

EQUATION OF STATE AND FLUCTUATIONS FROM THE LATTICE

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Lattice QCD

- Best first principle-tool to extract predictions for the theory of strong interactions in the non-perturbative regime
- Uncertainties:
 - ▣ Statistical: finite sample, error $\sim 1/\sqrt{\text{sample size}}$
 - ▣ Systematic: finite box size, unphysical quark masses
- Given enough computer power, uncertainties can be kept under control
- Results from different groups, adopting different discretizations, converge to consistent results
- Unprecedented level of accuracy in lattice data

Low temperature phase: HRG model

Dashen, Ma, Bernstein; Prakash, Venugopalan, Karsch, Tawfik, Redlich

- **Interacting** hadronic matter in the **ground state** can be well approximated by a **non-interacting** resonance gas
- The pressure can be written as:

$$p^{HRG}/T^4 = \frac{1}{VT^3} \sum_{i \in \text{mesons}} \ln Z_{m_i}^M(T, V, \mu_{X^a}) + \frac{1}{VT^3} \sum_{i \in \text{baryons}} \ln Z_{m_i}^B(T, V, \mu_{X^a})$$

where

$$\ln Z_{m_i}^{M/B} = \mp \frac{V d_i}{2\pi^2} \int_0^\infty dk k^2 \ln(1 \mp z_i e^{-\varepsilon_i/T}) ,$$

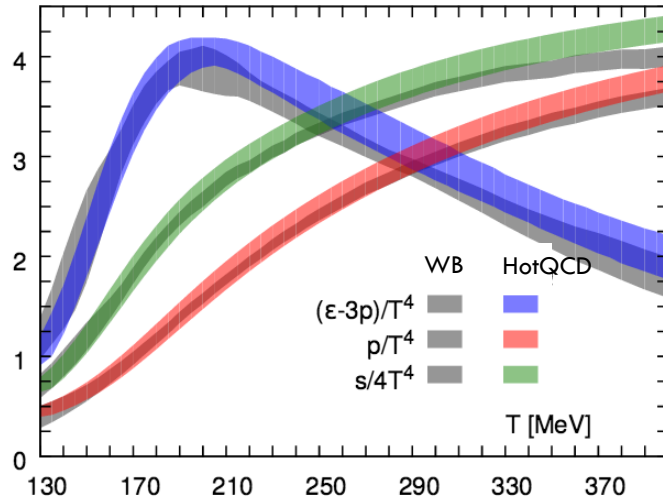
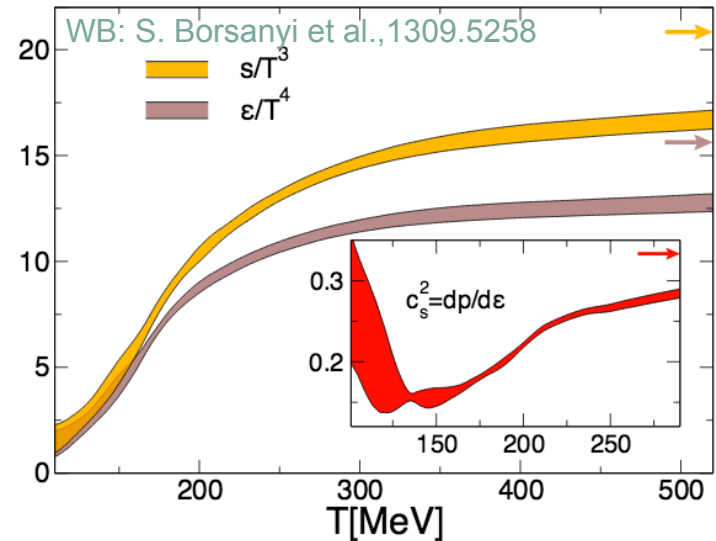
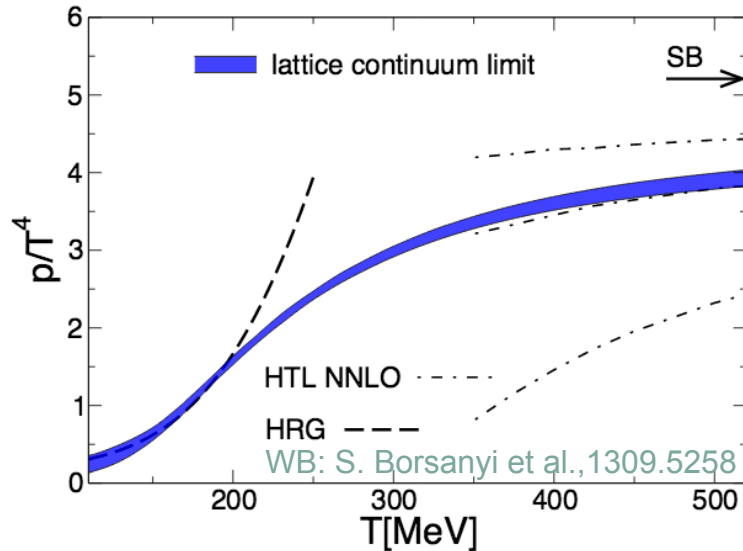
with energies $\varepsilon_i = \sqrt{k^2 + m_i^2}$, degeneracy factors d_i and fugacities

$$z_i = \exp \left(\left(\sum_a X_i^a \mu_{X^a} \right) / T \right) .$$

X^a : all possible conserved charges, including the baryon number B , electric charge Q , strangeness S .

- Needs knowledge of the hadronic spectrum

QCD Equation of state at $\mu_B=0$



- EoS available in the **continuum limit**, with realistic quark masses
- **Agreement** between **stout** and **HISQ** action for all quantities

WB: S. Borsanyi et al., 1309.5258, PLB (2014)
 HotQCD: A. Bazavov et al., 1407.6387, PRD (2014)

Sign problem

- The QCD path integral is computed by Monte Carlo algorithms which samples field configurations with a weight proportional to the exponential of the action

$$Z(\mu_B, T) = \text{Tr} \left(e^{-\frac{H_{\text{QCD}} - \mu_B N_B}{T}} \right) = \int \mathcal{D}U e^{-S_G[U]} \det M[U, \mu_B]$$

- $\det M[\mu_B]$ complex \rightarrow Monte Carlo simulations are not feasible
- We can rely on a few approximate methods, viable for small μ_B/T :
 - ▣ Taylor expansion of physical quantities around $\mu_B=0$ (Bielefeld-Swansea collaboration 2002; R. Gavai, S. Gupta 2003)
 - ▣ Simulations at imaginary chemical potentials (plus analytic continuation) (Alford, Kapustin, Wilczek, 1999; de Forcrand, Philipsen, 2002; D'Elia, Lombardo 2003)

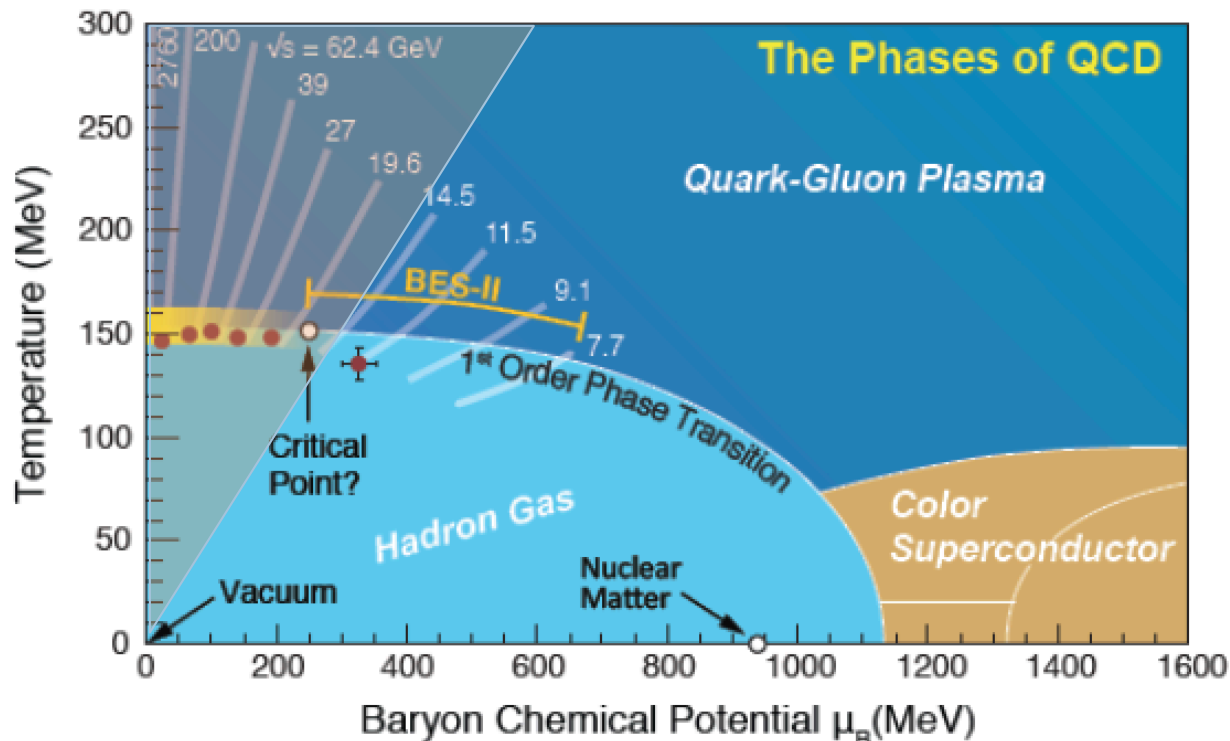
Equation of state as a Taylor expansion in μ_B

- Notation:

$$\hat{\mu}_B \equiv \mu_B/T \quad \hat{p} \equiv p/T^4 \quad \hat{n} \equiv n_B/T^3 \quad \hat{s} \equiv s/T^3$$

- Taylor expansion for the pressure:

$$\hat{p} = c_0(T) + c_2(T) \cdot \hat{\mu}_B^2 + c_4(T) \cdot \hat{\mu}_B^4 + c_6(T) \cdot \hat{\mu}_B^6 + \dots$$



Physics at imaginary μ

- At imaginary μ there is no sign problem
- The partition function is periodic in μ_I with period $2\pi T$

$$Z = \text{Tr} \left(e^{-\beta \hat{H} + i\beta \mu_I \hat{N}} \right)$$

- For more chemical potentials: μ_B , μ_Q , μ_S , several trajectories are possible \rightarrow useful for different physics
 - Here we use:

$$\langle n_S \rangle = 0$$

$$\langle n_Q \rangle = 0.4 \langle n_B \rangle$$

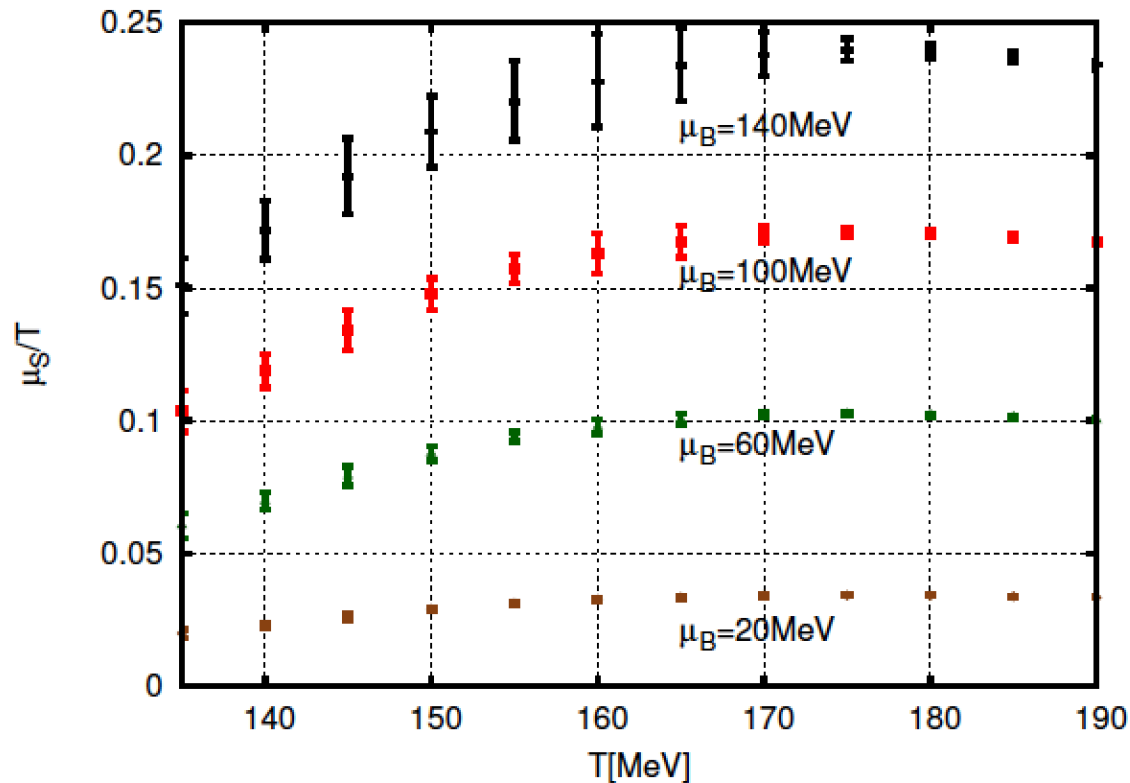
- Other choices are possible, e.g.:

$$\mu_S = 0$$

$$\mu_Q = 0$$

Strangeness neutrality

- We simulate at μ_B, μ_S pairs such that $\langle n_S \rangle = 0$
- This requires a non-trivial fine tuning



Thermodynamic identities

- For the pressure we measure:

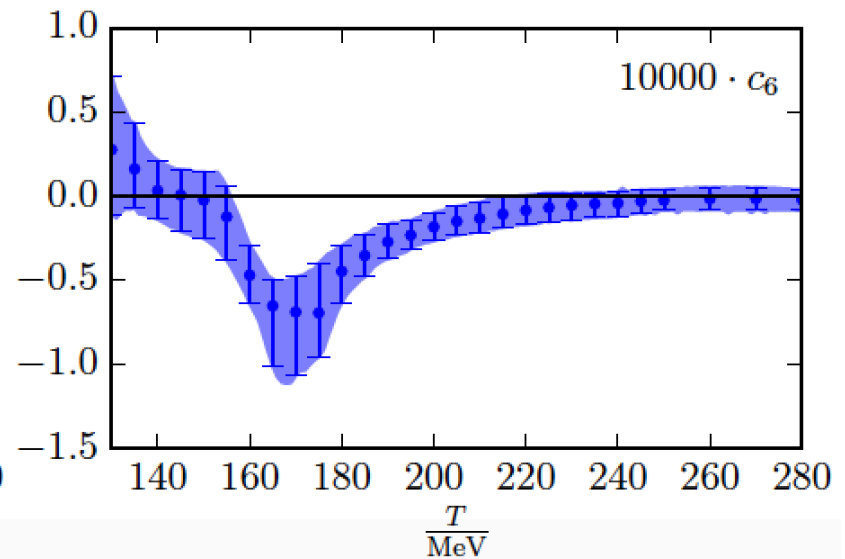
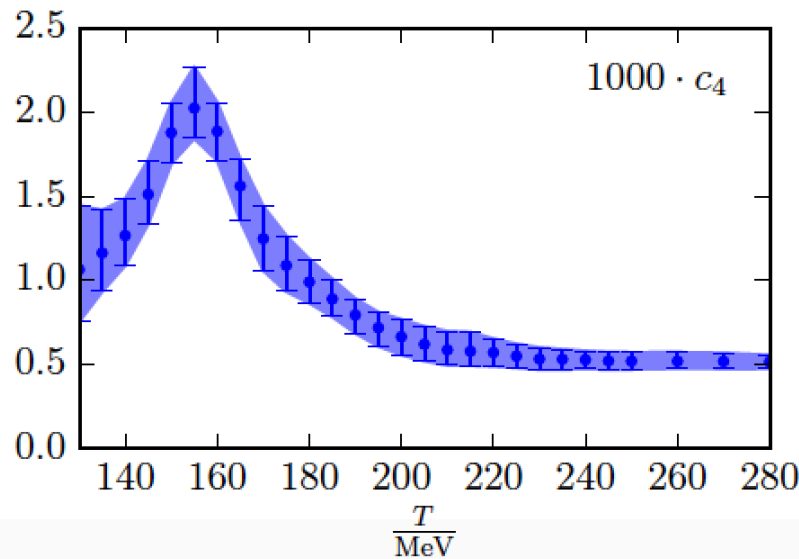
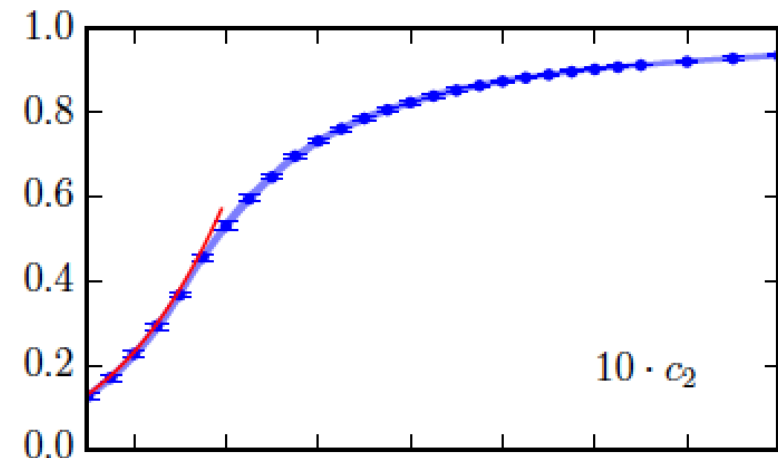
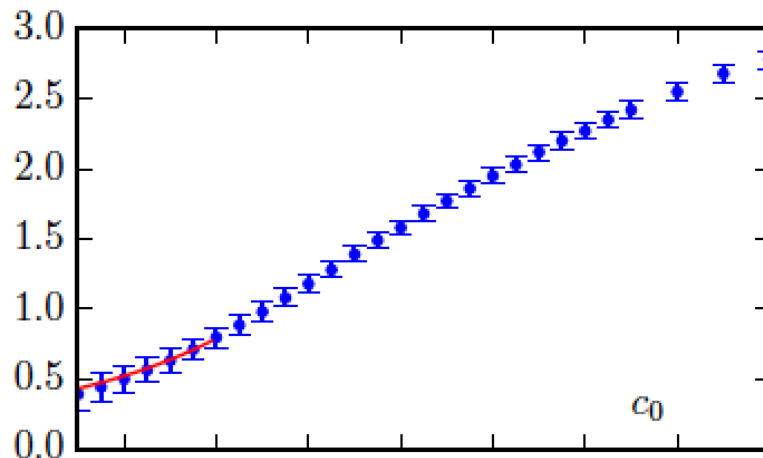
$$\frac{n}{\mu_B T^2} = \frac{T}{\mu_B} \frac{d(p/T^4)}{d(\mu_B/T)} \Big|_{\langle n_S \rangle=0, \langle n_Q \rangle=0.4\langle n_B \rangle, T=\text{const.}}$$
$$= n_B \left(1 + 0.4 \frac{d\mu_Q}{d\mu_B} \right) = 2c_2 + 4c_4 \left(\frac{\mu_B}{T} \right)^2 + 6c_6 \left(\frac{\mu_B}{T} \right)^4 + \dots$$

- For the entropy and energy:

$$s = [T^4 \partial / \partial T + 4T^3](p/T^4)$$

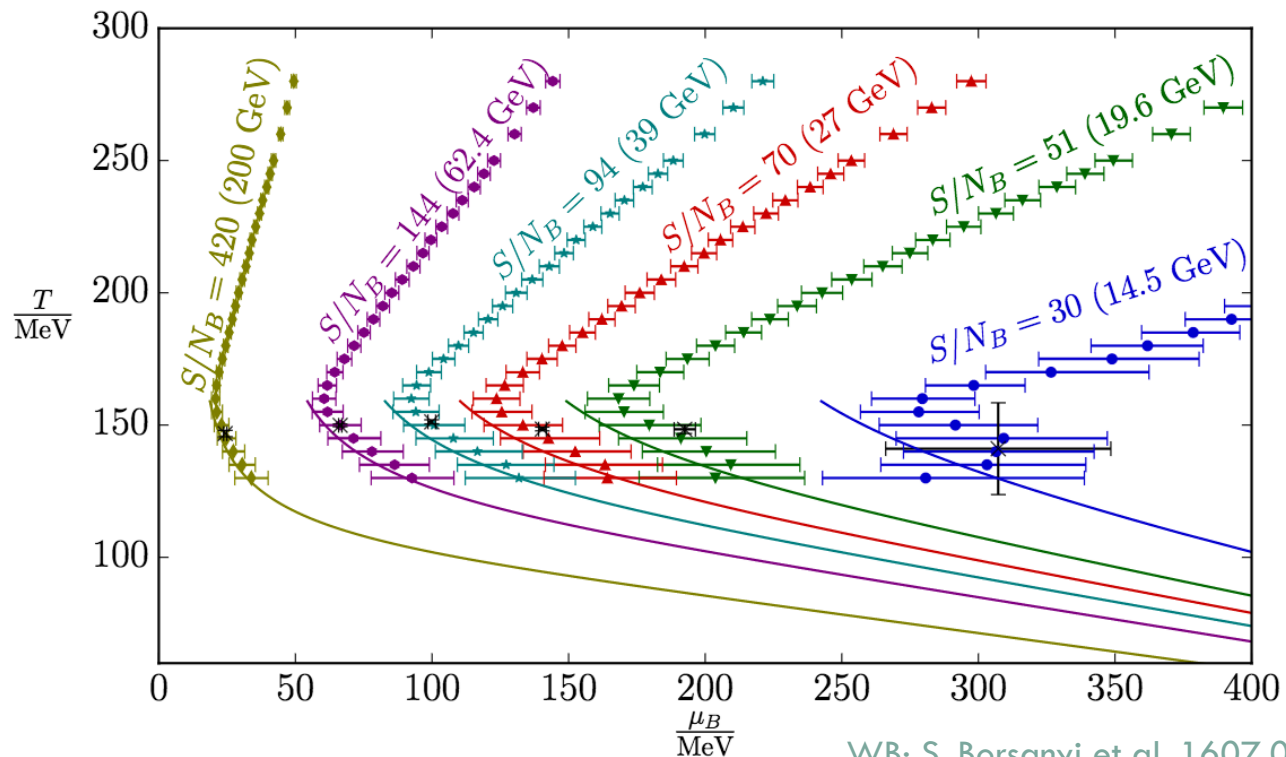
$$\hat{\epsilon} = \hat{s} - \hat{p} + \hat{\mu}_Q \hat{n}_Q + \hat{\mu}_B \hat{n}_B$$

Taylor expansion of the pressure



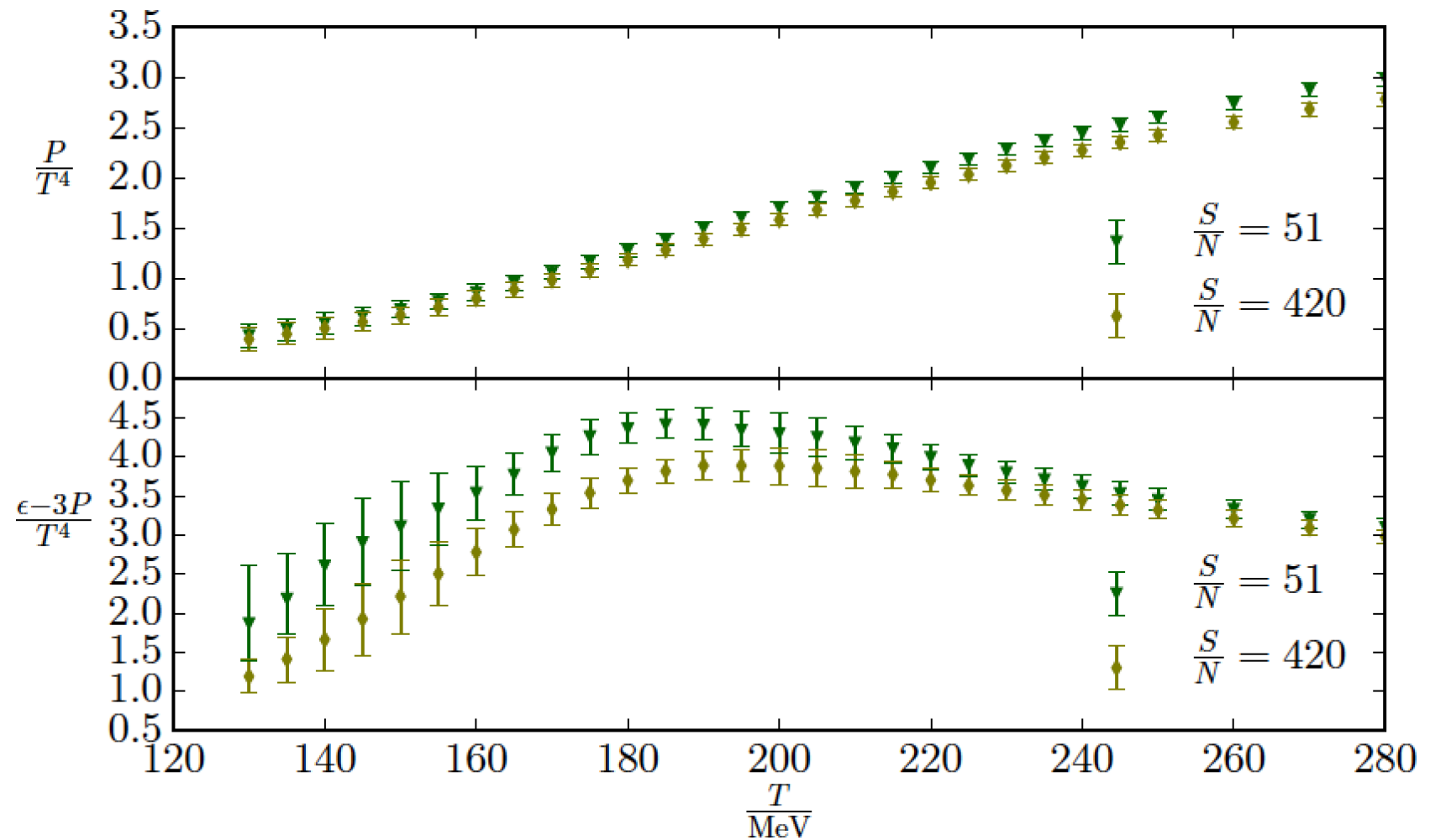
Equation of state at $\mu_B > 0$

- Extract the isentropic trajectory that the system follows in the absence of dissipation
- The freeze-out point estimates are from Alba et al., Phys. Lett. B738 (2014)

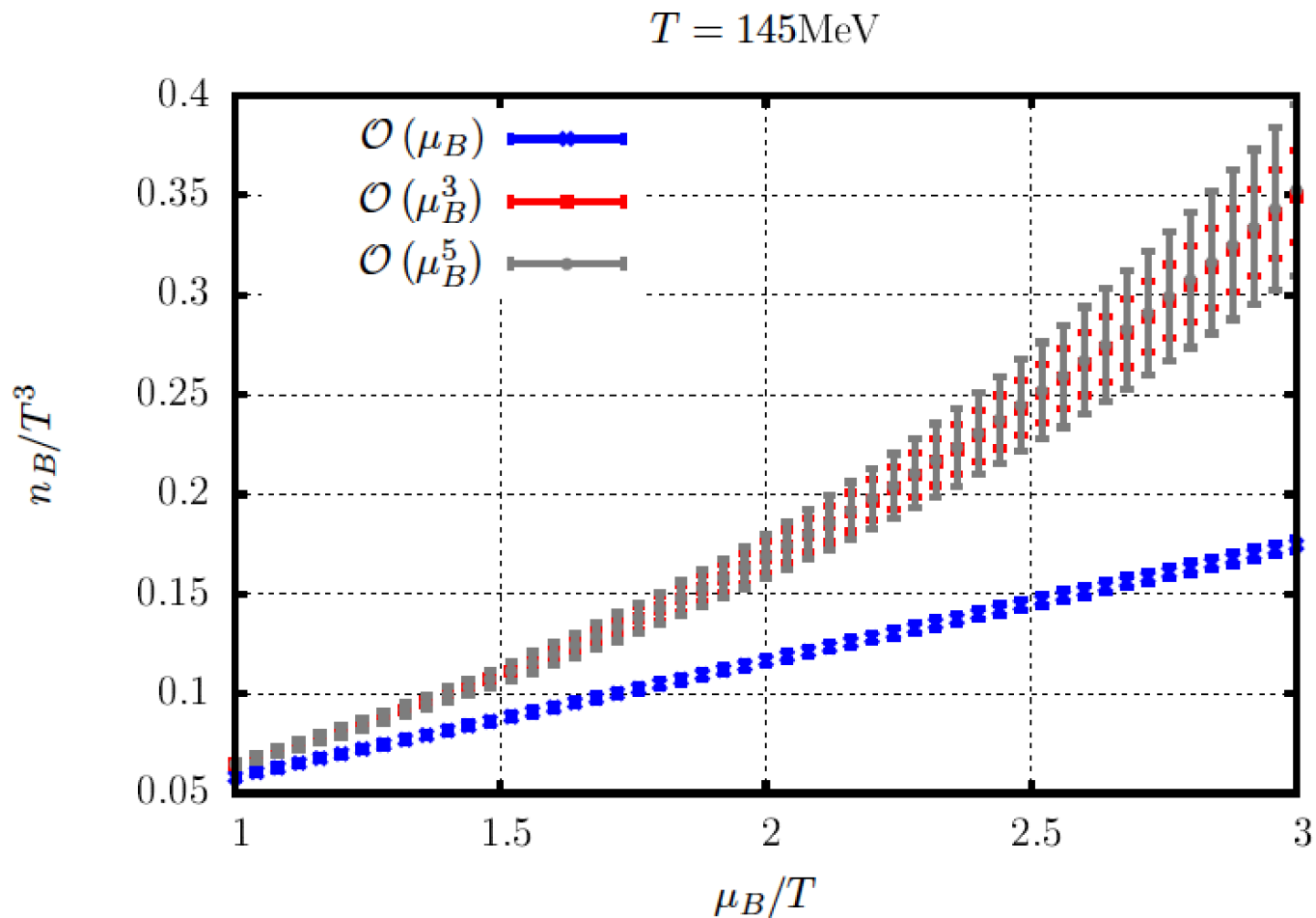


WB: S. Borsanyi et al. 1607.02493, (2016)

Equation of state along the trajectories



Different orders of μ_B expansion for n_B



Fluctuations of conserved charges

- Definition:

$$\chi_{lmn}^{BSQ} = \frac{\partial^{l+m+n} p / T^4}{\partial(\mu_B/T)^l \partial(\mu_S/T)^m \partial(\mu_Q/T)^n}.$$

- Relationship between chemical potentials:

$$\mu_u = \frac{1}{3}\mu_B + \frac{2}{3}\mu_Q;$$

$$\mu_d = \frac{1}{3}\mu_B - \frac{1}{3}\mu_Q;$$

$$\mu_s = \frac{1}{3}\mu_B - \frac{1}{3}\mu_Q - \mu_S.$$

- They can be calculated on the lattice and compared to experiment

Connection to experiment

- Fluctuations of conserved charges are the cumulants of their event-by-event distribution

$$\text{mean : } M = \chi_1$$

$$\text{variance : } \sigma^2 = \chi_2$$

$$\text{skewness : } S = \chi_3/\chi_2^{3/2}$$

$$\text{kurtosis : } \kappa = \chi_4/\chi_2^2$$

$$S\sigma = \chi_3/\chi_2$$

$$\kappa\sigma^2 = \chi_4/\chi_2$$

$$M/\sigma^2 = \chi_1/\chi_2$$

$$S\sigma^3/M = \chi_3/\chi_1$$

F. Karsch: Centr. Eur. J. Phys. (2012)

- The chemical potentials are not independent: fixed to match the experimental conditions:

$$\langle n_S \rangle = 0$$

$$\langle n_Q \rangle = 0.4 \langle n_B \rangle$$

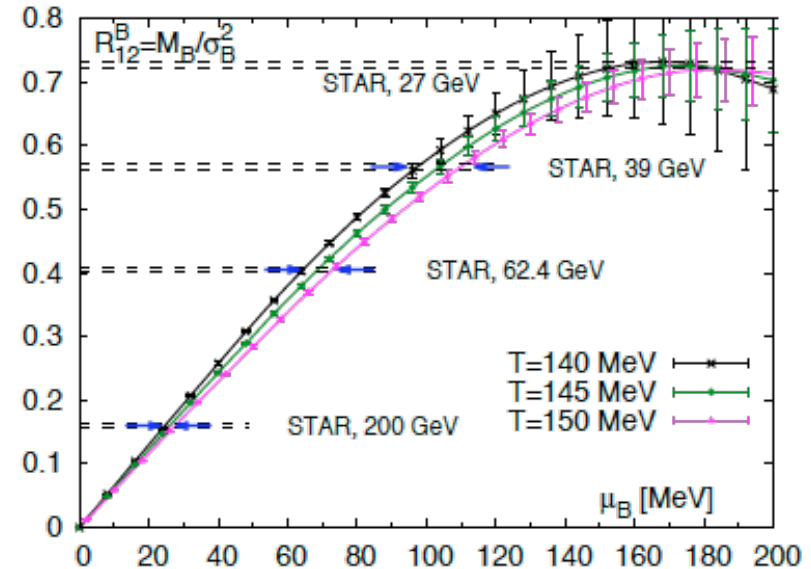
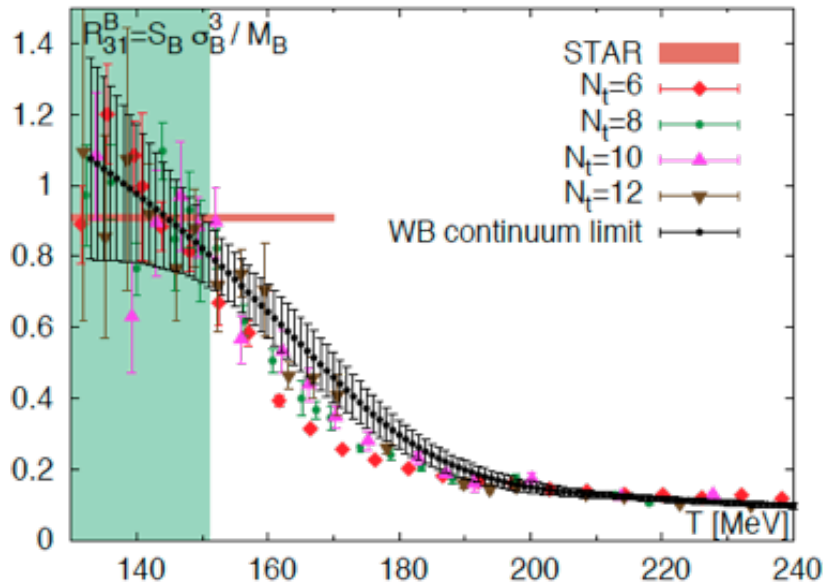
Things to keep in mind

- Effects due to volume variation because of finite centrality bin width
 - ▣ Experimentally corrected by centrality-bin-width correction method
V. Skokov et al., PRC (2013)
- Finite reconstruction efficiency
 - ▣ Experimentally corrected based on binomial distribution A.Bzdak,V.Koch, PRC (2012)
- Spallation protons
 - ▣ Experimentally removed with proper cuts in p_T
- Canonical vs Grand Canonical ensemble
 - ▣ Experimental cuts in the kinematics and acceptance V. Koch, S. Jeon, PRL (2000)
- Proton multiplicity distributions vs baryon number fluctuations
 - ▣ Recipes for treating proton fluctuations
M. Asakawa and M. Kitazawa, PRC(2012), M. Nahrgang et al., 1402.1238
- Final-state interactions in the hadronic phase
 - ▣ Consistency between different charges = fundamental test
J.Steinheimer et al., PRL (2013)

Freeze-out parameters from B fluctuations

Thermometer: $\frac{\chi_3^B(T, \mu_B)}{\chi_1^B(T, \mu_B)} = S_B \sigma_B^3 / M_B$

Baryometer: $\frac{\chi_1^B(T, \mu_B)}{\chi_2^B(T, \mu_B)} = \sigma_B^2 / M_B$



WB: S. Borsanyi et al., PRL (2014)
STAR collaboration, PRL (2014)

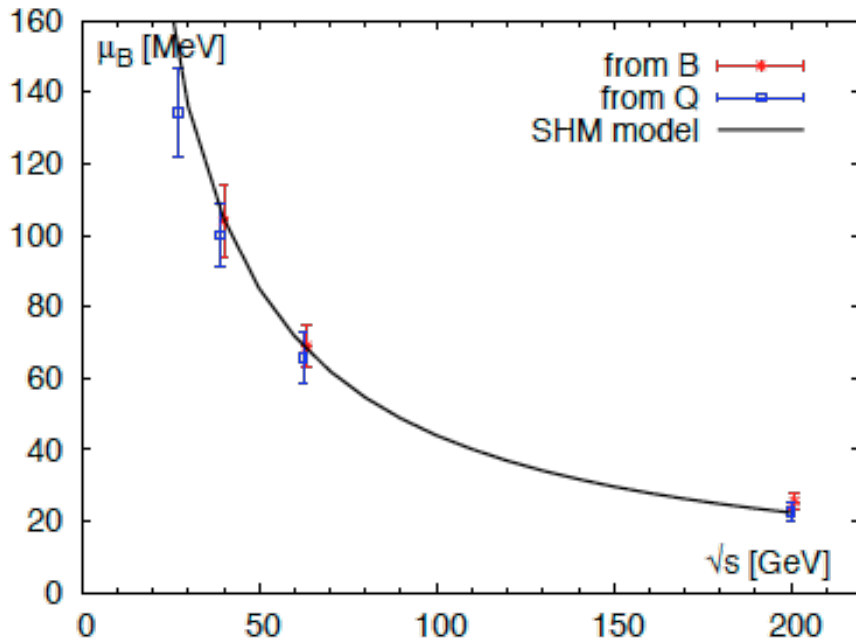
Upper limit: $T_f \leq 151 \pm 4$ MeV

Consistency between freeze-out chemical potential from electric charge and baryon number is found.

Freeze-out parameters from B fluctuations

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Baryometer: $\frac{\chi_1^B(T, \mu_B)}{\chi_2^B(T, \mu_B)} = \sigma_B^2 / M_B$



\sqrt{s} [GeV]	μ_B^f [MeV] (from B)	μ_B^f [MeV] (from Q)
200	25.8 ± 2.7	22.8 ± 2.6
62.4	69.7 ± 6.4	66.6 ± 7.9
39	105 ± 11	101 ± 10
27	-	136 ± 13.8

WB: S. Borsanyi et al., PRL (2014)
STAR collaboration, PRL (2014)

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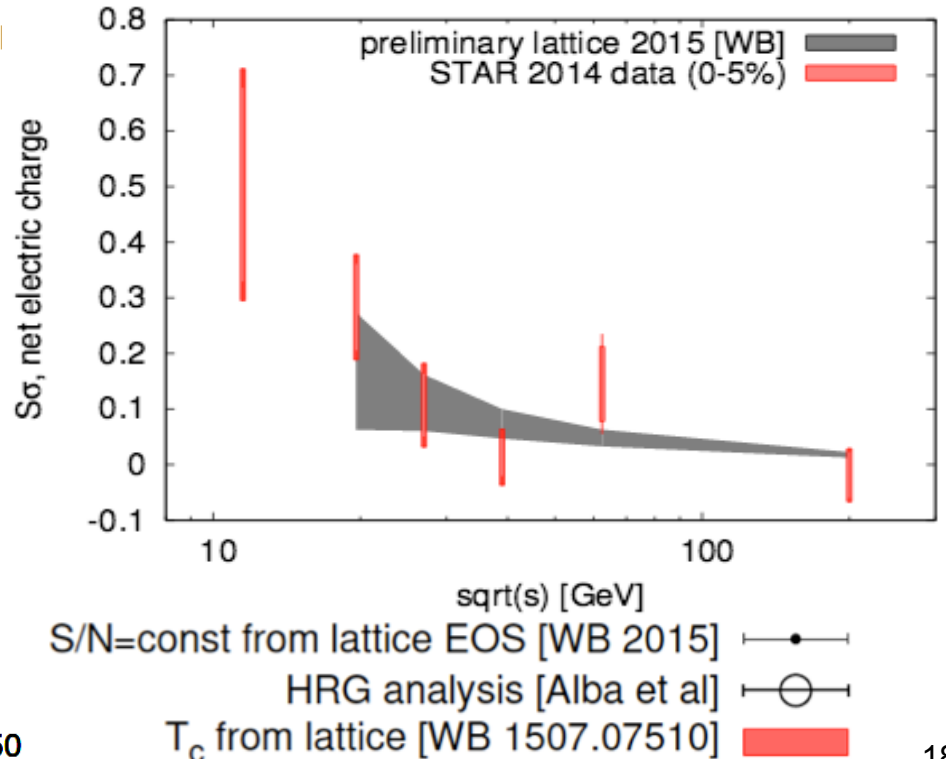
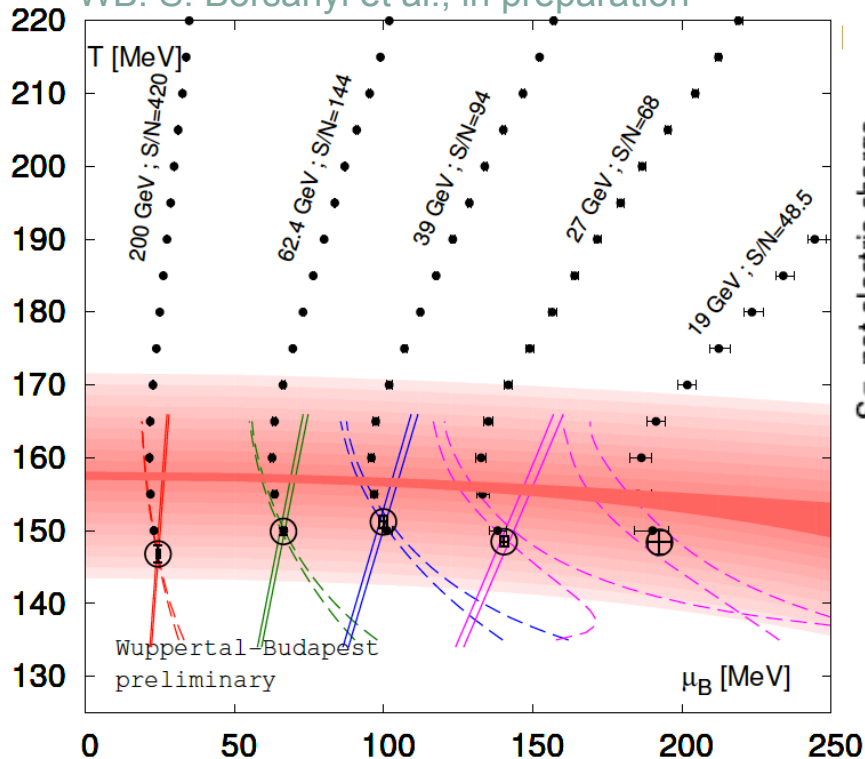
Freeze-out line from first principles

- Use T - and μ_B -dependence of R_{12}^Q and R_{12}^B for a combined fit:

$$R_{12}^Q(T, \mu_B) = \frac{\chi_1^Q(T, \mu_B)}{\chi_2^Q(T, \mu_B)} = \frac{\chi_{11}^{QB}(T, 0) + \chi_2^Q(T, 0)q_1(T) + \chi_{11}^{QS}(T, 0)s_1(T)}{\chi_2^Q(T, 0)} \frac{\mu_B}{T} + \mathcal{O}(\mu_B^3).$$

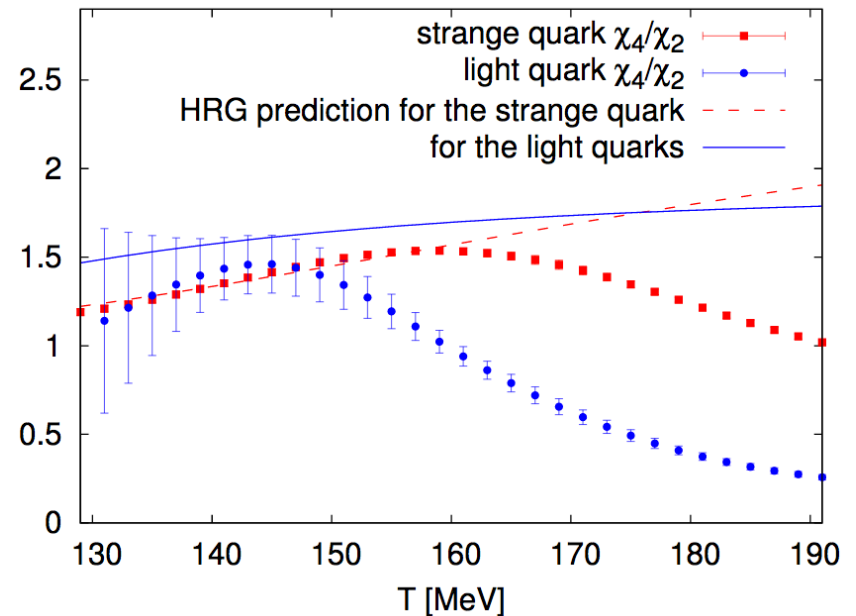
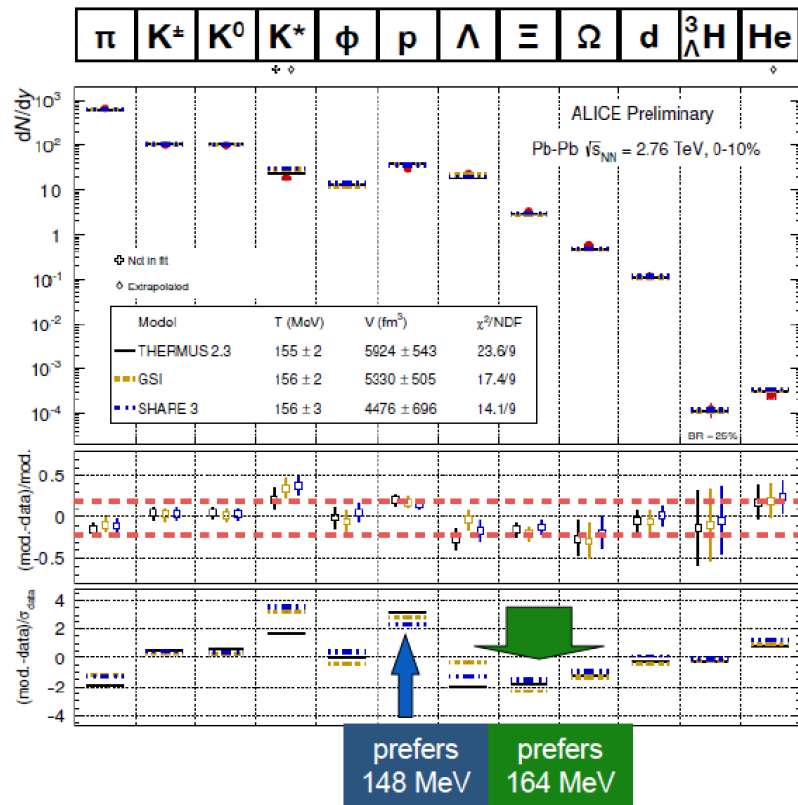
$$R_{12}^B(T, \mu_B) = \frac{\chi_1^B(T, \mu_B)}{\chi_2^B(T, \mu_B)} = \frac{\chi_2^B(T, 0) + \chi_{11}^{BQ}(T, 0)q_1(T) + \chi_{11}^{BS}(T, 0)s_1(T)}{\chi_2^B(T, 0)} \frac{\mu_B}{T} + \mathcal{O}(\mu_B^3)$$

WB: S. Borsanyi et al., in preparation



What about strangeness freeze-out?

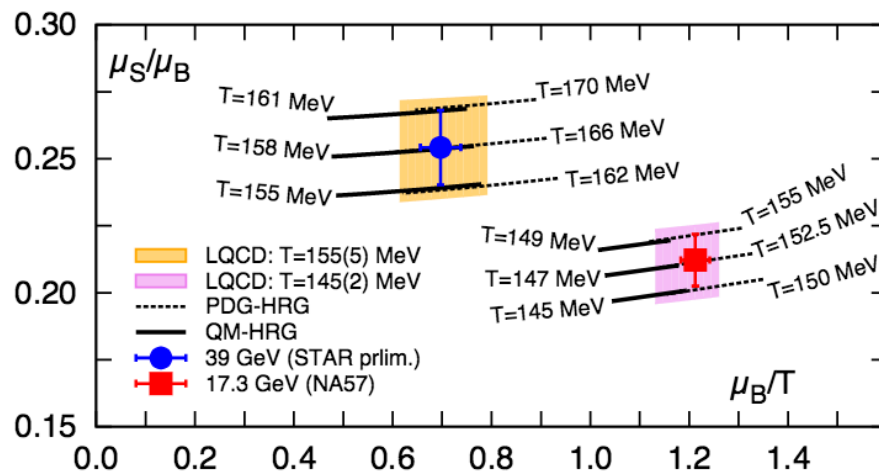
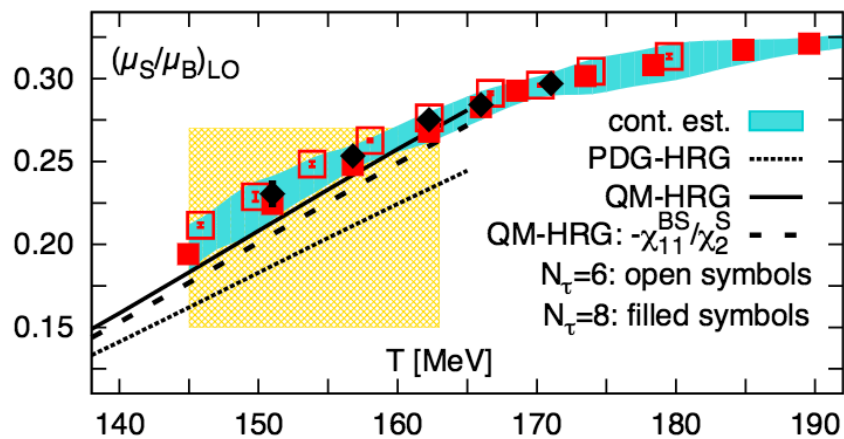
- Yield fits seem to hint at a higher temperature for strange particles



- Similar behavior found in lattice QCD results

Missing strange states?

- Quark Model predicts not-yet-detected (multi-)strange hadrons



- QM-HRG improves the agreement with lattice results for the baryon-strangeness correlator:

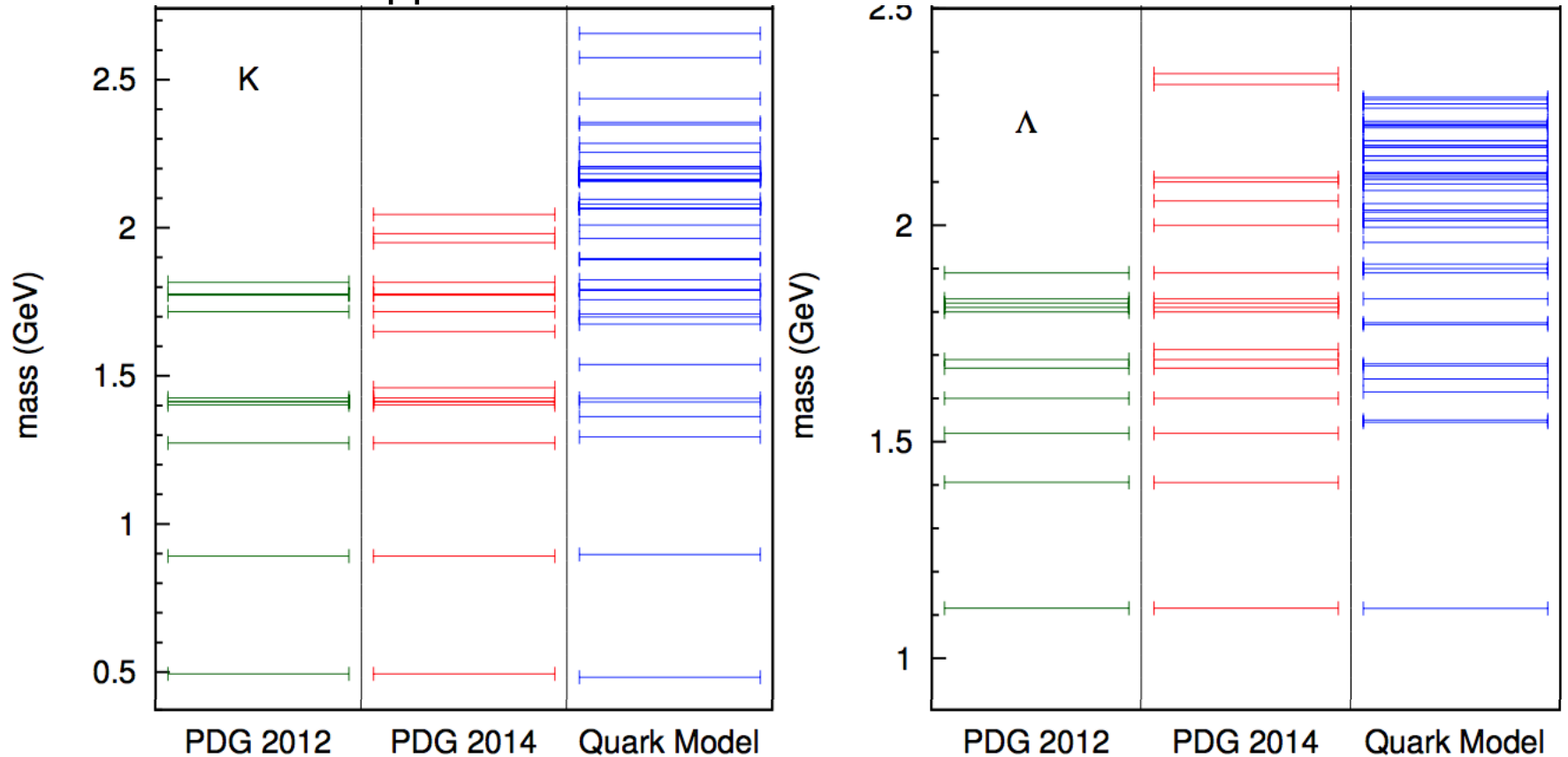
$$(\mu_S/\mu_B)_{LO} = -\chi_{11}^{BS}/\chi_2^S + \chi_{11}^{QS} \mu_Q/\mu_B$$

- The effect is only relevant at finite μ_B
- Feed-down from resonance decays not included

A. Bazavov et al., PRL (2014)

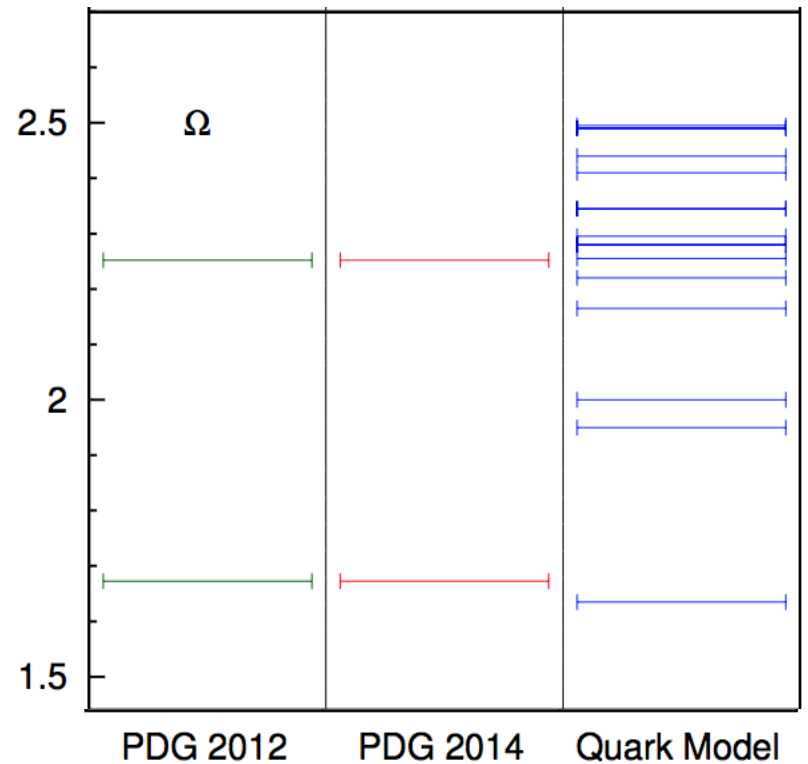
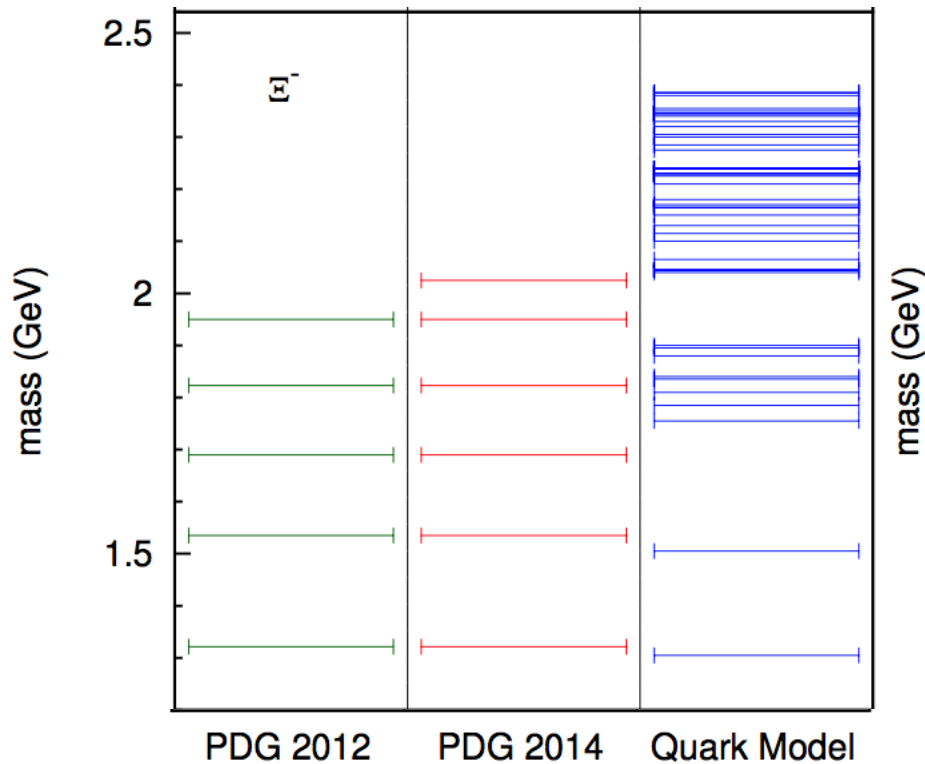
Missing strange states?

- New states appear in the 2014 version of the PDG



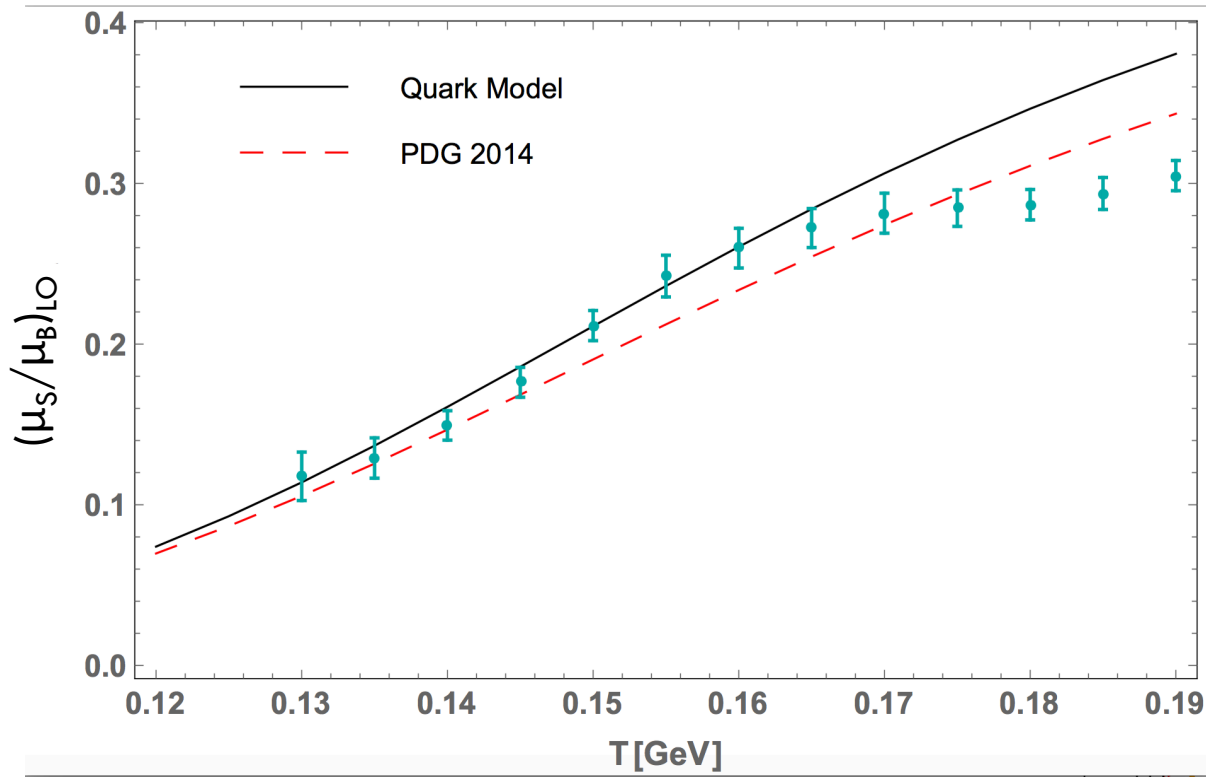
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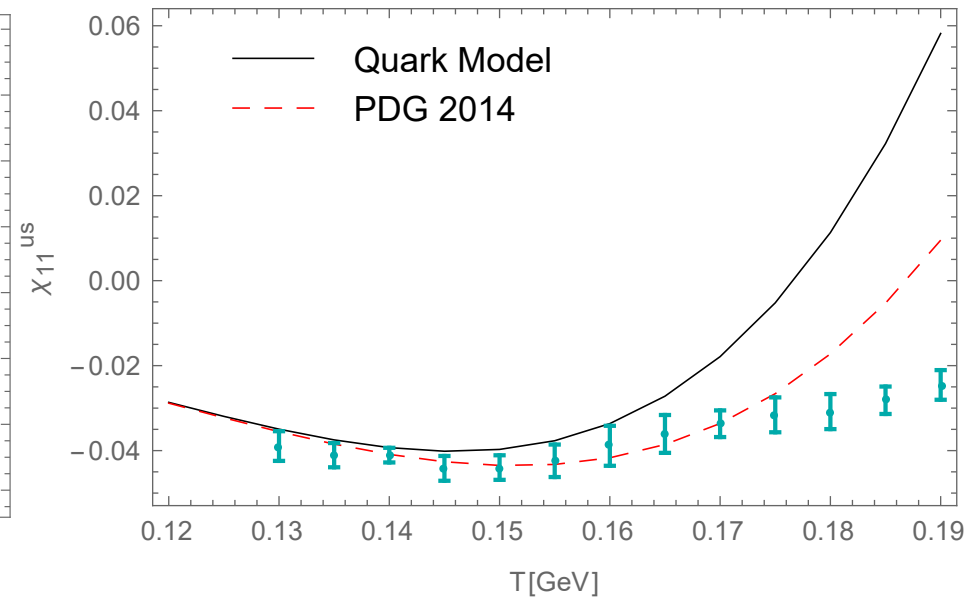
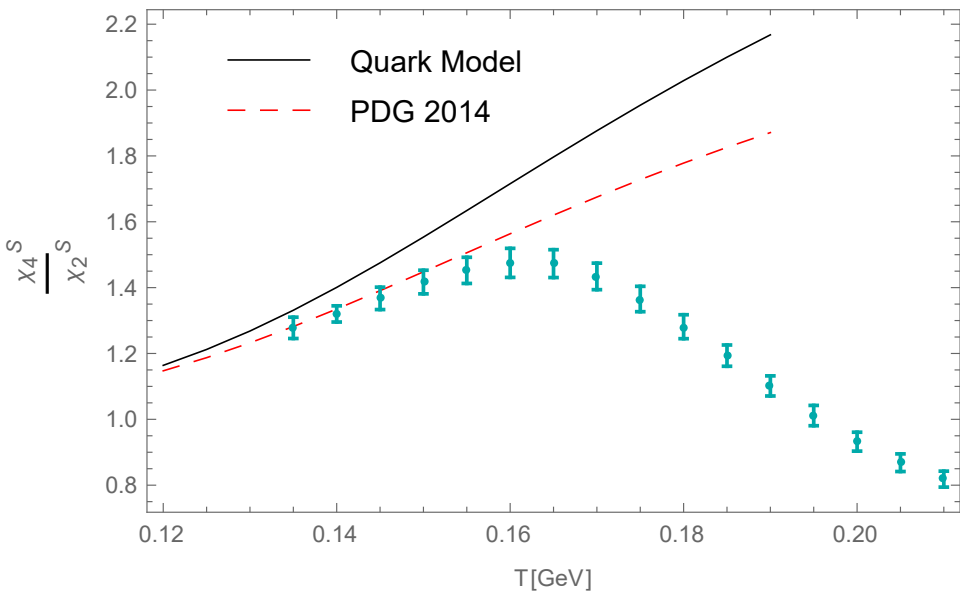
Missing strange states?

- The comparison with the lattice is improved for the baryon-strangeness correlator:



Missing strange states?

- Some observables are in agreement with the PDG 2014 but not with the Quark Model:



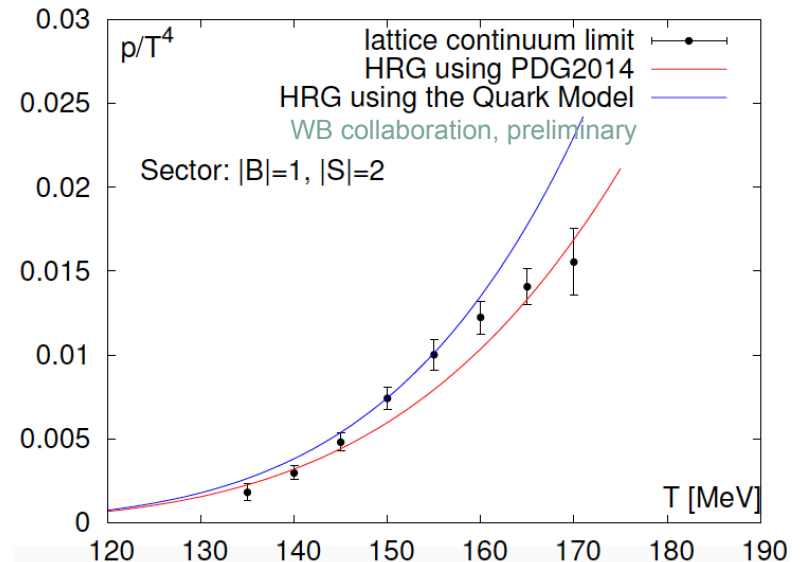
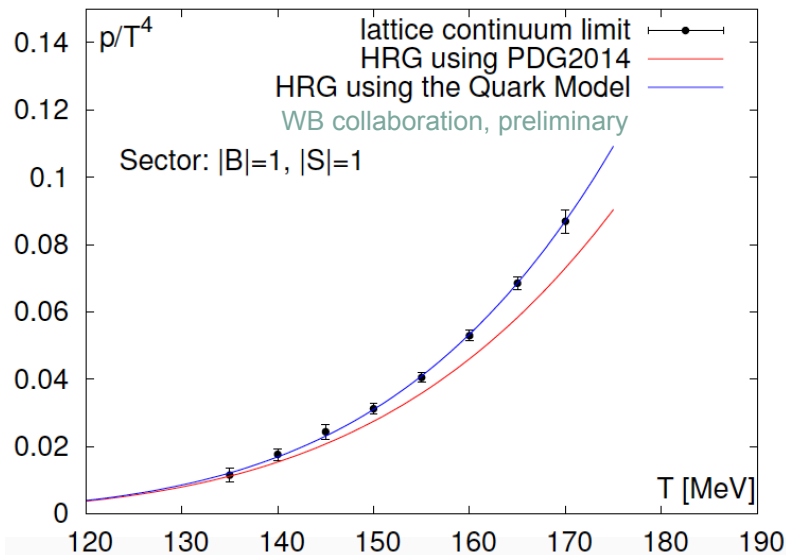
- χ_4^S/χ_2^S is proportional to $\langle S^2 \rangle$ in the system
- It seems to indicate that the quark model predicts too many multi-strange states

Missing strange states?

- Idea: define linear combinations of correlators which receive contributions only from particles with a given quantum number
- They allow to compare PDG and QM prediction for each sector separately

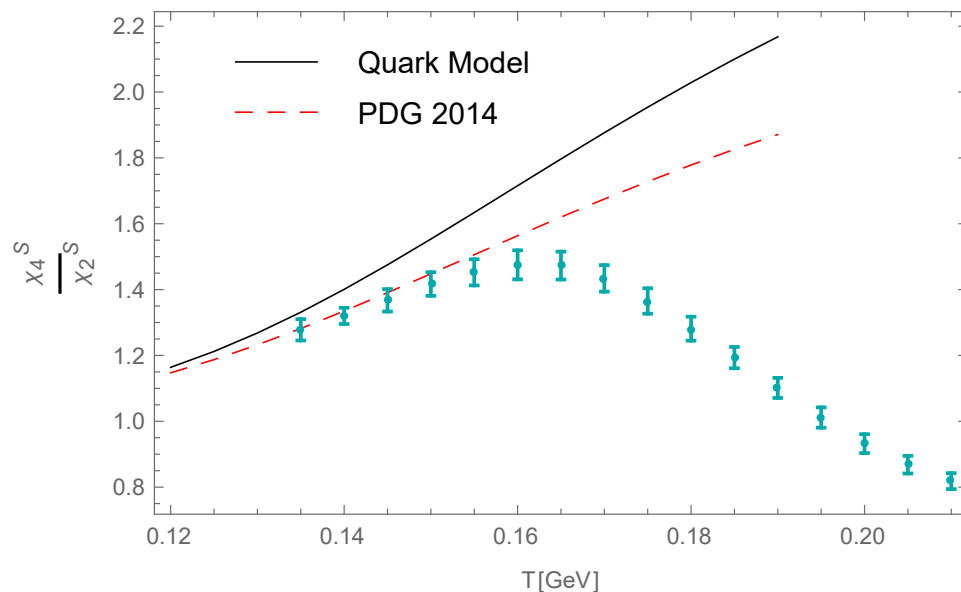
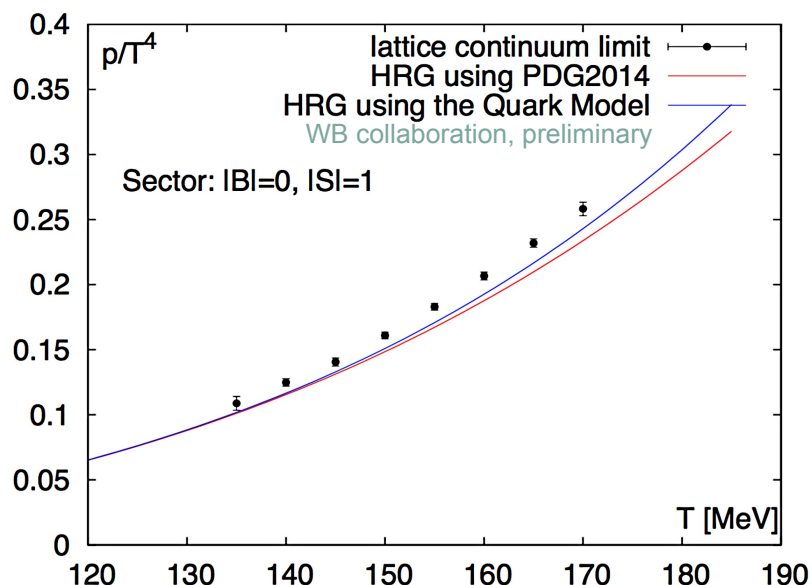
$$\begin{aligned} P_S(\hat{\mu}_B, \hat{\mu}_S) &= P_{0|1|} \cosh(\hat{\mu}_S) \\ &+ P_{1|1|} \cosh(\hat{\mu}_B - \hat{\mu}_S) \\ &+ P_{1|2|} \cosh(\hat{\mu}_B - 2\hat{\mu}_S) \\ &+ P_{1|3|} \cosh(\hat{\mu}_B - 3\hat{\mu}_S) \end{aligned} \quad \begin{aligned} P_{0|1|} &= \chi_2^S - \chi_{22}^{BS} \\ P_{1|1|} &= \frac{1}{2} \left(\chi_4^S - \chi_2^S + 5\chi_{13}^{BS} + 7\chi_{22}^{BS} \right) \\ P_{1|2|} &= -\frac{1}{4} \left(\chi_4^S - \chi_2^S + 4\chi_{13}^{BS} + 4\chi_{22}^{BS} \right) \\ P_{1|3|} &= \frac{1}{18} \left(\chi_4^S - \chi_2^S + 3\chi_{13}^{BS} + 3\chi_{22}^{BS} \right) \end{aligned}$$

Missing strange states?



- The precision in the lattice results can allow to distinguish between the two scenarios
- Quark model pushes the agreement with the data for the strange baryons to higher temperatures

Not enough strange mesons

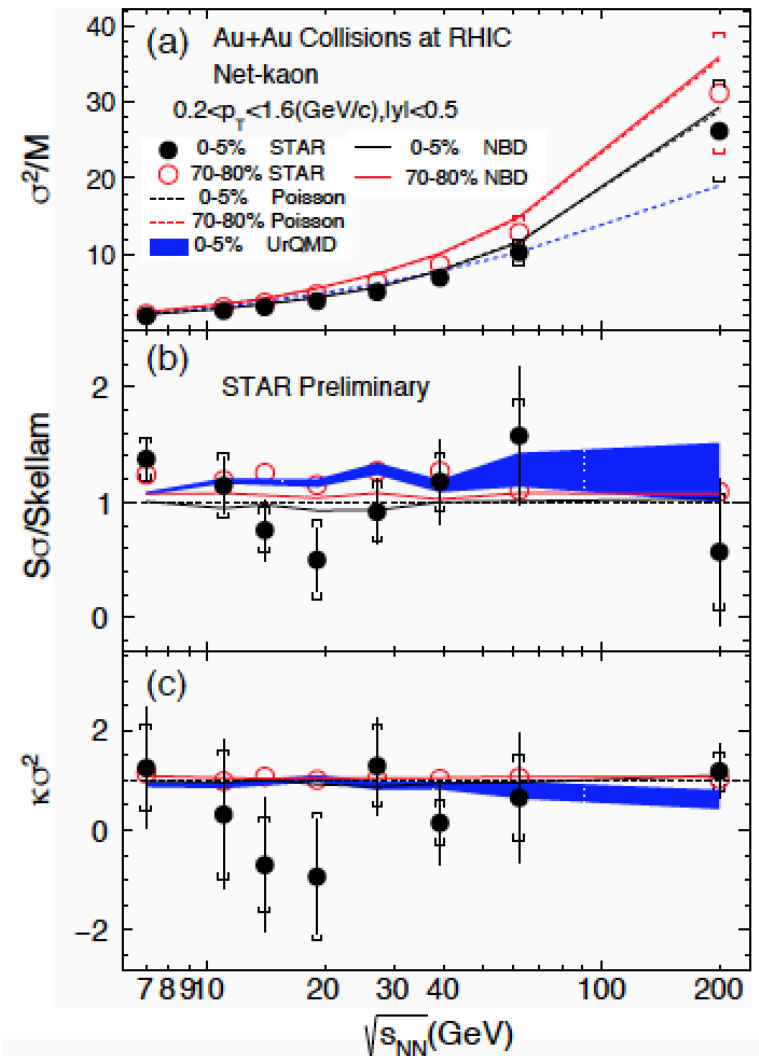


- Both Quark Model and PDG 2014 underestimate the partial pressure due to strange mesons
- This might explain why the QM overestimates χ_4^S / χ_2^S : more strange mesons would bring the curve down

Kaon fluctuations

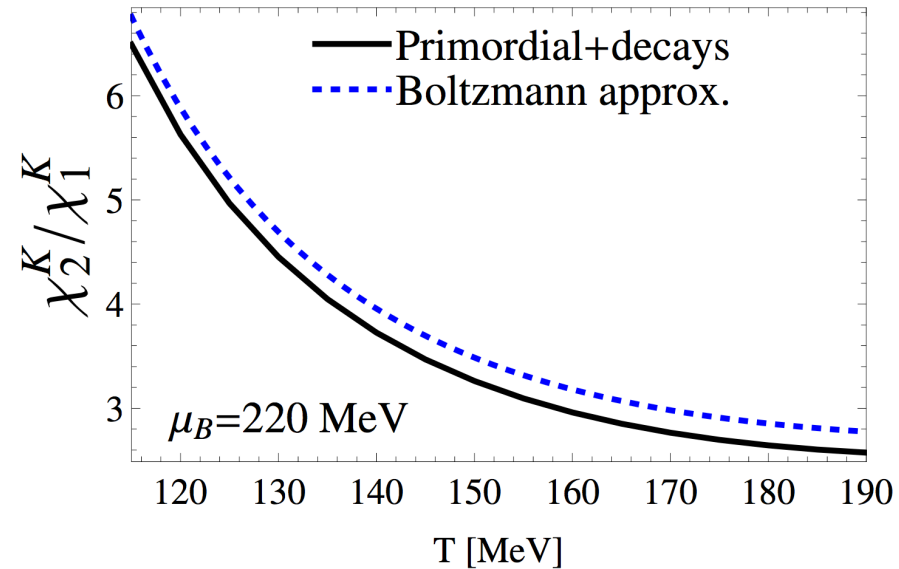
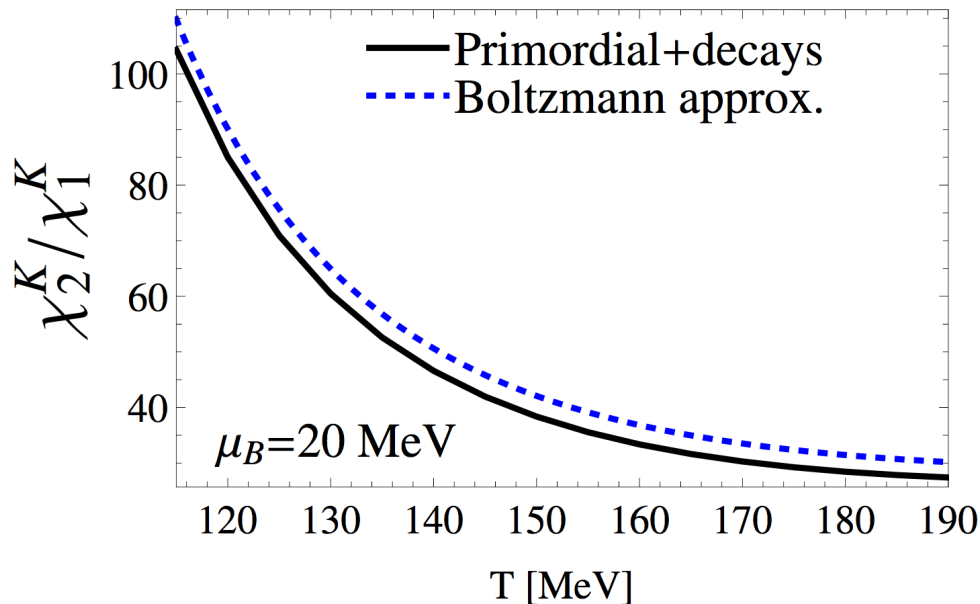
Talk by Ji XU at SQM 2016

- Experimental data are becoming available.
- Exciting result but presently hampered by systematic errors
- BES-II will help
- Kaon fluctuations from HRG model will be affected by the hadronic spectrum and decays



Kaon fluctuations on the lattice

J. Noronha-Hostler, C.R. et al., 1607.02527



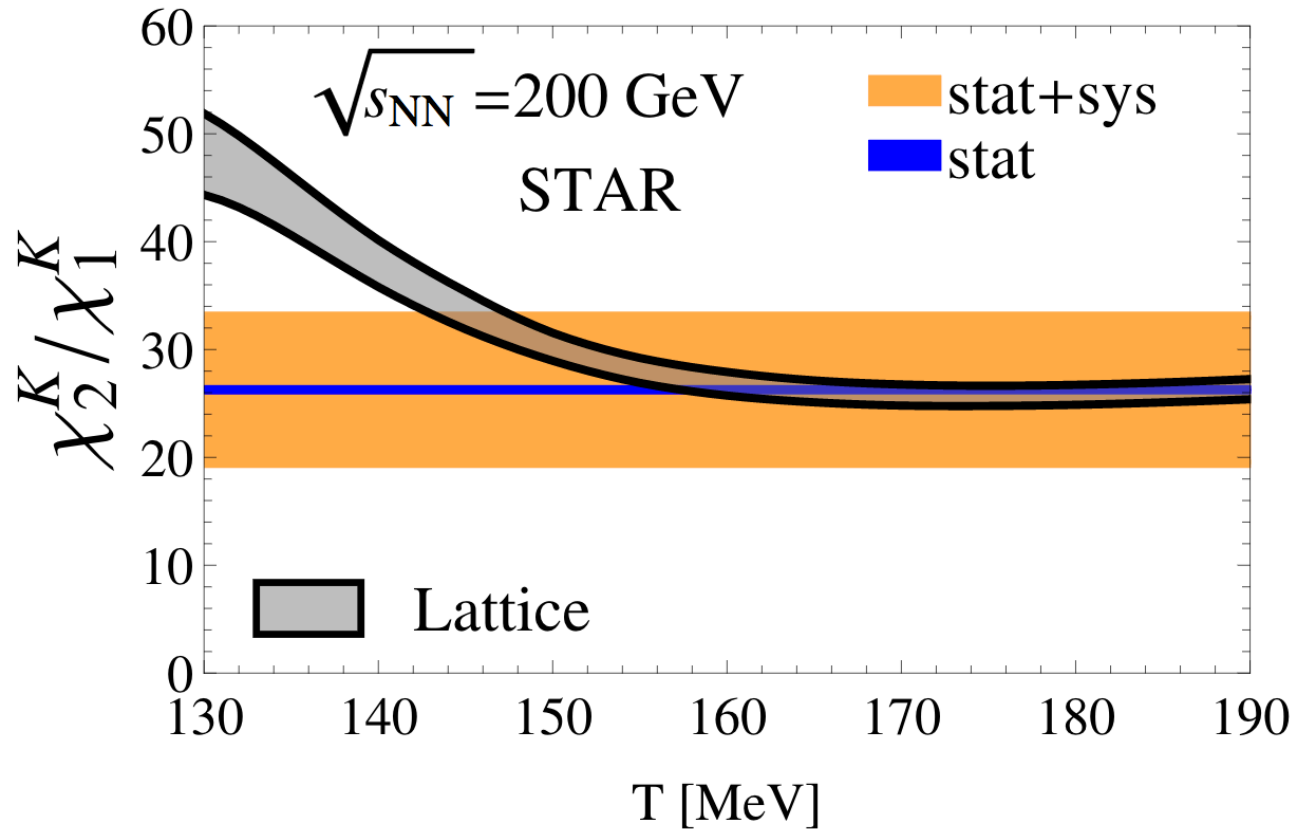
- Boltzmann approximation works well for lower order kaon fluctuations

$$\frac{\chi_2^K}{\chi_1^K} = \frac{\cosh(\hat{\mu}_S + \hat{\mu}_Q)}{\sinh(\hat{\mu}_S + \hat{\mu}_Q)}$$

- χ_2^K / χ_1^K from primordial kaons + decays is very close to the one in the Boltzmann approximation

Kaon fluctuations on the lattice

J. Noronha-Hostler, C.R. et al., 1607.02527



- Experimental uncertainty does not allow a precise determination of T_f^K

Conclusions

- Unprecedented precision in lattice QCD data allows a direct comparison to experiment for the first time
- QCD thermodynamics at $\mu_B=0$ can be simulated with high accuracy
- Extensions to finite density are under control up to $O(\mu_B^6)$
- Comparison with experiment allows to determine properties of strongly interacting matter from first principles
- It is possible to identify kaon fluctuations in lattice QCD

Lattice details

□ The 4stout staggered action

- 2+1+1 dynamical flavors
- 4 levels of stout smearing in the fermionic action
- The masses are set by bracketing both the pion and the kaon masses within a few percent, keeping $m_c/m_s=11.85$
- The scale is set in two ways: f_π and w_0 (with Wilson flow). The scale setting procedure is one of the source of the systematic error in all of the plots

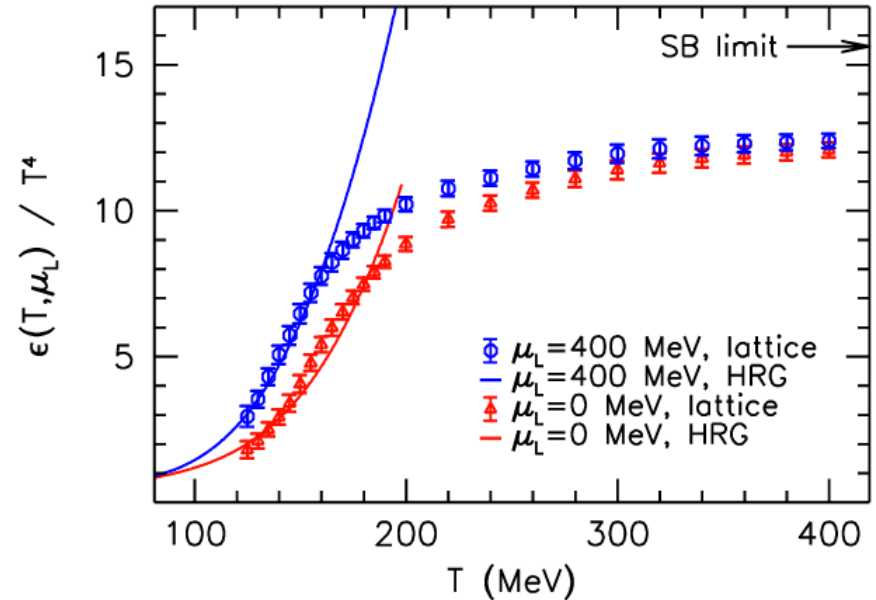
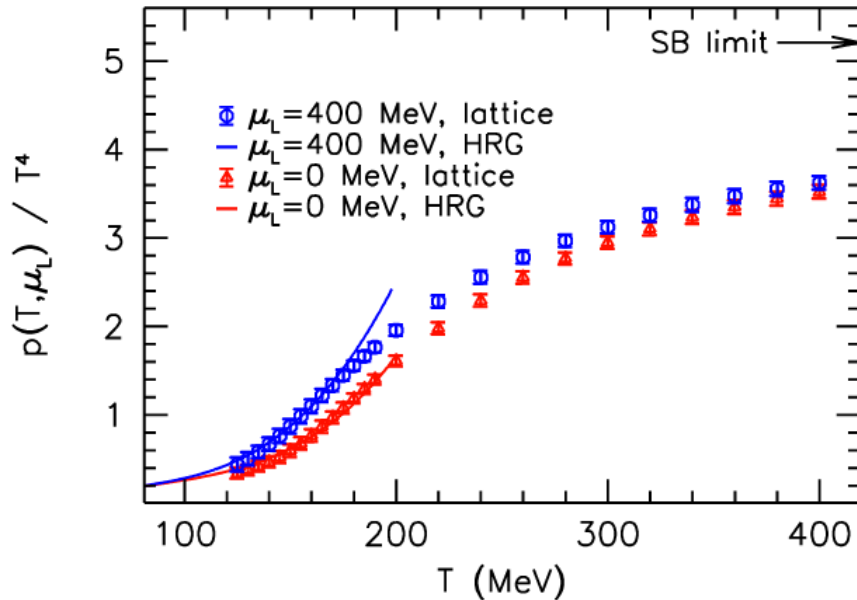
□ Ensembles

- Continuum limit from $N_t=10, 12, 16$
- For imaginary μ we have $\mu_B=iT\pi j/8$, with $j=3, 4, 5, 6, 6.5, 7$

Equation of state at $\mu_B > 0$

- Expand the pressure in powers of μ_B (or $\mu_L = 3/2(\mu_u + \mu_d)$)

$$\frac{p(T, \{\mu_i\})}{T^4} = \frac{p(T, \{0\})}{T^4} + \frac{1}{2} \sum_{i,j} \frac{\mu_i \mu_j}{T^2} \chi_2^{ij} \quad \text{with} \quad \chi_2^{ij} \equiv \frac{T}{V} \frac{1}{T^2} \frac{\partial^2 \log \mathcal{Z}}{\partial \mu_i \partial \mu_j} \Big|_{\mu_i = \mu_j = 0}$$



S. Borsanyi et al., JHEP (2012)

- Continuum extrapolated results at the physical mass

Analytical continuation – illustration of systematics

Analytical continuation on $N_t = 12$ raw data

