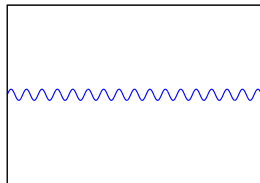
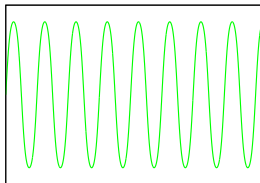
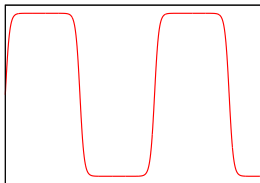


Inhomogeneous chiral symmetry breaking phases



TECHNISCHE
UNIVERSITÄT
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Stefano Carignano
Michael Buballa
Dominik Nickel

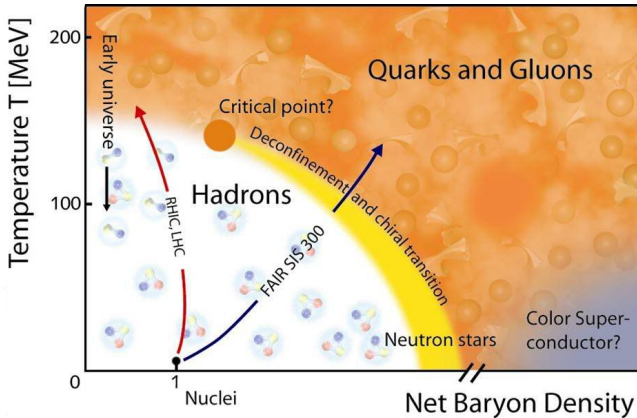


Phys.Rev. D **82**, 054009 (2010)

H-QM | Helmholtz Research School
Quark Matter Studies

HGS-HIRe for FAIR
Helmholtz Graduate School for Hadron and Ion Research

Motivation: the QCD phase diagram (so far ?)



- ▶ Start from the usual $N_f = 2$ NJL Lagrangian

$$\mathcal{L}_{NJL} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi + G_s \left((\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\tau^a\psi)^2 \right)$$

- ▶ Mean-field approximation
- ▶ Retain space dependence of the condensates

$$\langle \bar{\psi}\psi \rangle = S(\vec{x}), \quad \langle \bar{\psi}i\gamma^5\tau^a\psi \rangle = P_a(\vec{x})$$

- ▶ Mean-field Lagrangian:

$$\mathcal{L}_{MF} = \bar{\psi}(x)S^{-1}(x)\psi(x) - G_s (S(\vec{x})^2 + P(\vec{x})^2)$$

$$S^{-1} = i\gamma^\mu \partial_\mu - m + 2G_s (S(\vec{x}) + i\gamma^5\tau^a P_a(\vec{x})) \equiv \gamma^0(i\partial_0 - \mathcal{H}_{MF})$$

$$\begin{aligned}\Omega(T, \mu; S(\vec{x}), P(\vec{x})) &= -\frac{T}{V} \text{Log} \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left(\int_{x \in [0, \frac{1}{T}] \times V} (\mathcal{L}_{MF} + \mu \bar{\psi} \gamma^0 \psi) \right) \\ &= -\frac{TN_c}{V} \sum_n \text{Tr}_{D,f,V} \text{Log} \left(\frac{1}{T} (i\omega_n + \mathcal{H}_{MF} - \mu) \right) + \frac{G_s}{V} \int_V (S(\vec{x})^2 + P(\vec{x})^2)\end{aligned}$$

- If we can calculate the eigenvalues $\{E_n\}$ of \mathcal{H}_{MF} , it's

$$\Omega(T, \mu; M(\vec{x})) = -\frac{TN_f N_c}{V} \sum_{E_n} \text{Log} \left(2 \cosh \left(\frac{E_n - \mu}{2T} \right) \right) + \frac{1}{V} \int_V \frac{|M(\vec{x}) - m|^2}{4G_s}$$

Having defined $M(\vec{x}) = m - 2G_s (S(\vec{x}) + iP(\vec{x}))$

- ▶ Finding the eigenvalues of

$$\mathcal{H}_{MF} = -i\gamma^0\gamma^i\partial_i + \gamma^0 (m - 2G_s S(\vec{x}) - 2iG_s\gamma^5\tau^a P_a(\vec{x}))$$

- ▶ and minimizing the thermodynamic potential for an arbitrary $M(\vec{x})$

- ▶ Finding the eigenvalues of

$$\mathcal{H}_{MF} = -i\gamma^0 \gamma^i \partial_i + \gamma^0 (m - 2G_s S(\vec{x}) - 2iG_s \gamma^5 \tau^a P_a(\vec{x}))$$

- ▶ and minimizing the thermodynamic potential for an arbitrary $M(\vec{x})$

is a very hard job!

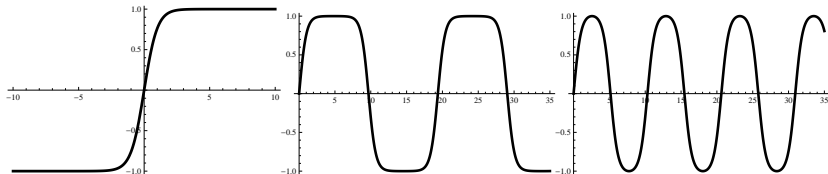
- ▶ Try to simplify the problem:
- ▶ Consider lower-dimensional modulations

One-dimensional modulations:

$$M(\vec{x}) \rightarrow M(z)$$

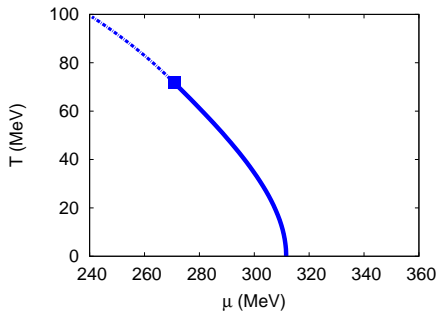
- ▶ Self-consistent real solutions known from studies of 1+1D Gross-Neveu model (M.Thies et al., Annals Phys. 314 (2004) 425-447, arXiv:hep-th/0402014)

$$M(z) = \Delta \sqrt{\nu} \operatorname{sn}(\Delta z | \nu)$$



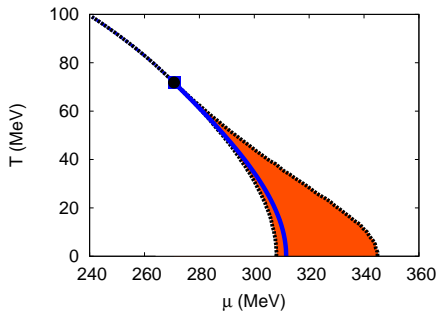
- ▶ Analytical expression for the eigenvalue spectrum of $\mathcal{H}_{MF} [M(z)]$
- ▶ Minimization of $\Omega[M(z)]$ w.r.t. two parameters (chiral limit): $\Omega(\Delta, \nu)$

Results: NJL (chiral limit)



- ▶ Homogeneous only:
- ▶ First order phase transition
- ▶ ending at a critical point

Results: NJL (chiral limit)

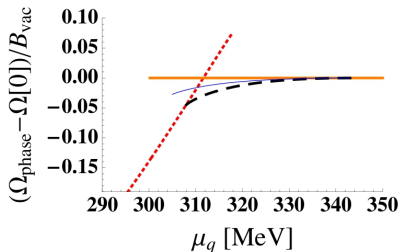


- ▶ Allow for inhomogeneous condensates:
- ▶ **First order** transition line covered by **inhomogeneous phase**
- ▶ All phase transitions are **2nd order**
- ▶ **Critical point** \rightarrow **Lifshitz point**

(D. Nickel, Phys.Rev.D80 074025, 2009 - arXiv:0906.5295)

What about pseudoscalar condensates?

- ▶ Real modulations $\rightarrow P(x) = 0$
- ▶ Solitons: $M(z) \sim \Delta\sqrt{\nu}sn(z|\nu)$
- ▶ Chiral density wave: $M(z) = \Delta e^{iqz}$
- ▶ Homogeneous broken: $M(z) = \Delta$

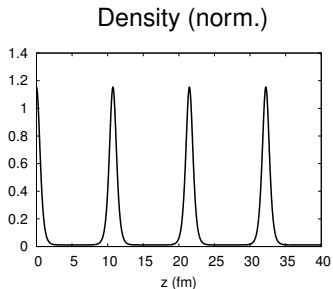
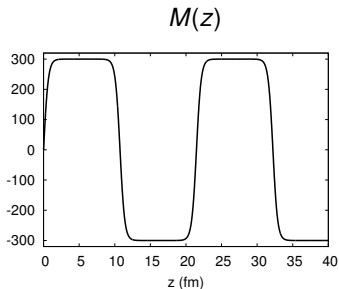


(D. Nickel, PRD 80)

- ▶ (Real) solitons are always favored over chiral density wave!

Mass and density profiles ($T = m = 0$)

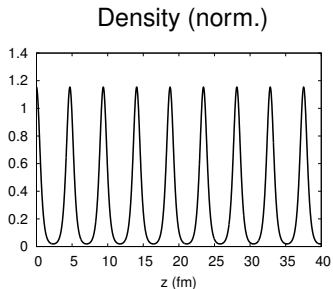
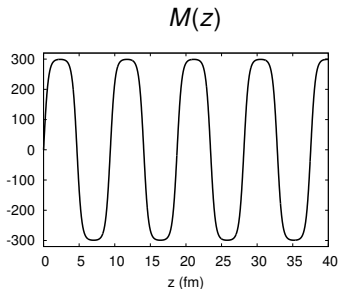
$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



$$\mu = 307.5 \text{ MeV}$$

Mass and density profiles ($T = m = 0$)

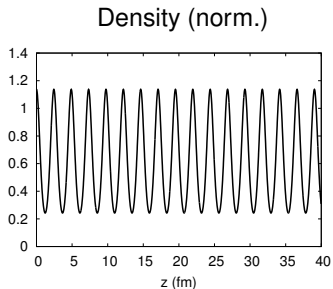
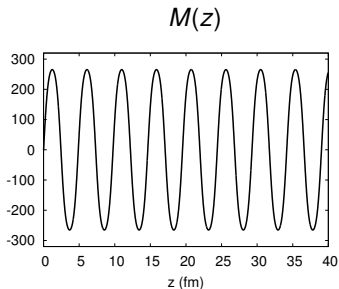
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$$\mu = 308 \text{ MeV}$$

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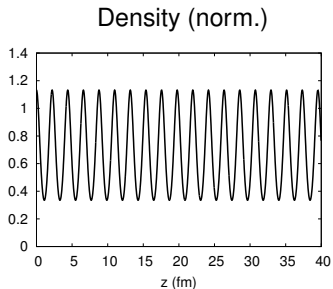
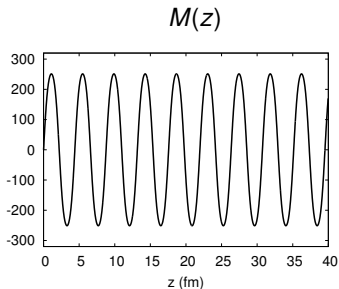
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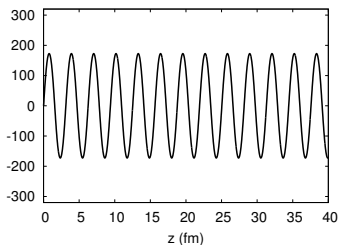


$$\mu = 310 \text{ MeV}$$

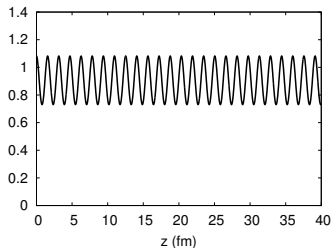
Mass and density profiles ($T = m = 0$)

$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$

$M(z)$



Density (norm.)

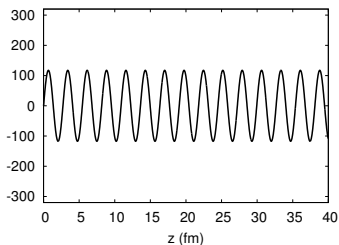


$$\mu = 320 \text{ MeV}$$

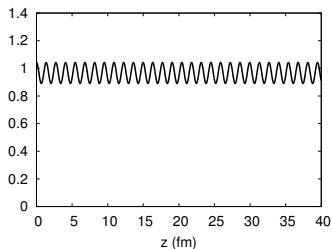
Mass and density profiles ($T = m = 0$)

$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$

$M(z)$



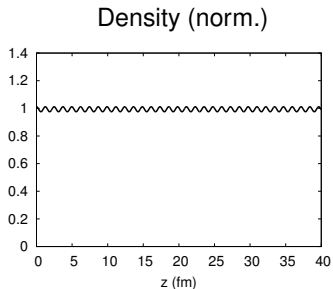
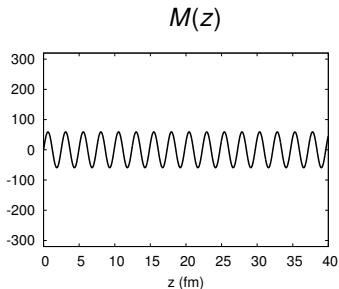
Density (norm.)



$$\mu = 330 \text{ MeV}$$

Mass and density profiles ($T = m = 0$)

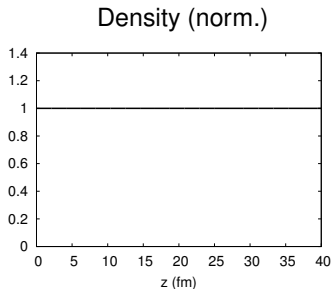
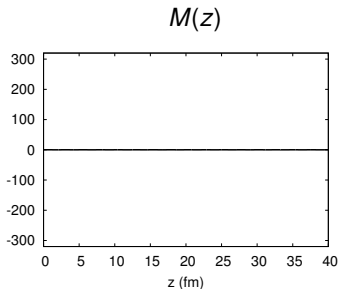
$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



$$\mu = 340 \text{ MeV}$$

Mass and density profiles ($T = m = 0$)

$$M(z) = \Delta\sqrt{\nu} \operatorname{sn}(\Delta z|\nu) = \begin{cases} \Delta \tanh(\Delta z) & \text{for } \nu \rightarrow 1 \\ \Delta\sqrt{\nu} \sin(\Delta z) & \text{for } \nu \rightarrow 0 \end{cases}$$



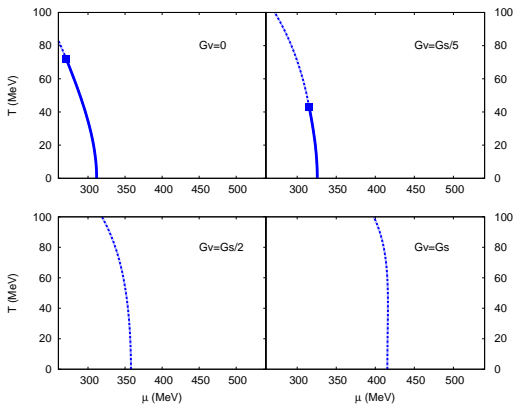
$$\mu = 345 \text{ MeV}$$



- ▶ Additional vector term: $\mathcal{L} = \mathcal{L}_{NJL} - G_V(\bar{\psi}\gamma^\mu\psi)^2$
- ▶ New mean field: $\bar{\psi}\gamma^\mu\psi \rightarrow \langle \bar{\psi}\gamma^\mu\psi \rangle \equiv n(\vec{x})\delta^{\mu 0}$ (density!)
- ▶ Introduce shifted chemical potential $\tilde{\mu}(\vec{x}) = \mu - 2G_V n(\vec{x})$
- ▶ Determine $\tilde{\mu}$ via $\frac{\delta\Omega}{\delta\tilde{\mu}} = 0$
- ▶ Sacrifice complete self-consistency: pick $\tilde{\mu} \equiv \langle \tilde{\mu} \rangle_z$ instead of $\tilde{\mu}(z)$
 - ▶ Most questionable in the inhomogeneous phase at low μ and T
 - ▶ More reliable close to the restored phase and the Lifshitz point

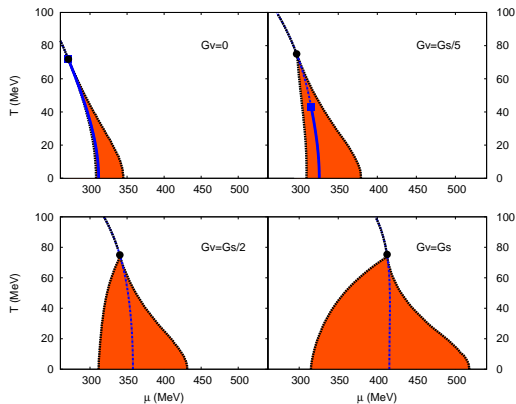
$$\Omega(T, \mu) \rightarrow \Omega(T, \tilde{\mu}) - \frac{(\mu - \tilde{\mu})^2}{4G_V}$$

Results: Vector interactions (Chiral limit)



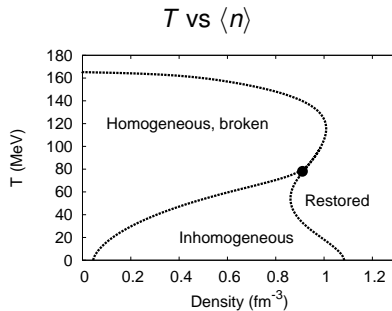
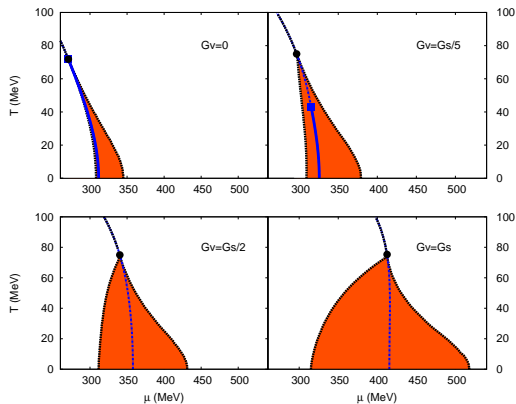
- ▶ Homogeneous:
- ▶ Shift towards higher μ
- ▶ Strong G_V -dependence of the critical point

Results: Vector interactions (Chiral limit)



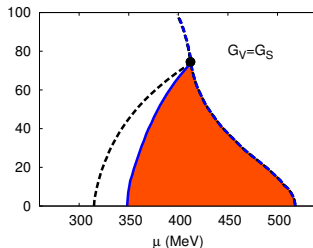
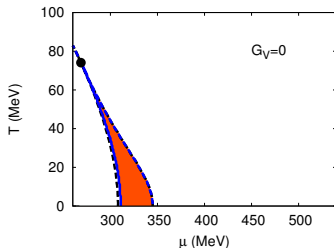
- ▶ Inhomogeneous:
- ▶ Stretch towards higher μ
- ▶ Lifshitz point at constant T
- ▶ Lifshitz and critical points split

Results: Vector interactions (Chiral limit)



G_V -independent!

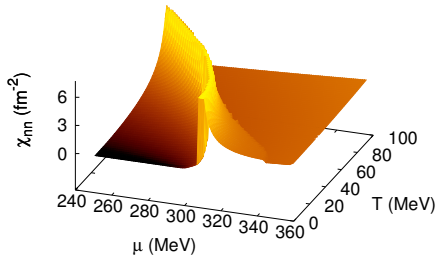
- ▶ How good is our $\tilde{\mu}(z) \rightarrow \langle \tilde{\mu}(z) \rangle$ approximation ?
- ▶ Cross-check: **Chiral spiral** $\rightarrow M(z) = \Delta e^{iqz} \rightarrow n(z) = \text{const.}$



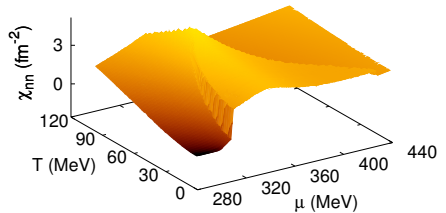
- ▶ Same qualitative behaviour as the solitonic solutions
- ▶ Lifshitz point at the same position
- ▶ Different (1st order) homogeneous \rightarrow inhomogeneous transition line

Quark number susceptibilities

$$\chi_{nn} = -\frac{\partial^2 \Omega}{\partial \mu^2} = \frac{\partial \bar{n}}{\partial \mu}$$



$G_V = 0$



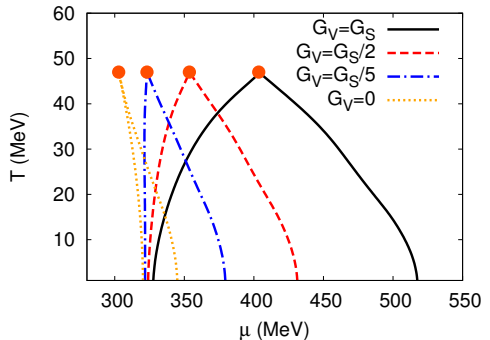
$G_V = G_S/2$

More phase diagrams: massive quarks

- ▶ Self-consistent solutions take the form

$$M(z) = \Delta \left(\sqrt{\nu} \operatorname{sn}(b|\nu) \operatorname{sn}(\Delta z|\nu) \operatorname{sn}(\Delta z + b|\nu) + \frac{\operatorname{cn}(b|\nu) \operatorname{dn}(b|\nu)}{\operatorname{sn}(b|\nu)} \right)$$

- ▶ Additional parameter: b
- ▶ Same qualitative features as $m = 0$
- ▶ Results for $m = 5$ MeV



- ▶ PNJL model:

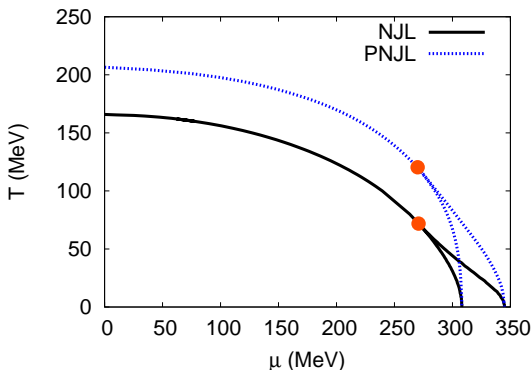
$$\mathcal{L}_{PNJL} = \bar{\psi} (i\gamma^\mu D_\mu - \hat{m}) \psi + G_s \left((\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma^5\tau^a\psi)^2 \right) - \mathcal{U}(L, \bar{L})$$

- ▶ Covariant derivative: $D_\mu = \partial_\mu + iA_0\delta_{\mu 0}$
- ▶ Polyakov loop: $L(\vec{x}) = \mathcal{P} \exp[i \int_0^{1/T} d\tau A_4(\tau, \vec{x})]$, $A_4(\tau, \vec{x}) = iA_0(t = -i\tau, \vec{x})$
- ▶ Expectation values: $\ell = \frac{1}{N_c} \langle \text{Tr}_c L \rangle$, $\bar{\ell} = \frac{1}{N_c} \langle \text{Tr}_c L^\dagger \rangle$
- ▶ **Assumption:** $\ell, \bar{\ell}$ space-time independent
- ▶ Main effect:

$$N_c T \log \left(1 + e^{-\frac{E-\mu}{T}} \right) \rightarrow T \log \left(1 + e^{-3(E-\mu)/T} + 3\ell e^{-(E-\mu)/T} + 3\bar{\ell} e^{-2(E-\mu)/T} \right)$$

- ▶ Thermally excited quarks are suppressed at small $\ell, \bar{\ell}$

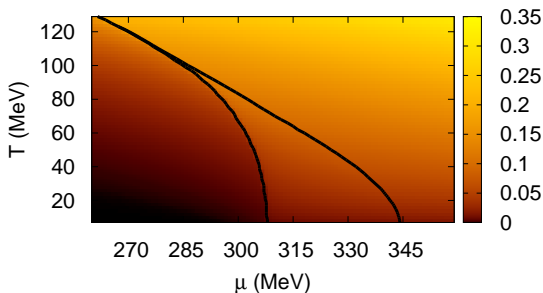
Results: PNJL (Chiral limit)



- ▶ Suppression of thermal effects
- ▶ Phase diagram stretched in T
- ▶ No qualitative change

Polyakov loop expectation value

- ▶ How good is our approximation of constant l, \bar{l} ?



- ▶ Inhomogeneous regime: $l, \bar{l} \leq 0.2$
- ▶ Effects of neglecting spatial variations of l, \bar{l} presumably small

1D Modulations: What have we learned?

- ▶ Self-consistent 1D spatial modulations can be studied by relying on analytical results from the study of the Gross-Neveu model
- ▶ Inhomogeneous 1D phases are favored over homogeneous ones in a region of the NJL phase diagram
- ▶ Extensions of the model (vector interactions, Polyakov loop) enhance the size of the inhomogeneous region
- ▶ **The phase diagram is qualitatively altered !**
- ▶ Only 2nd order phase transitions remain
- ▶ Vector interactions: $G_V > 0 \rightarrow$ CP disappears!

1D Modulations: What next?



- ▶ Relax our approximations:
 - ▶ Inhomogeneous $\tilde{\mu}$
 - ▶ Inhomogeneous Polyakov loop
- ▶ Three flavors
- ▶ Diquark pairing
- ▶ External magnetic field
- ▶ Two colors
- ▶

Higher dimensional modulations ?

- ▶ No analytical results to help us this time
- ▶ Brute force diagonalization of

$$\mathcal{H} = \gamma^0 \left[i\vec{\gamma} \cdot \vec{\partial} + m - 2G(S + i\gamma^5 P) \right]$$

- ▶ Expand $M(\vec{x})$ in a Fourier series. In momentum space:

$$\mathcal{H}_{p_{in}, p_{out}} = \begin{pmatrix} -\vec{\sigma} \cdot \vec{p}_{in} \delta_{p_{in}, p_{out}} & \sum_{\vec{q}} M_q \delta_{p_{out}, p_{in}+q} \\ \sum_{\vec{q}} M_q \delta_{p_{out}, p_{in}-q} & \vec{\sigma} \cdot \vec{p}_{in} \delta_{p_{in}, p_{out}} \end{pmatrix}$$

- ▶ The inhomogeneous condensate couples different momenta

- ▶ Assume spatial periodicity of the order parameter $M(x, y)$
- ▶ Grab your favorite Solid State Physics book
- ▶ Start exploiting the lattice symmetries:
 - ▶ $M(x, y)$ couples only momenta that differ by reciprocal lattice vectors
 - ▶ Project onto the Brillouin zone

$$\mathcal{H}_{\vec{p}_{in}, \vec{p}_{out}} = \sum_{\vec{k} \in BZ} \mathcal{H}_{\vec{q}_{in}, \vec{q}_{out}}(\vec{k}) \quad , \quad \vec{q}_{in}, \vec{q}_{out} \in RL$$

- ▶ $\mathcal{H}(k)$ becomes block-diagonal
- ▶ Diagonalize \mathcal{H} numerically, minimize Ω





We want more!

- ▶ Restrict to lower-dimensional spatial modulations
- ▶ $[H, P_{\perp}] = 0 \rightarrow$ Full spectrum from lower-dimensional eigenvalues λ
- ▶ Boost along the transverse directions:

$$(\lambda, \mathbf{0}) \rightarrow (\sqrt{p_{\perp}^2 + \lambda^2}, \mathbf{p}_{\perp})$$

$$\begin{aligned} \Omega(T, \mu; M(\vec{x})) &= -\frac{2TN_c}{V_{\parallel}} \sum_{\lambda} \int \frac{d\vec{p}_{\perp}}{(2\pi)^{d_{\perp}}} \ln \left(2 \cosh \left(\frac{\lambda \sqrt{1 + \vec{p}_{\perp}^2 / \lambda^2} - \mu}{2T} \right) \right) \\ &+ \frac{1}{V} \int_V \frac{|M(\vec{x}) - m|^2}{4G_s} + \text{const.} \end{aligned}$$

(D. Nickel, Phys.Rev.D80 074025, 2009 - arXiv:0906.5295)

- ▶ Restrict to one-dimensional modulations

$$M(\mathbf{x}) \rightarrow M(z)$$

- ▶ The Hamiltonian becomes

$$\tilde{H}_{MF} \rightarrow H'_{MF;1D} = \begin{pmatrix} H_{1D}(M(z)) & \\ & H_{1D}(M(z)^*) \end{pmatrix}$$

$$H_{1D}(M(z)) = \begin{pmatrix} -i\partial_z & M(z) \\ M(z)^* & i\partial_z \end{pmatrix} \quad \text{Gross-Neveu Hamiltonian}$$



- ▶ One of the simplest interacting fermionic field theories
- ▶ Defined in 1+1 dimensions

$$\mathcal{L}_{GN} = \bar{\psi} i \gamma^\mu \partial_\mu \psi + \frac{1}{2} g^2 (\bar{\psi} \psi)^2$$

- ▶ Hartree-Fock \rightarrow recover SUSY QM-like equation
- ▶ Real self-consistent solutions of the form

$$M(z) = \Delta \left(\nu \operatorname{sn}(b|\nu) \operatorname{sn}(\Delta z|\nu) \operatorname{sn}(\Delta z + b|\nu) + \frac{\operatorname{cn}(b|\nu) \operatorname{dn}(b|\nu)}{\operatorname{sn}(b|\nu)} \right)$$

- ▶ Eigenvalue spectrum well known

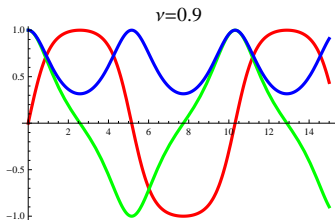
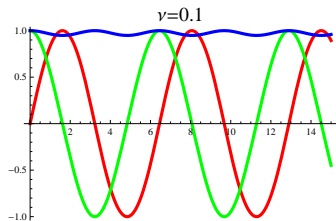
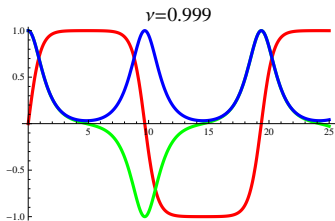
(M.Thies et al., Annals Phys. 314 (2004) 425-447, arXiv:hep-th/0402014)

Elliptic functions: $\text{sn}(z|\nu)$, $\text{cn}(z|\nu)$, $\text{dn}(z|\nu)$

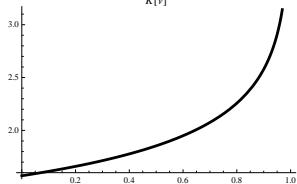
$$\nu \in [0, 1]$$



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Period $\propto K(\nu)$



$$\Omega_{MF}^{NJL}(T, \mu; \Delta, \nu, \delta) = -2N_c \int_0^\infty dE \tilde{\rho}(E; \nu, \Delta) \tilde{f}_{\text{bare}} \left(\sqrt{E^2 + \delta \Delta^2} \right) \\ + \frac{1}{4G_s P} \int_0^P dz |M(z) - m|^2 + C$$

$$\tilde{f}_{\text{bare}}(x) = \tilde{f}_{UV}(x) + \tilde{f}_{\text{medium}}(x)$$

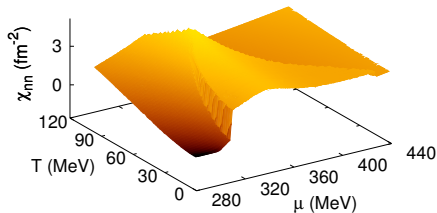
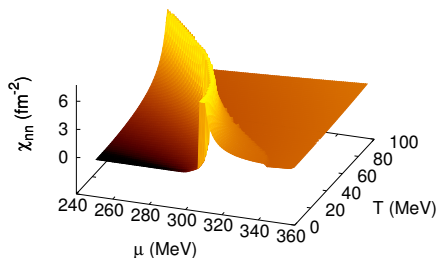
$$\tilde{f}_{UV}(x) = x$$

$$\tilde{f}_{\text{medium}}(x) = T \ln \left(1 + \exp \left(-\frac{x - \mu}{T} \right) \right) + T \ln \left(1 + \exp \left(-\frac{x + \mu}{T} \right) \right)$$

$$\tilde{f}_{UV}(x) \rightarrow \tilde{f}_{PV}(x) = \sum_{j=0}^3 c_j \sqrt{x^2 + j\Lambda^2} \quad (c_0 = 1, c_1 = -3, c_2 = 3, c_3 = -1)$$

Quark number susceptibility

$$\chi_{nn} = -\frac{\partial^2 \Omega}{\partial \mu^2} = \frac{\partial n}{\partial \mu}$$



Susceptibilities



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