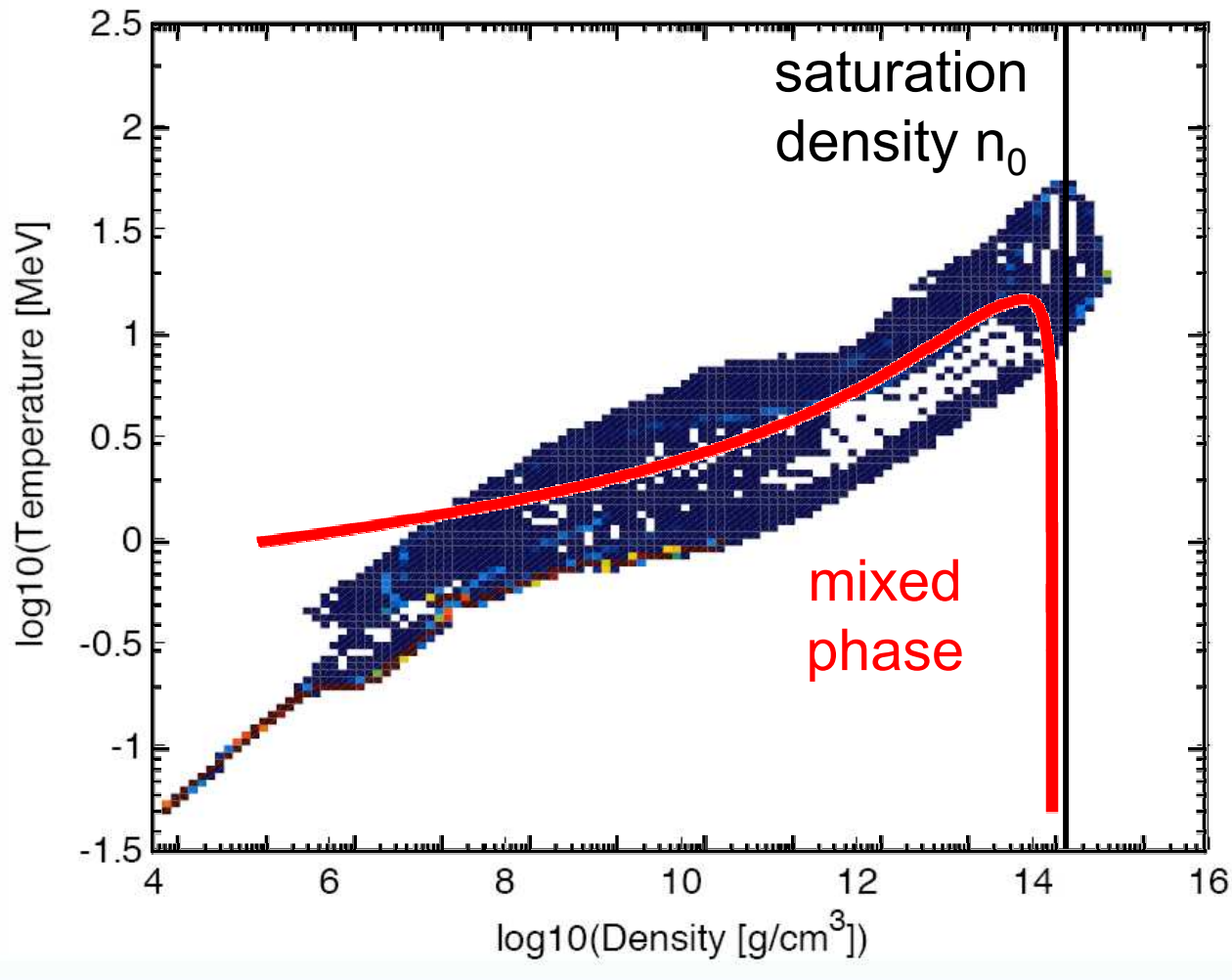
# Introduction

- EOS essential for any simulation in astrophysics
- plenty of EOSs for cold neutron stars (uniform, catalyzed matter)
- difficulties for supernova EOS:
  - finite temperature  $T = 0 - 100$  MeV
  - no weak equilibrium  $Y_p = 0 - 0.6$
  - broad density range  $\rho = 10^4 - 10^{15}$  g/cm<sup>3</sup>

→ huge parameter region

# Liquid-Gas Phase Transition in Supernovae

EoS calls during SN simulation



[Liebendörfer, Fischer et al.]

- first order phase transition below  $T_c \sim 15$  MeV
  - mixed phase with formation of nuclei
  - at  $\rho \sim 10^{11} - 10^{13}$  g/cm<sup>3</sup>: neutrino spheres
  - stall of the shock front
- special role of EOS below saturation density

# Commonly Used EOSs

- Lattimer, Swesty: non-relativistic liquid drop model  
*[Lattimer, Swesty; 1991NPA535]*
- Shen, Toki, et al.: relativistic mean-field, Thomas-Fermi approximation  
*[Shen et al.; 1998NPA637]*

## Limitations

- one representative nucleus: “single nucleus approximation”
- only  $\alpha$ -particles for light clusters
- no shell effects

# Advanced Models

Focusing on single aspects / restricted in parameter range, e.g.:

- nuclear statistical equilibrium models applied in multifragmentation experiments and astrophysics, e.g. “SMM”  
*[Botvina, Mishustin; 2004PLB233] [Blinnikov e al.; arXiv:0904.3849]*
- quantum many-body approach for medium effects on light clusters  
*[Typel, et al.; 2010PRC81] [Röpke; 2009PRC79]*
- impact of light clusters & nuclear distributions on dynamical processes in supernovae (e.g. neutrino and electron emission, absorption & scattering)  
*[Arcones et al.; 2008PRC78] [Caballero, Horowitz et al.; 2006PRC74]*

# Excluded Volume NSE Model with Interactions

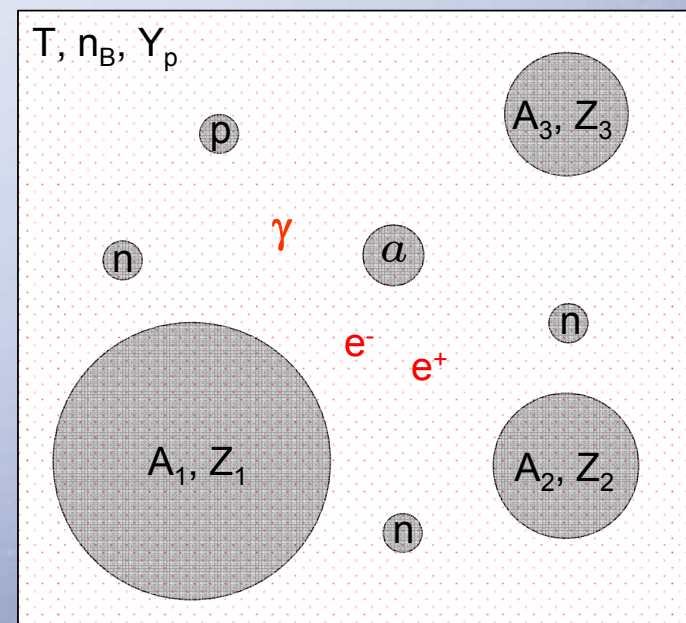
interacting nucleons and nuclei with excluded volume corrections in nuclear statistical equilibrium

new model for the SN EOS, which

- is applicable for all conditions in SN
- allows “fast” calculation of new SN EOS tables
- contains new nuclear physics aspects

→ phenomenological model

→ has to be confronted with theoretical and experimental constraints



# Nucleons

- relativistic mean-field model
- interactions mediated via exchange of mesons and meson (self-) interactions

$$\begin{aligned}\mathcal{L} = & \bar{\psi}(i\gamma^\mu\partial_\mu - M)\psi \\ & + \frac{1}{2}\partial_\mu\sigma\partial^\mu\sigma - \frac{1}{2}m_\sigma^2\sigma^2 - \frac{1}{3}g_2\sigma^3 - \frac{1}{4}g_3\sigma^4 - g_\sigma\bar{\psi}\sigma\psi \\ & - \frac{1}{4}\Omega_{\mu\nu}\Omega^{\mu\nu} + \frac{1}{2}m_\omega^2\omega_\mu\omega^\mu + \frac{1}{4}g_4(\omega_\mu\omega^\mu)^2 - g_\omega\bar{\psi}\gamma^\mu\psi\omega_\mu \\ & - \frac{1}{4}R^a{}_{\mu\nu}R^{a\mu\nu} + \frac{1}{2}m_\rho^2\rho_\mu^a\rho^{a\mu} - g_\rho\bar{\psi}\gamma_\mu\tau^a\psi\rho^{\mu a} - \Lambda\omega_\mu\omega^\mu\rho_\nu^a\rho^{a\nu}\end{aligned}$$

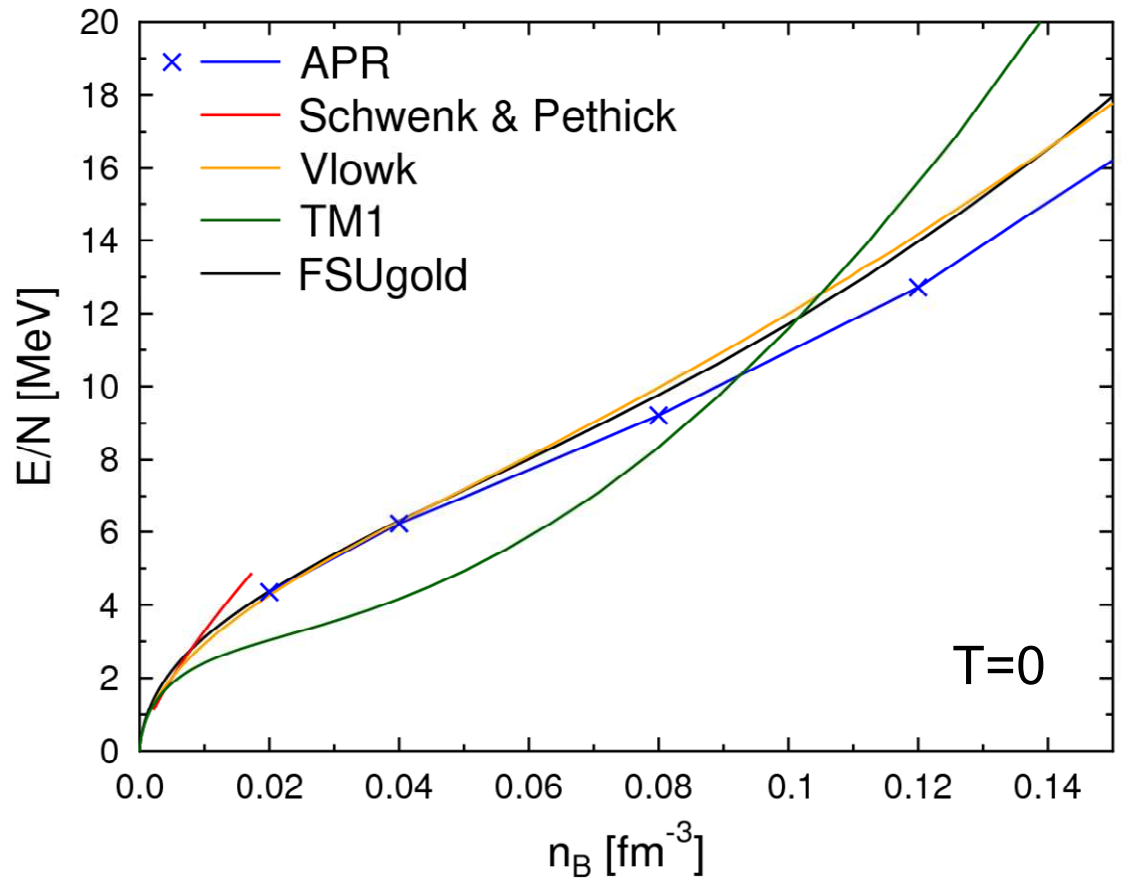
- coupling constants and meson masses fitted to experimental data

# Nucleons – Parameter Sets

- TM1: fitted to masses of heavy nuclei & EOS of relativistic BHF; used in Shen EOS  
*[Sugahara, Toki; 1994NPA579]*
- TMA: interpolation between TM1 and TM2 (fit to light nuclei)  
*[Geng et al.; 2005PTP113] [Gong et al.; 2001CoPhC136]*
- FSUgold: including coupling of the isovector  $\rho$  to the isoscalar  $\omega$  meson  
*[Todd-Rutel, Piekarewicz.; 2005PRL95]*

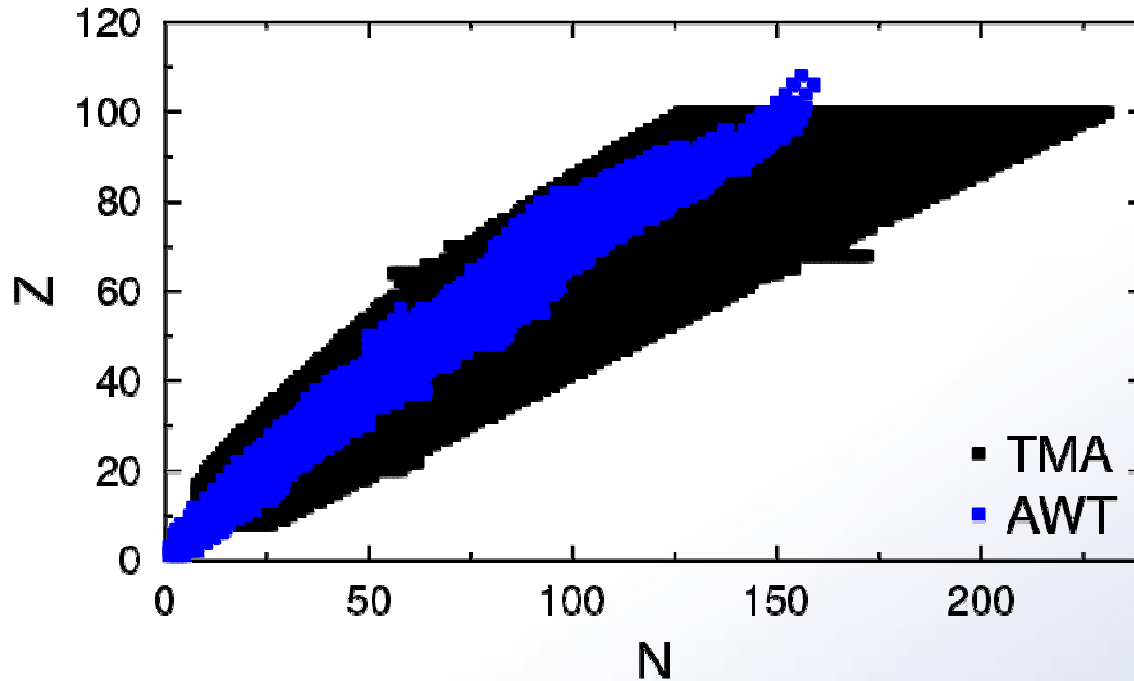
	$a_{\text{sym}}$ [MeV]	K [MeV]	$M_{\text{max}}$ [ $M_{\text{sun}}$ ]
TM1	36.9	281	2.2
TMA	30.7	318	2.0
FSUgold	32.6	230	1.7

# EOS of Pure Neutron Matter



- affects symmetry energy
- agreement of various models, e.g.:
  - S&P: model independent EFT
  - APR: variational approach based on NN potentials
  - Vlowk: low-momentum effective interaction
- important constraint for the low-density EOS
- implications for large densities
- relativistic mean-field:  $\omega$ - $\rho$ -coupling required (only included in FSUgold)

# Nuclei



- experimental data of AWT (2003):  
over 2000 precisely measured binding energies

[Audi et al.; 2003NPA729]

unknown nuclei: mass table generated with theoretical nuclear model

- TMA: [Geng et al.; 2005PTP113]
  - uses the same relativistic mean-field description
  - BCS  $\delta$ -force pairing, axial deformations, mass-number dependent coupling constants
- FRDM: [Möller et al.; 1995ADNDT59]
  - finite range droplet model
  - precise reproduction of known masses

# Nuclei

- semi-empirical formula for intrinsic partition function of hot nuclei

$$g_i(T) = g_i^0 + \frac{c_1}{A_i^{5/3}} \int_0^{B_i} dE e^{-E/T} \exp(2\sqrt{a_i E}) \quad [Fai, Randrup; 1982NPA381]$$

- Coulomb energies in Wigner-Seitz approximation

$$E_{Coul} = -\frac{3}{5} \frac{Z_i^2 \alpha}{R_i} \left( \frac{3}{2} x - \frac{1}{2} x^3 \right)$$

# Excluded Volume

model assumptions

- each baryon fills a volume  $1/n_B^0$ , volume of a nucleus  $V_{A,Z} = A/n_B^0$
- nucleons must not be inside of nuclei

- filling factor of the nucleons

$$\begin{aligned}\xi &= V'/V \\ &= 1 - \sum_{A,Z} A n_{A,Z}/n_B^0\end{aligned}$$

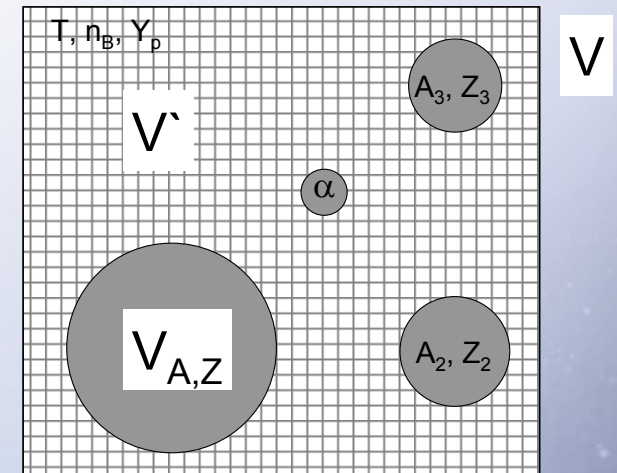
- free volume fraction

$$\kappa = 1 - n_B/n_B^0$$

- total baryon number density

$$n_B = \xi(n'_n + n'_p) + \sum_{A,Z} A n_{A,Z}$$

$n'_n, n'_p$ : local number densities



# Thermodynamics

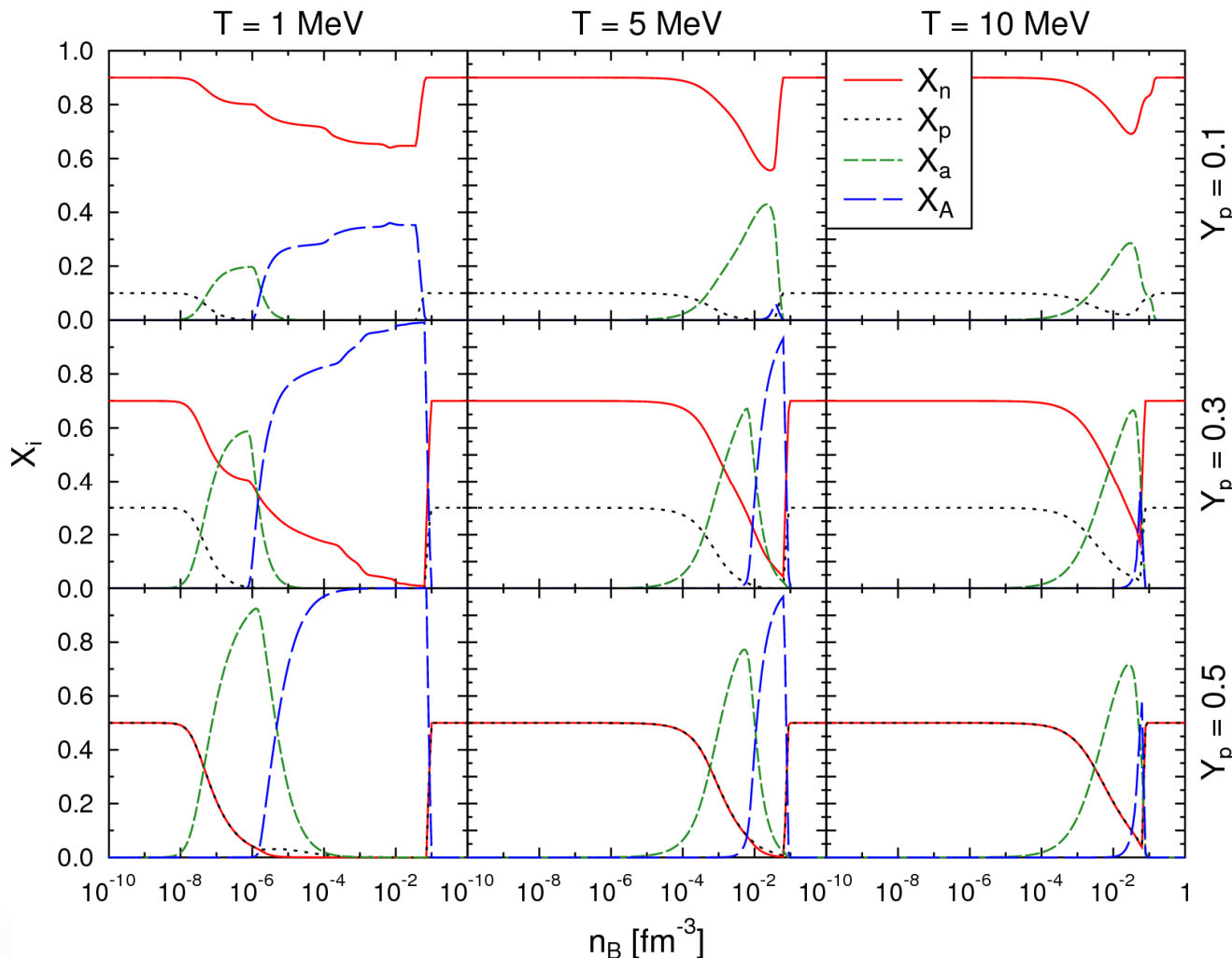
- thermodynamic potential: free energy density

$$f = f_e^0(T, n_e) + \sum_{A,Z} f_{A,Z}^0(T, n_{A,Z}) + f_{Coul}(n_e, \{n_{A,Z}\}) \\ + \xi f_{RMF}^0(T, n'_n, n'_p) - T \sum_{A,Z} n_{A,Z} \ln(\kappa),$$

ideal gas expressions, Coulomb contribution, filling factor for nucleons, direct excluded volume contribution

- medium effects on the nuclei are mimicked by excluded volume approach:
  - low fraction of nuclei,  $\xi \sim 1$ : unmodified RMF model ✓
  - $\kappa \rightarrow 0$  for  $n_B \rightarrow n_B^0 \Rightarrow f \rightarrow \infty$  if nuclei are present  
→ no nuclei above saturation density ✓
- thermodynamic fully consistent

# Composition for TMA



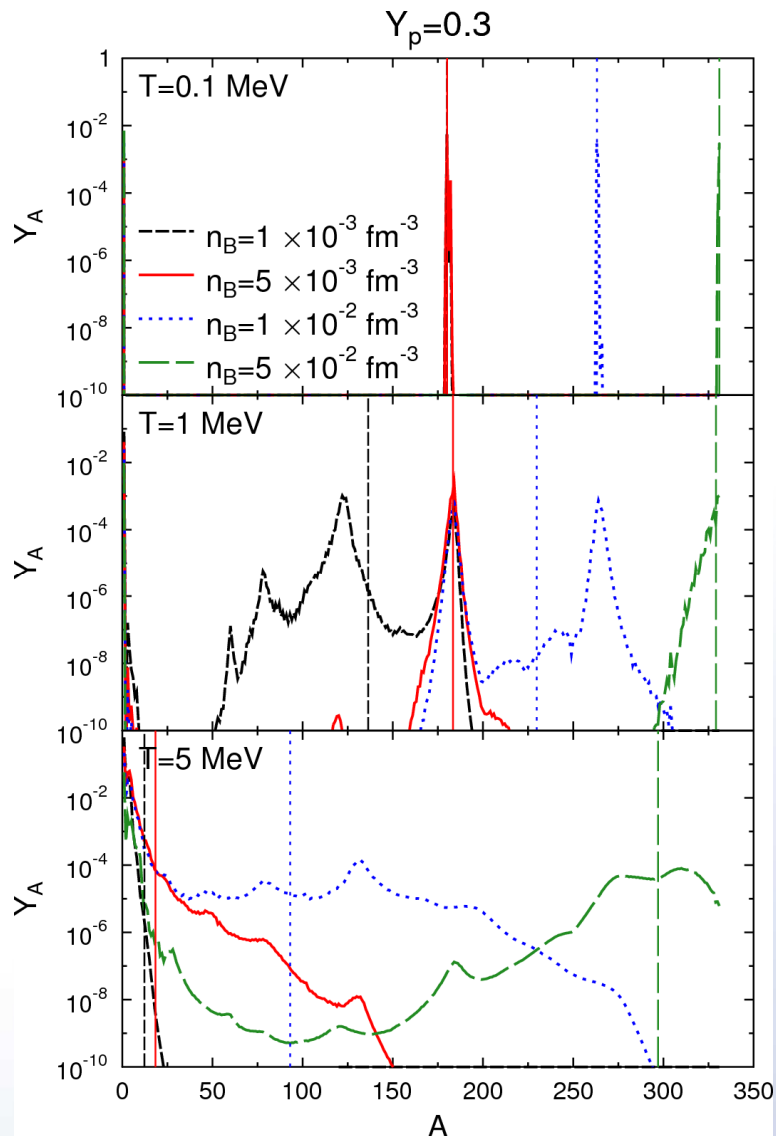
- general isothermal density dependence: nucleons  $\rightarrow$  light  $\rightarrow$  (heavies)  $\rightarrow$  uniform nuclear matter
- stepwise change for  $T=1$  MeV: narrow distributions, almost discrete change of nucleus
- continuous change for larger  $T$

- distinction of light and heavy nuclei by charge  $Z=6$ :

$$X_a = \sum_{A, Z \leq 5} A n_{A, Z} / n_B$$

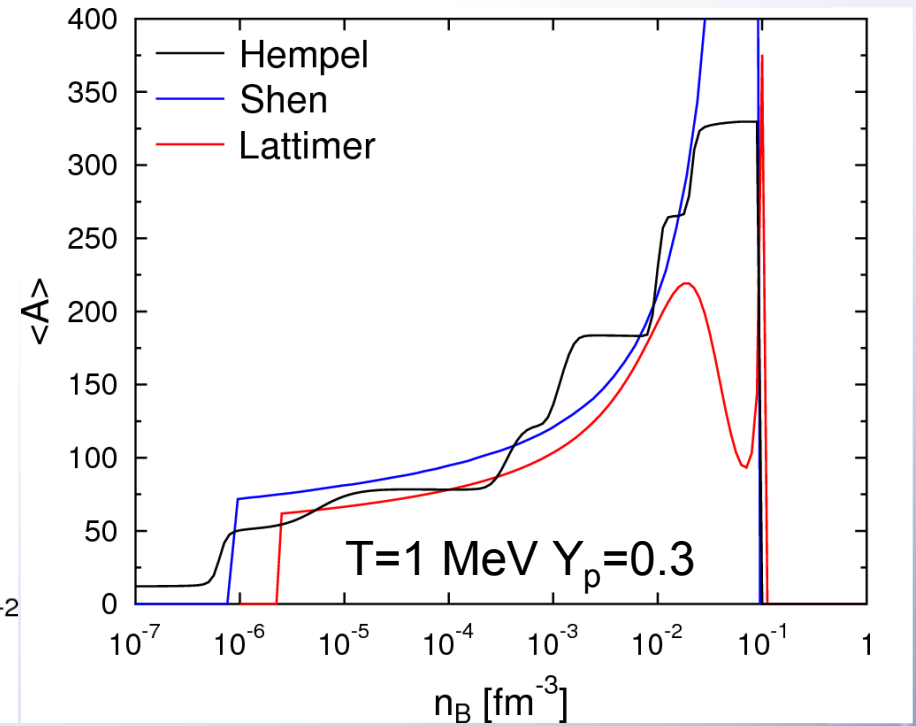
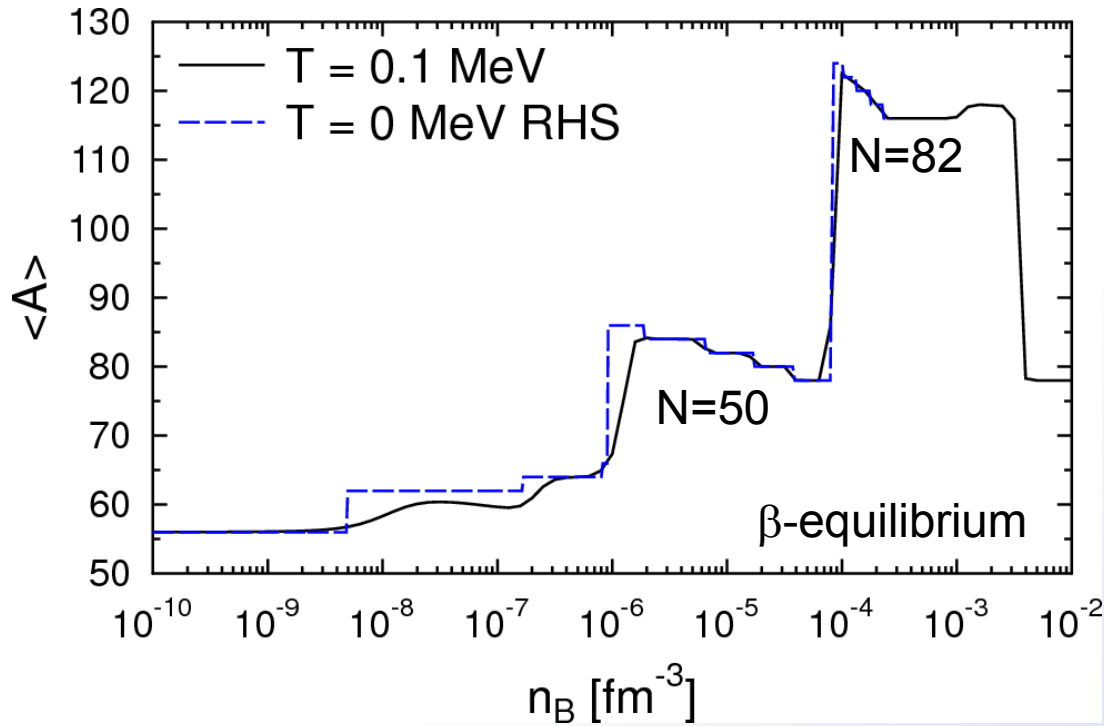
$$X_A = \sum_{A, Z \geq 6} A n_{A, Z} / n_B$$

# Nuclear Distributions



- T=0.1 MeV: only one nucleus
- peaks caused by neutron shell effects
- neutron magic numbers (40), 50, 82, 126, 184
- T=1 MeV: shell effects leading to narrow distributions with multiple peaks
- T ≥ 5 MeV:  
 broad distributions  
 exponential → power-law → u-shape  
 as manifestation of the onset of the liquid-gas phase transition

# Heavy Nuclei – Shell Effects

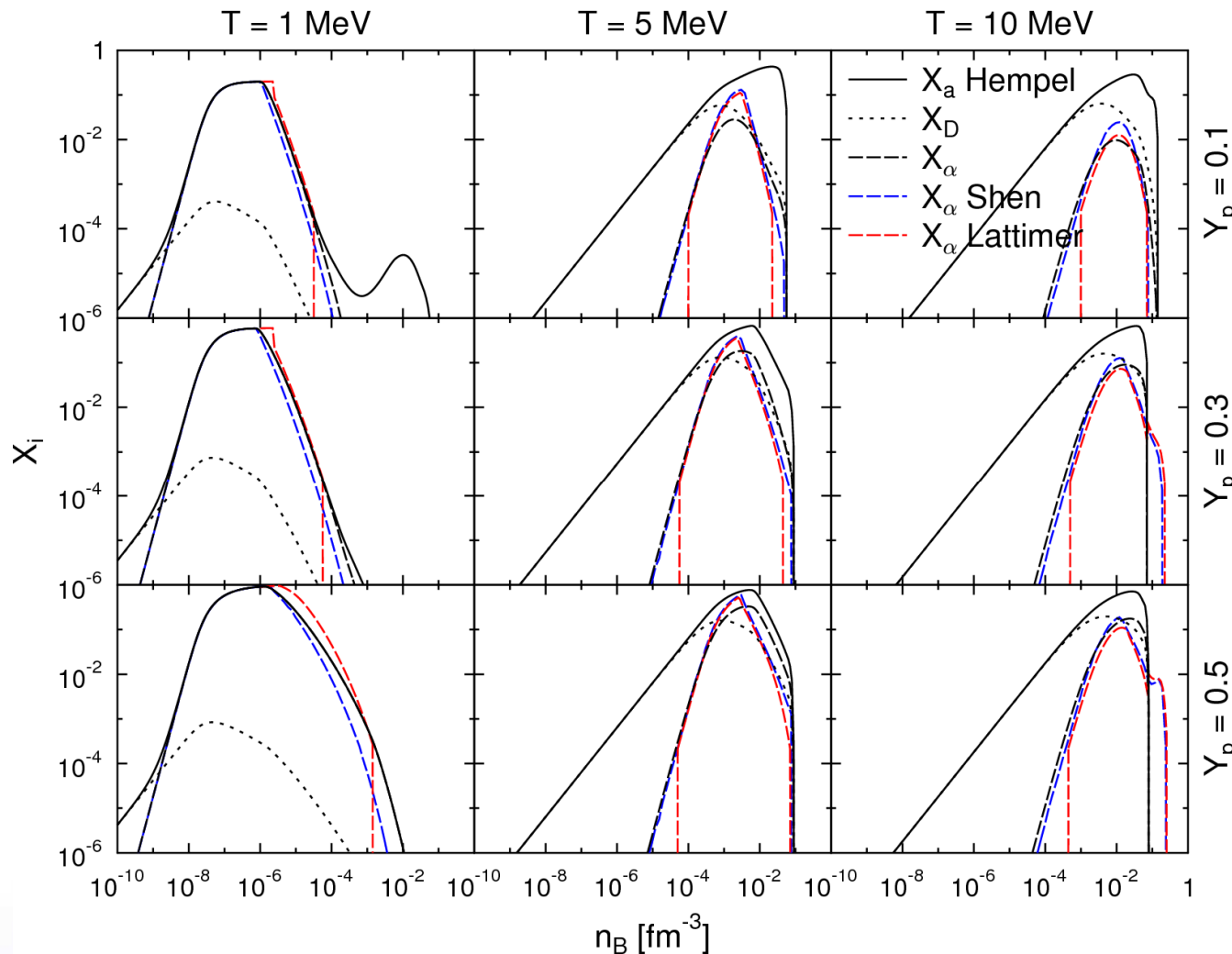


- comparison to  $T=0$  calculation in  $\beta$ -equilibrium with explicit lattice energy
- discrete changes
- up to  $10^{-4} \text{ fm}^{-3}$  based only on experimental data

→ excellent description at low temperatures and densities

[Rüster, MH, Schaffner-Bielich; 2006PRC73]

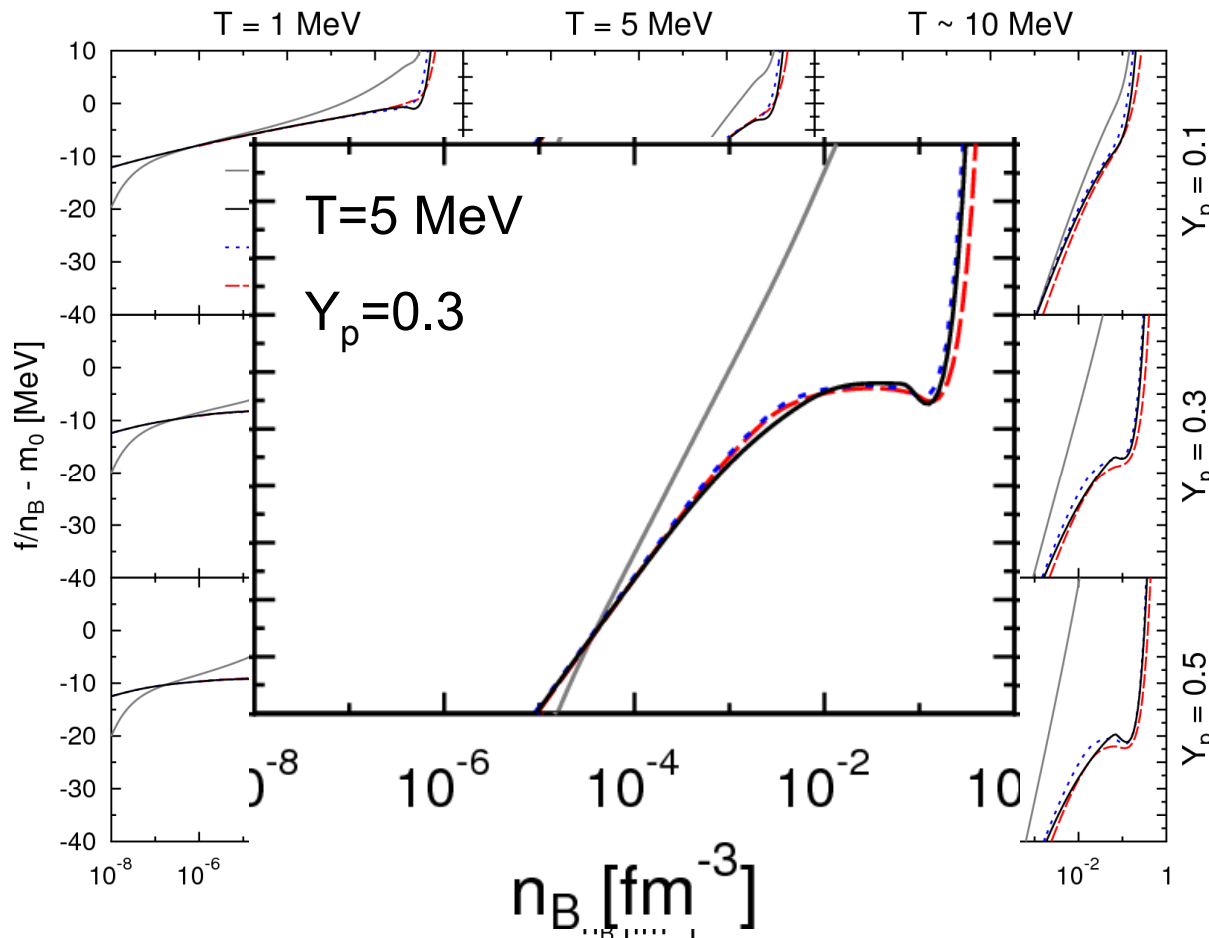
# Light Clusters



- $T=1$  MeV:  
light clusters well represented by  $\alpha$ s
- $T \geq 5$  MeV:  
large Deuteron contribution at low  $n_B$ , differences for  $\alpha$ s at large  $n_B$
- $n_B \sim 10^{-2}$  fm<sup>-3</sup>:  
whole distribution of light clusters important

- behaviour not representable by alphas alone

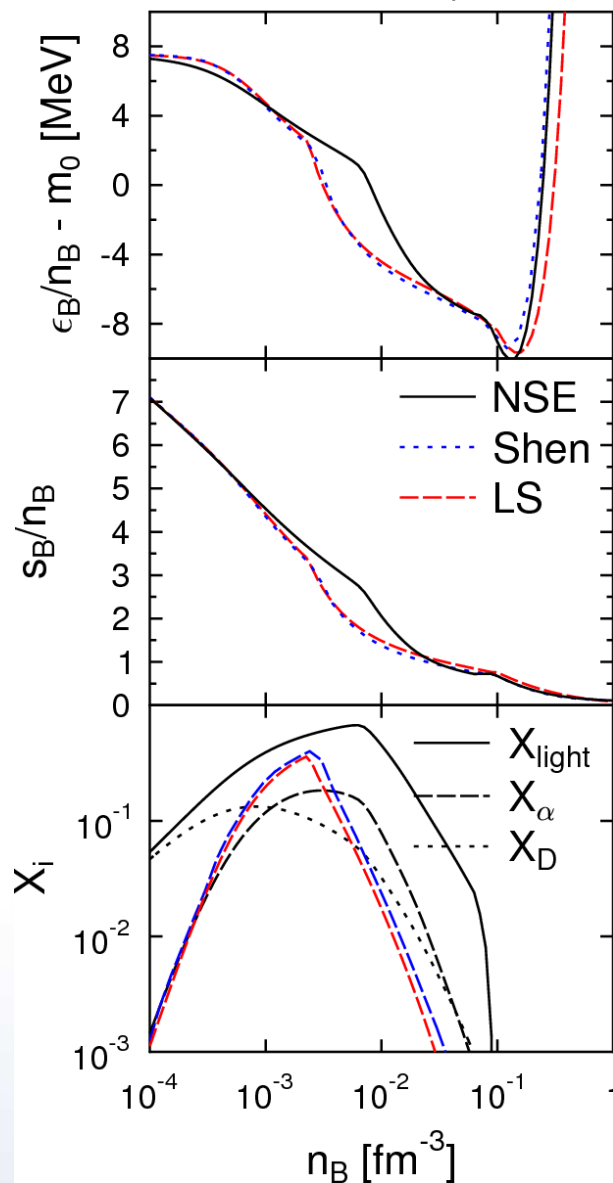
# Equation of State I



- excellent agreement at low  $T$  across all densities
- $T \geq 5$  MeV: thermal distributions lead to additional binding around  $n_B \sim 5 \times 10^{-3} \text{ fm}^{-3}$
- at  $n_B > 10^{-2} \text{ fm}^{-3}$ : restricted mass table, strong excluded volume: increased free energy

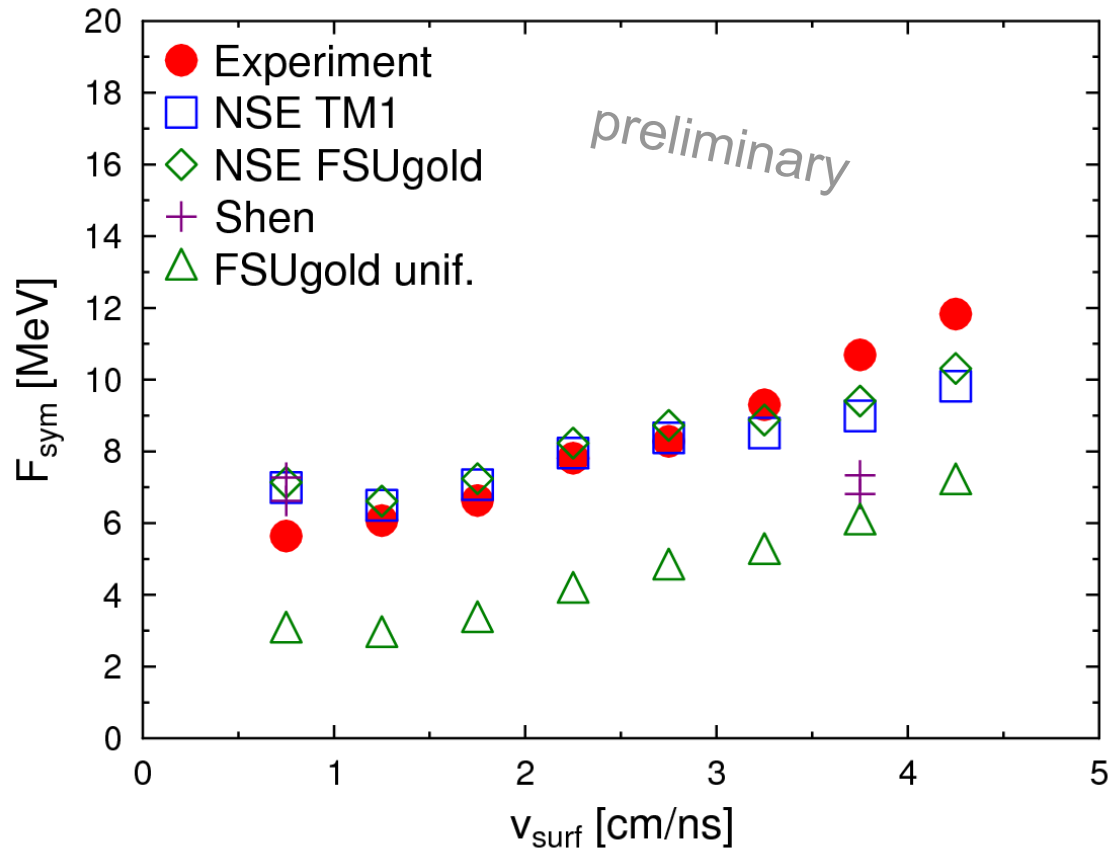
# Equation of State II

$T=5 \text{ MeV}, Y_p=0.3$



- increased entropy and energy from the light cluster distribution
- deuterons modify the EOS at low densities

# Symmetry energy – Comparison with Experimental data



- symmetry energy extracted from low-energy heavy ion collisions
- $v_{\text{surf}}$ : velocity of particles at ejection, characterizes emission time
- corresponding to different  $T=3 - 7$  MeV and  $n_B=1/100 - 1/20 n_B^0$
- cluster formation leads to increased symmetry energy

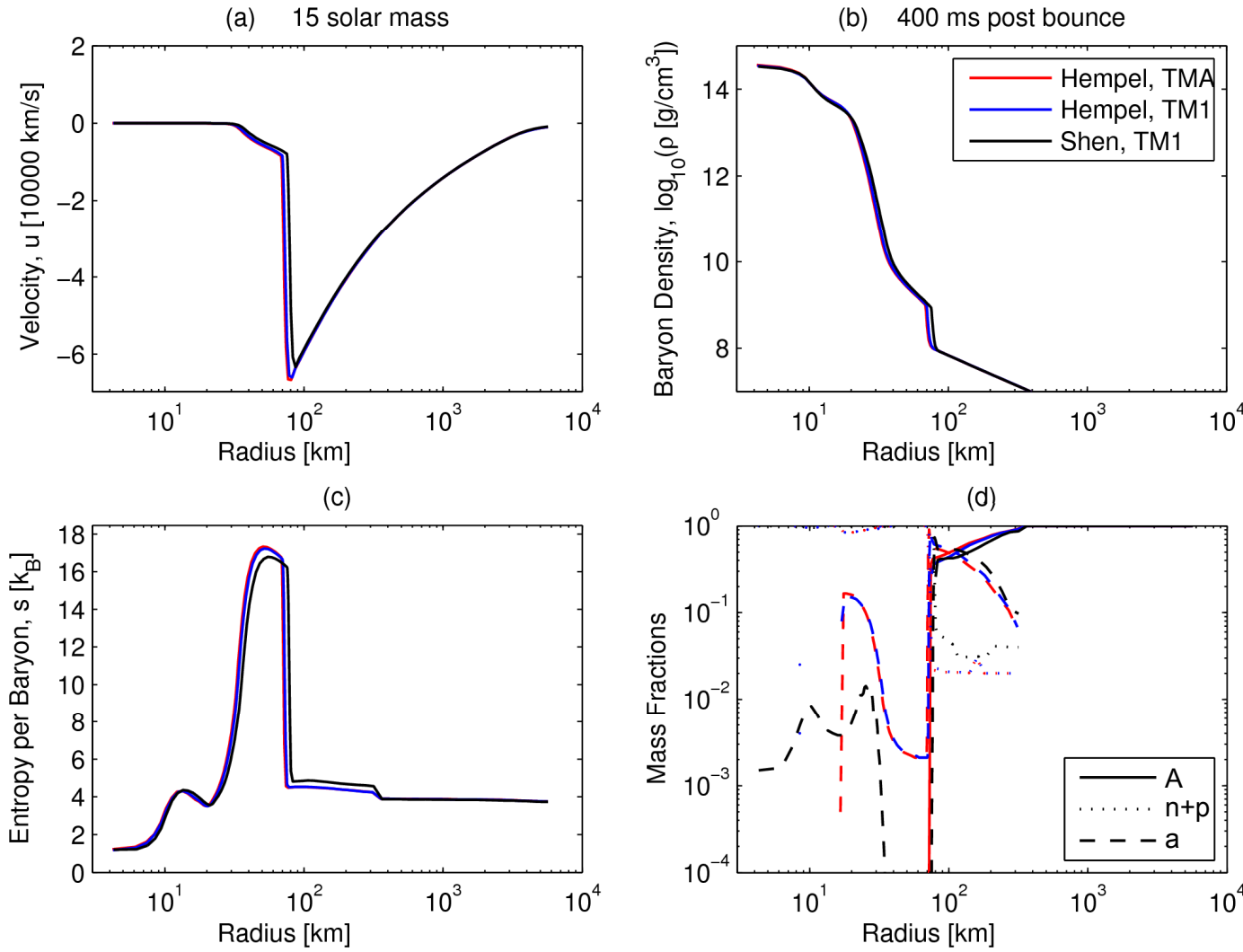
T [MeV]	3.3	3.3	3.6	4.2	4.7	5.3	6.2	7.5
$n_B [10^{-3} \text{ fm}^{-3}]$	2.1	1.7	2.3	3.8	4.7	4.9	5.5	6.4

[Kowalski et al.; 2007PRC75]

[Natowitz et al.; arXiv:1001.1102]

# Supernova simulations

collaboration with Tobias Fischer, Basel

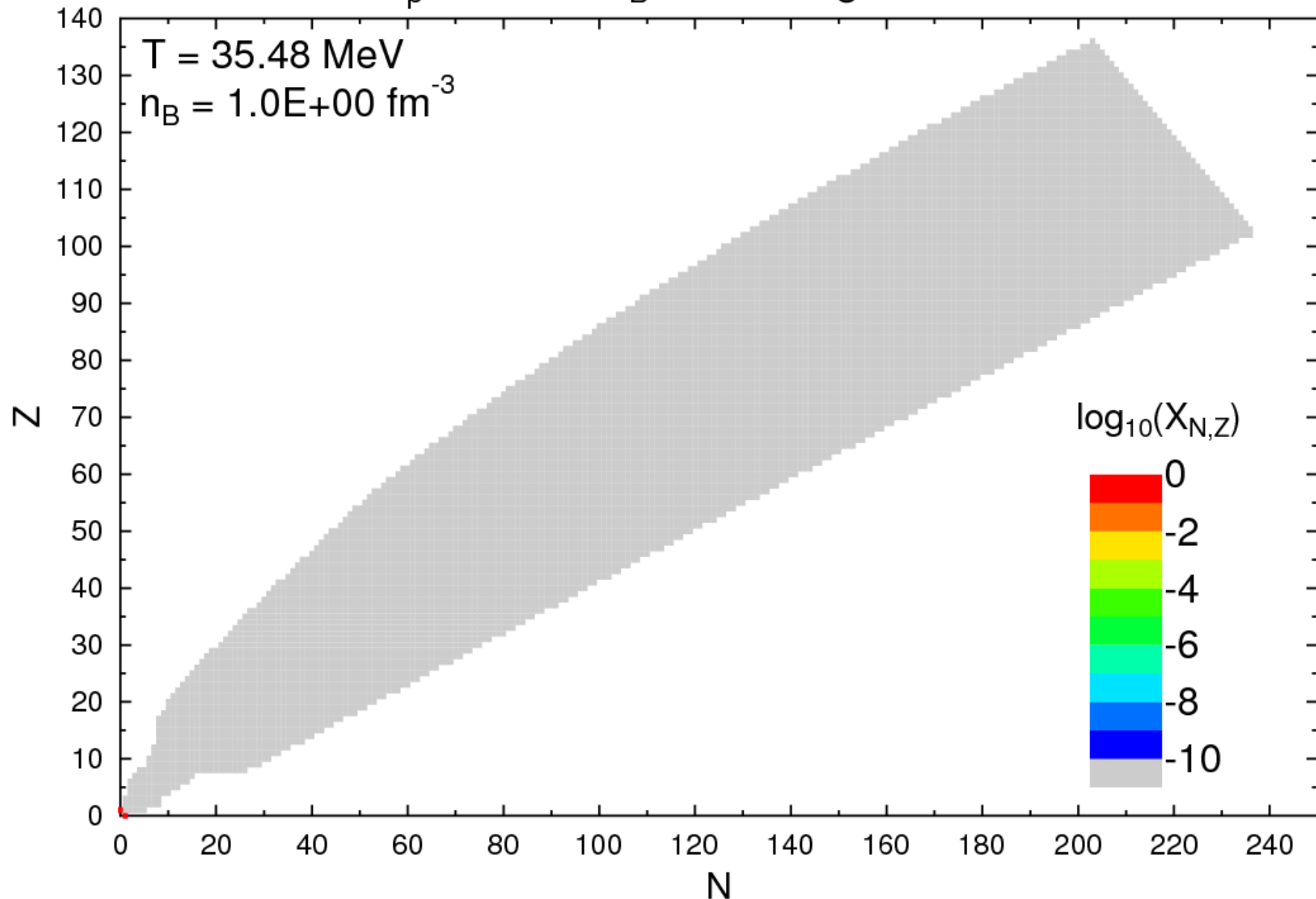


- exploratory first core-collapse SN simulations
- all light clusters treated as alpha-particles, only average heavy nucleus
- slightly more compact PNS core

→ change of the low-density model more important than change  $\text{TM1} \leftrightarrow \text{TMA}$

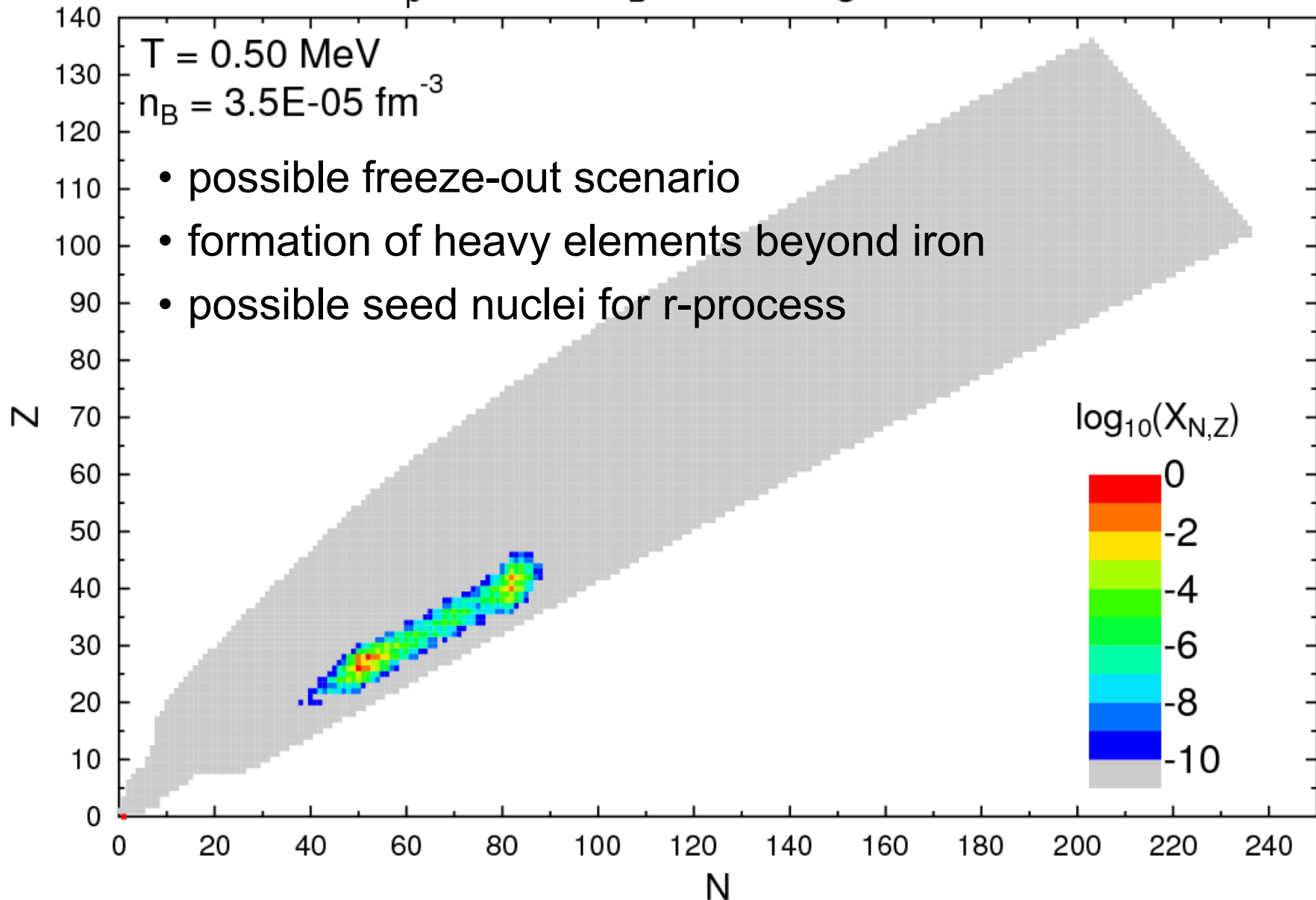
# Adiabatic Decompression without Weak Reactions

$Y_p = 0.3$     $s/n_B \sim 1$    FSUgold+FRDM



# Adiabatic Decompression without Weak Reactions

$Y_p = 0.3$     $s/n_B \sim 1$    FSUgold+FRDM



# Conclusions & Outlook

- phenomenological, thermodynamic consistent model for an ensemble of nuclei in equilibrium with an interacting nucleon gas  
→ provide EOS tables with different nuclear interactions
  - excellent description at low  $T$  and  $n_B$ ; strong shell effects
  - deviations to commonly used EOSs at large temperatures due to thermal distributions; important role of light clusters
  - good agreement with experimental measurements of symmetry energy
  - first SN simulations: model for the low-density EOS as important as the nuclear interactions → study implications further
- take distributions of nuclei in the reaction rates into account
- implement phase transition to quark matter