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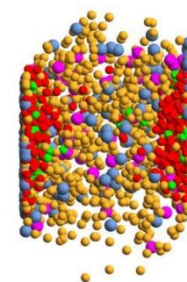
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Exploring the QGP at finite baryon chemical potential in and out of equilibrium

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(GSI Darmstadt & Uni. Frankfurt)

*Theoretical Physics Colloquium (on-line),
hosted by Prof. Igor Shovkovy at the Arizona State University.*

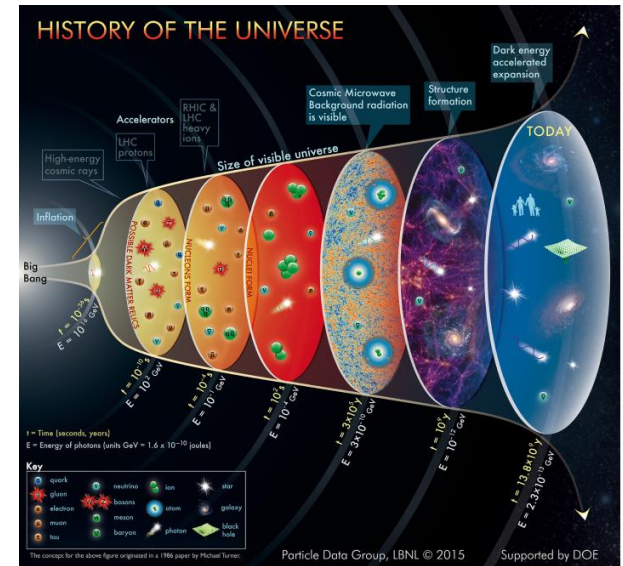
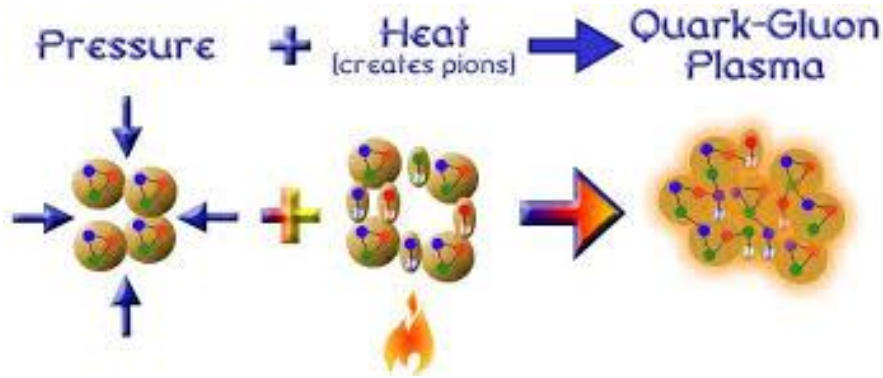
20 January, 2021



Experiment: Heavy-ion collisions

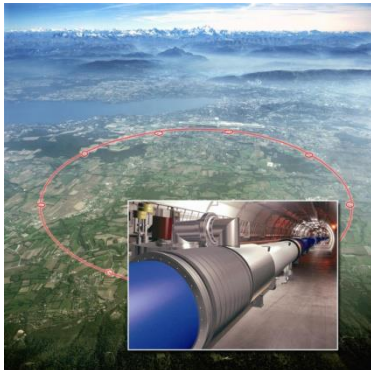
Heavy-ion collision experiments

→ ,re-creation‘ of the Big Bang conditions in laboratory:
matter at high **pressure** and **temperature**

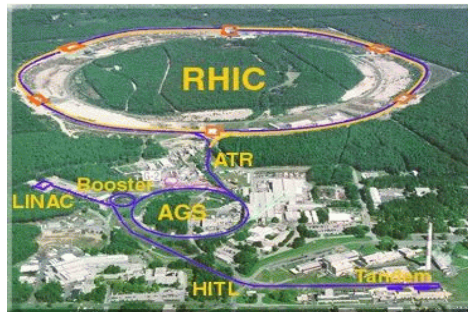


Heavy-ion accelerators:

Large Hadron Collider -
LHC (CERN):
Pb+Pb up to 574 A TeV



Relativistic-Heavy-Ion-Collider -
RHIC (Brookhaven):
Au+Au up to 21.3 A TeV



Facility for Antiproton and Ion
Research – **FAIR** (Darmstadt)
(Under construction)
Au+Au up to 10 (30) A GeV

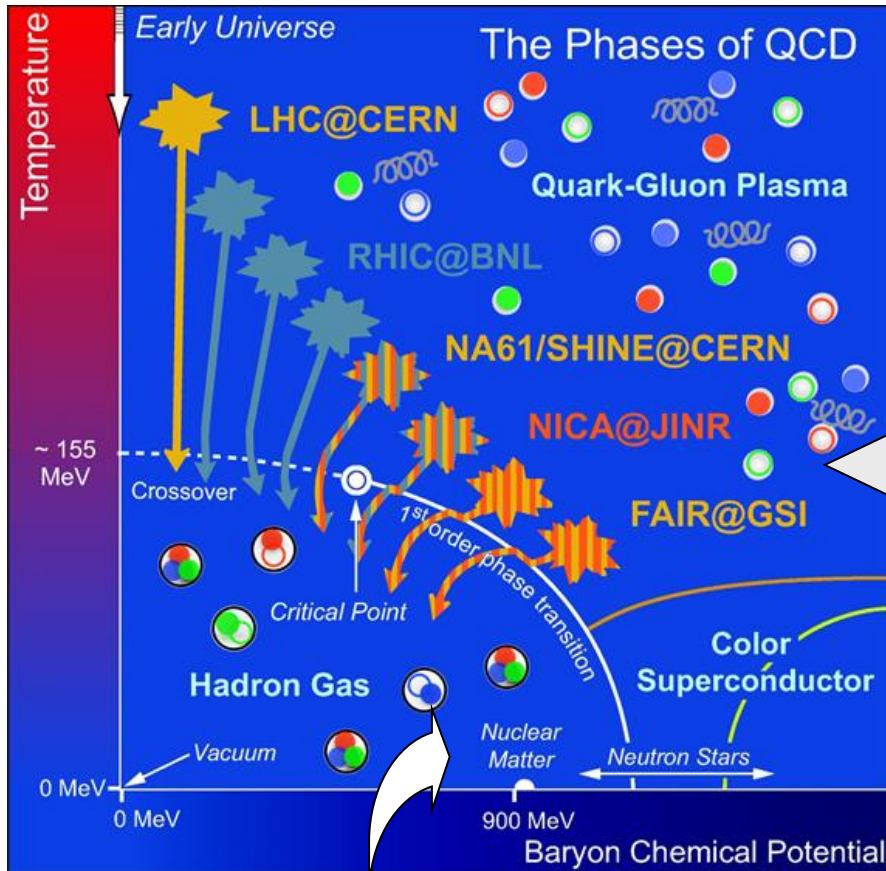


Nuclotron-based Ion Collider
fAcility – **NICA** (Dubna)
(Under construction)
Au+Au up to 60 A GeV



The ,holy grail‘ of heavy-ion physics:

The phase diagram of QCD → thermal properties of QCD in the (T, μ_B) plain



- **Equation-of-State** of hot and dense matter?
- Study of the **phase transition** from hadronic to partonic matter – **Quark-Gluon-Plasma**



- Search for a **critical point**
- Search for signatures of **chiral symmetry restoration**
- Study of the **in-medium properties of hadrons** at high baryon density and temperature

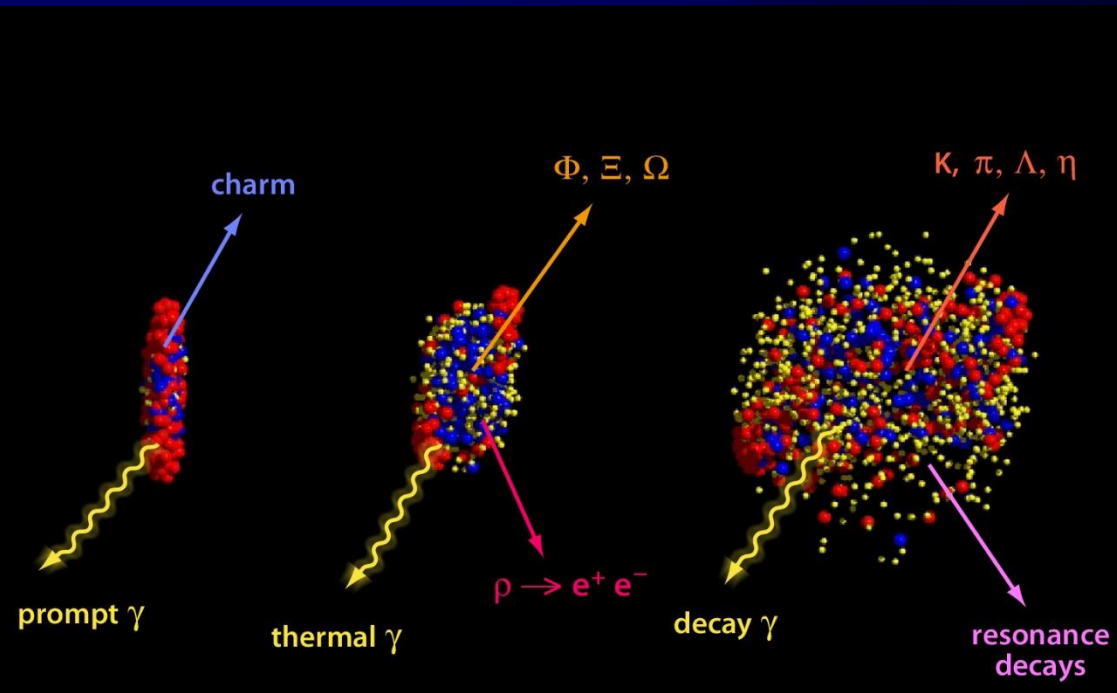
Signals of the phase transition:

- Multi-strange particle enhancement in A+A
- Charm suppression
- Collective flow (v_1, v_2)
- Thermal dileptons
- Jet quenching and angular correlations
- High p_T suppression of hadrons
- Nonstatistical event-by-event fluctuations and correlations
- ...

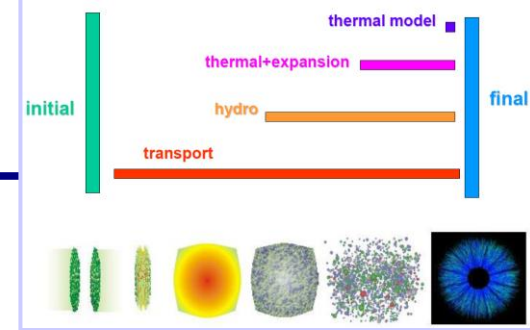
Experiment: measures final hadrons and leptons

How to learn about physics from data?

Compare with theory!



Basic models for heavy-ion collisions



- Statistical models:

basic assumption: system is described by a (grand) canonical ensemble of non-interacting fermions and bosons in **thermal and chemical equilibrium**
= **thermal hadron gas at freeze-out** with common T and μ_B

[- : no dynamical information]

- Hydrodynamical models:

basic assumption: conservation laws + equation of state (EoS);
assumption of **local thermal and chemical equilibrium**

- Interactions are 'hidden' in properties of the **fluid** described by **transport coefficients** (shear and bulk viscosity η , ζ , ..), which is '**input**' for the hydro models

[- : simplified dynamics]

- Microscopic transport models:

based on transport theory of relativistic quantum many-body systems

- **Explicitly account for the interactions of all degrees of freedom** (hadrons and partons)
in terms of cross sections and potentials

- Provide a unique dynamical description of **strongly interaction matter**

in- and out-of equilibrium:

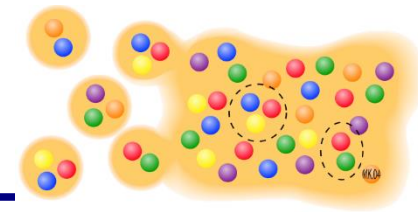
- **In-equilibrium:** transport coefficients are calculated in a box – controlled by IQCD

- **Nonequilibrium dynamics** – controlled by HIC

Actual solutions: Monte Carlo simulations

[+ : full dynamics | - : very complicated]

Microscopic modeling of HICs



Goal: microscopic transport description of the **partonic** and **hadronic phases** of heavy-ion collisions

Problems:

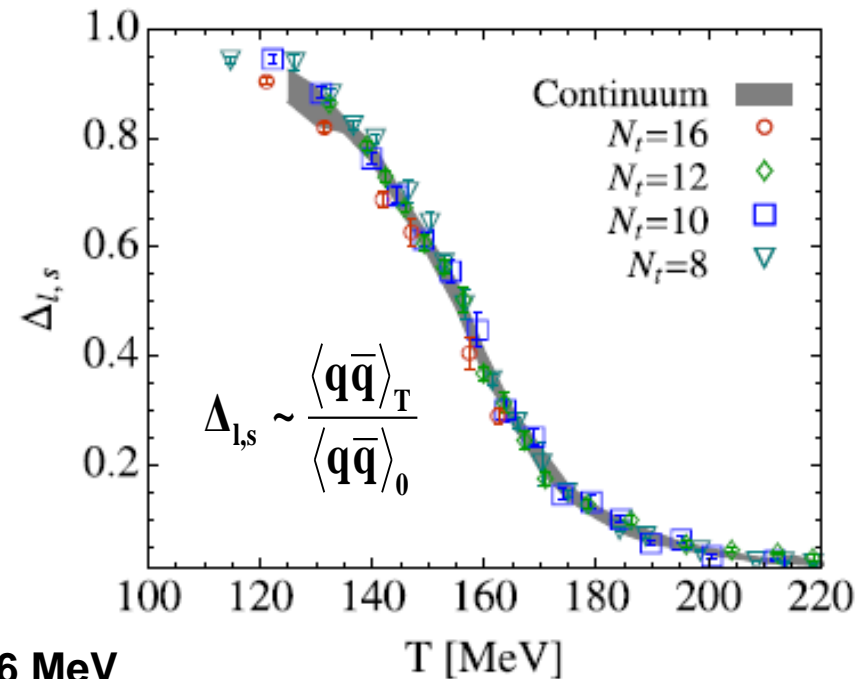
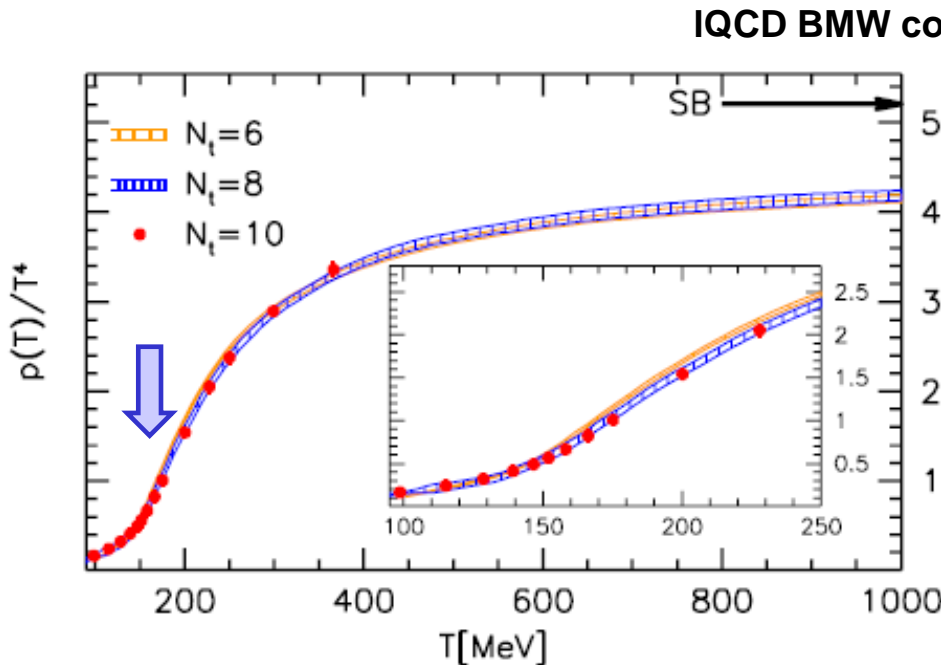
- ❑ What are the **properties of the QGP degrees of freedom?**
- ❑ How to solve the **hadronization problem?**
- ❑ What is an appropriate **transport theory ?**

Information from lattice QCD at $\mu_B=0$

I. deconfinement phase transition with increasing temperature

+

II. chiral symmetry restoration with increasing temperature



□ EoS: Crossover: hadron gas \rightarrow QGP, $T_C=156$ MeV

□ Scalar quark condensate $\langle \bar{q}q \rangle$ is viewed as an order parameter for the restoration of chiral symmetry:

$$\langle \bar{q}q \rangle = \begin{cases} \neq 0 & \text{chiral non-symmetric phase;} \\ = 0 & \text{chiral symmetric phase.} \end{cases}$$

\rightarrow both transitions occur at about the same temperature T_C for low chemical potentials

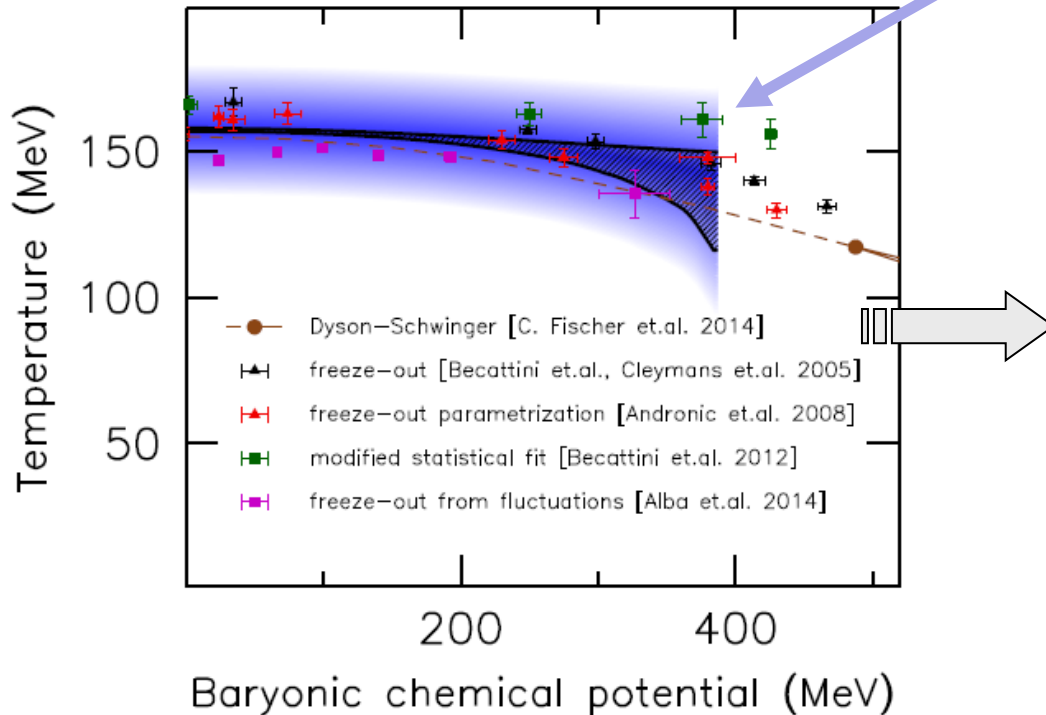
Thermodynamics of QCD at finite T and μ_B

Theory: \rightarrow thermal properties of QCD in the (T, μ_B) plain

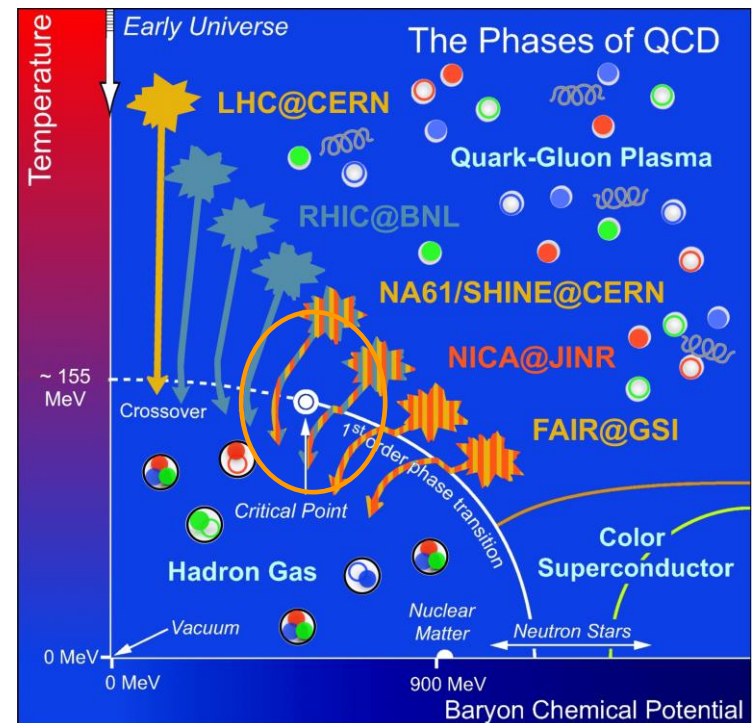
\rightarrow **lattice QCD** – limited to low $\mu_B < 400$ MeV - ‘sign problem’ of IQCD at finite μ_B

Taylor expansion allows for IQCD calculations for $\mu_q/T \ll 1$ ($\mu_B = 3\mu_q$)

IQCD: J. Guenther et al., Nucl. Phys. A 967 (2017) 720



Possible phase diagram of QCD



\rightarrow **Lattice QCD results: up to $\mu_B < 400$ MeV:**
Crossover: hadron gas \rightarrow QGP



Degrees-of-freedom of the QGP

For the microscopic transport description of the system one **needs to know all degrees of freedom** as well as their properties and interactions!

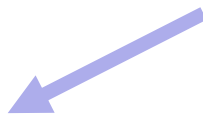
❖ IQCD gives QGP EoS at finite (T, μ_B)



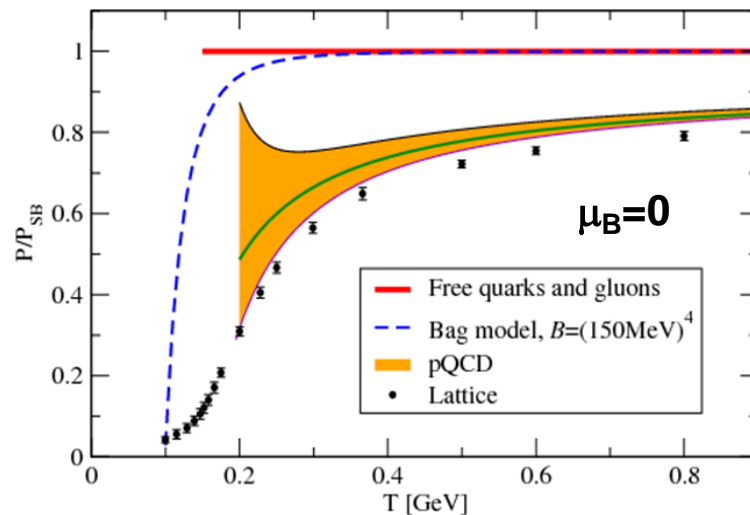
! needs to be interpreted in terms of **degrees-of-freedom**

pQCD:

- weakly interacting system
- massless quarks and gluons



Present solution - **effective models**



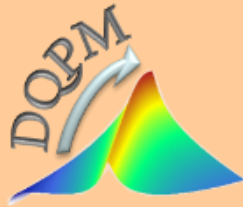
Non-perturbative QCD ← pQCD



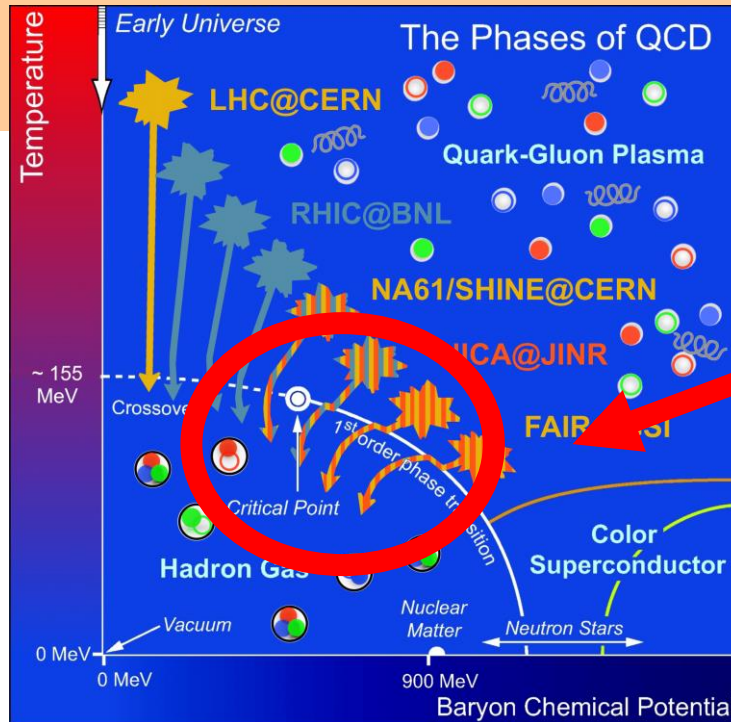
Thermal QCD

= QCD at high parton densities:

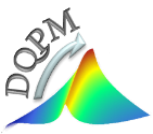
- strongly** interacting system
- massive quarks and gluons
- ➔ **quasiparticles**
- = **effective degrees-of-freedom**



DQPM (T, μ_q)



finite μ_q



Dynamical QuasiParticle Model (DQPM)

DQPM – effective model for the description of **non-perturbative** (strongly interacting) QCD based on **IQCD EoS**

Degrees-of-freedom: strongly interacting **dynamical quasiparticles** - quarks and gluons

Theoretical basis :

□ ,resummed‘ single-particle Green’s functions → quark (gluon) propagator (2PI) :

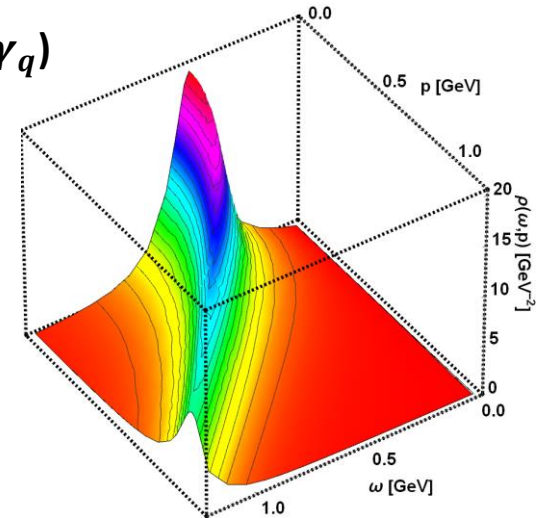
$$\begin{aligned} \text{gluon propagator: } \Delta^{-1} = P^2 - \Pi \quad & \& \quad \text{quark propagator } S_q^{-1} = P^2 - \Sigma_q \\ \text{gluon self-energy: } \Pi = M_g^2 - i2\gamma_g\omega \quad & \& \quad \text{quark self-energy: } \Sigma_q = M_q^2 - i2\gamma_q\omega \end{aligned}$$

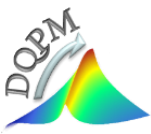
Properties of the quasiparticles are specified by scalar **complex self-energies:**

$Re\Sigma_q$: **thermal masses** (M_g, M_q); $Im\Sigma_q$: **interaction widths** (γ_g, γ_q)

→ spectral functions $\rho_q = -2ImS_q$ → Lorentzian form:

$$\begin{aligned} \rho_j(\omega, \mathbf{p}) &= \frac{\gamma_j}{\tilde{E}_j} \left(\frac{1}{(\omega - \tilde{E}_j)^2 + \gamma_j^2} - \frac{1}{(\omega + \tilde{E}_j)^2 + \gamma_j^2} \right) \\ &\equiv \frac{4\omega\gamma_j}{(\omega^2 - \mathbf{p}^2 - M_j^2)^2 + 4\gamma_j^2\omega^2} \quad \tilde{E}_j^2(\mathbf{p}) = \mathbf{p}^2 + M_j^2 - \gamma_j^2 \end{aligned}$$

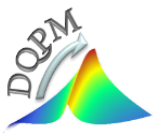




Dynamical QuasiParticle Model (DQPM)

Theoretical basis → realization :

- ❑ introduce an **ansatz** (HTL; with few parameters) for the (T, μ_B) dependence of **masses/widths**
 - ❑ ansatz for coupling constant g based on the IQCD entropy density as a function of T at $\mu_B=0$
 - ❑ scaling hypothesis for **critical temperature** $T_c(\mu_q)$:
 - ❑ evaluate the **QGP thermodynamics** in equilibrium using the Kadanoff-Baym theory
 - ❑ fix DQPM parameters by comparison to the entropy density s , pressure P , energy density ε from DQPM to **IQCD results** at $\mu_B=0$
- obtain the **properties of the QGP** at (T, μ_B)



Parton properties

- Modeling of the quark/gluon **masses** and **widths** (inspired by HTL calculations)

Masses:

$$M_{q(\bar{q})}^2(T, \mu_B) = \frac{N_c^2 - 1}{8N_c} g^2(T, \mu_B) \left(T^2 + \frac{\mu_q^2}{\pi^2} \right)$$

$$M_g^2(T, \mu_B) = \frac{g^2(T, \mu_B)}{6} \left(\left(N_c + \frac{1}{2} N_f \right) T^2 + \frac{N_c}{2} \sum_q \frac{\mu_q^2}{\pi^2} \right)$$

Widths:

$$\gamma_{q(\bar{q})}(T, \mu_B) = \frac{1}{3} \frac{N_c^2 - 1}{2N_c} \frac{g^2(T, \mu_B) T}{8\pi} \ln \left(\frac{2c}{g^2(T, \mu_B)} + 1 \right)$$

$$\gamma_g(T, \mu_B) = \frac{1}{3} N_c \frac{g^2(T, \mu_B) T}{8\pi} \ln \left(\frac{2c}{g^2(T, \mu_B)} + 1 \right)$$

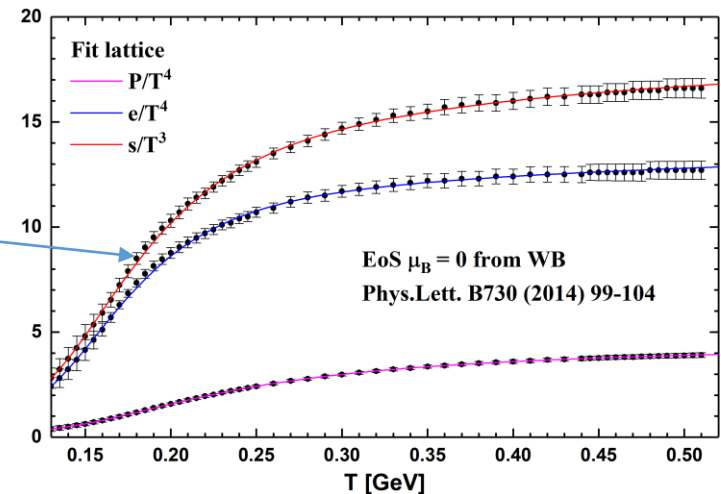
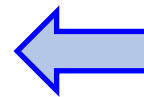
→ **DQPM :**

only **one parameter** ($c = 14.4$)
+ (T, μ_B) - dependent **coupling constant** has to be determined from lattice results

- **Coupling g:** input - IQCD **entropy density** s function of T at $\mu_B=0$

$$g^2(s/s_{SB}) = d \left((s/s_{SB})^e - 1 \right)^f$$

$$s_{SB}^{QCD} = 19/9 \pi^2 T^3$$



DQPM at finite (T, μ_q) : scaling hypothesis

- Scaling hypothesis for the effective temperature T^* for $N_f = N_c = 3$

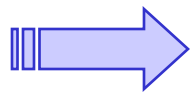
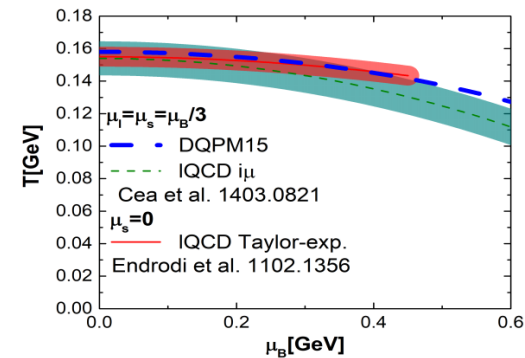
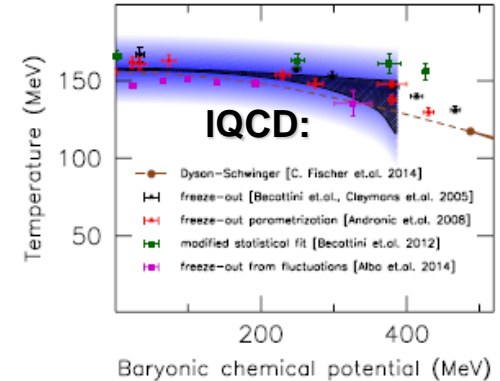
$$\mu_u = \mu_d = \mu_s = \mu_q$$

$$T^{*2} = T^2 + \frac{\mu_q^2}{\pi^2}$$

- Coupling:

$$g(T/T_c(\mu=0)) \longrightarrow g(T^*/T_c(\mu))$$

- Critical temperature $T_c(\mu_q)$: obtained by assuming a constant energy density ε for the system at $T=T_c(\mu_q)$, where ε at $T_c(\mu_q=0)=156$ GeV is fixed by IQCD at $\mu_q=0$



$$\frac{T_c(\mu_q)}{T_c(\mu_q=0)} = \sqrt{1 - \alpha \mu_q^2} \approx 1 - \alpha/2 \mu_q^2 + \dots$$

$$\alpha \approx 8.79 \text{ GeV}^{-2}$$

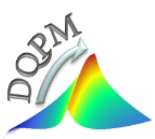
! Consistent with lattice QCD:

IQCD: C. Bonati et al., PRC90 (2014) 114025

$$\frac{T_c(\mu_B)}{T_c} = 1 - \kappa \left(\frac{\mu_B}{T_c} \right)^2 + \dots$$

$$\text{IQCD } \kappa = 0.013(2) \longleftrightarrow \kappa_{DQPM} \approx 0.0122$$

H. Berrehrah et al, PRC 93 (2016) 044914, Int.J.Mod.Phys. E25 (2016) 1642003,



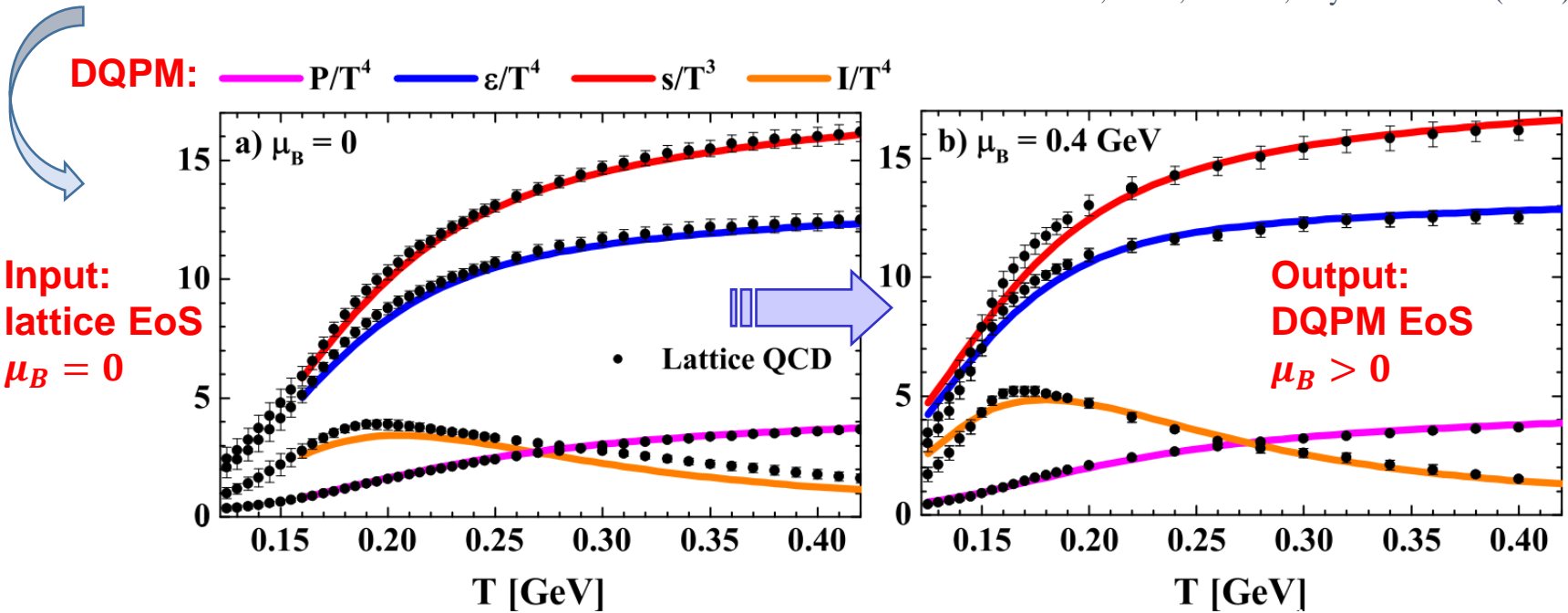
DQPM thermodynamics at finite (T, μ_q)

- Entropy and baryon density in the quasiparticle limit (G. Baym 1998):

$$s^{dqp} = - \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} \left[d_g \frac{\partial n_B}{\partial T} (\text{Im}(\ln -\Delta^{-1}) + \text{Im} \Pi \text{Re} \Delta) \right. \\ \left. + \sum_{q=u,d,s} d_q \frac{\partial n_F(\omega - \mu_q)}{\partial T} (\text{Im}(\ln -S_q^{-1}) + \text{Im} \Sigma_q \text{Re} S_q) \right. \\ \left. + \sum_{\bar{q}=\bar{u},\bar{d},\bar{s}} d_{\bar{q}} \frac{\partial n_F(\omega + \mu_q)}{\partial T} (\text{Im}(\ln -S_{\bar{q}}^{-1}) + \text{Im} \Sigma_{\bar{q}} \text{Re} S_{\bar{q}}) \right]$$

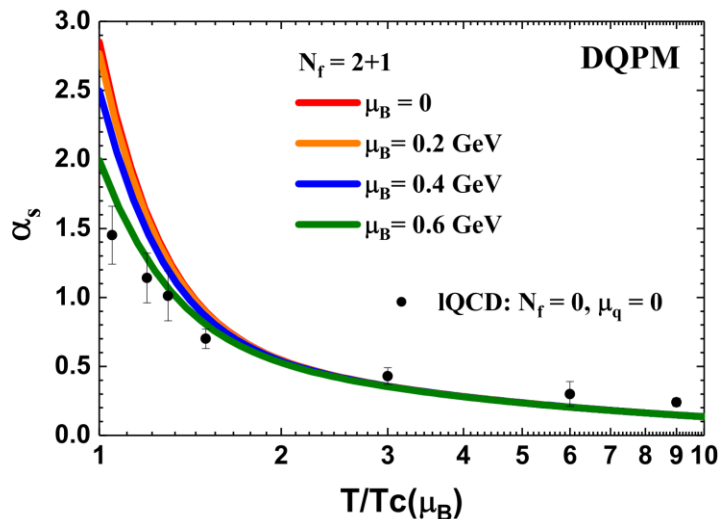
$$n^{dqp} = - \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} \left[\sum_{q=u,d,s} d_q \frac{\partial n_F(\omega - \mu_q)}{\partial \mu_q} (\text{Im}(\ln -S_q^{-1}) + \text{Im} \Sigma_q \text{Re} S_q) \right. \\ \left. + \sum_{\bar{q}=\bar{u},\bar{d},\bar{s}} d_{\bar{q}} \frac{\partial n_F(\omega + \mu_q)}{\partial \mu_q} (\text{Im}(\ln -S_{\bar{q}}^{-1}) + \text{Im} \Sigma_{\bar{q}} \text{Re} S_{\bar{q}}) \right]$$

Blaziot, Iancu, Rebhan, Phys. Rev. D 63 (2001) 065003

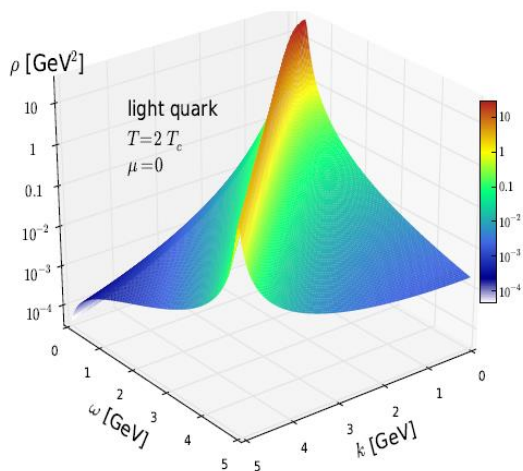


DQPM: parton properties

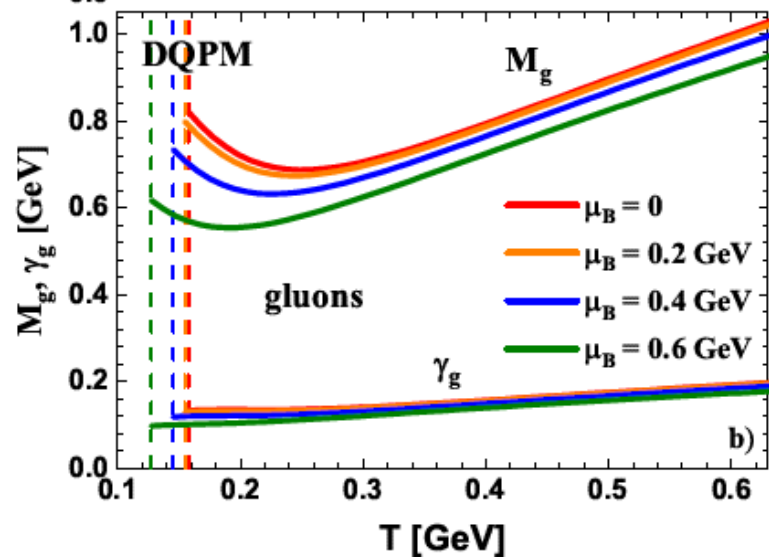
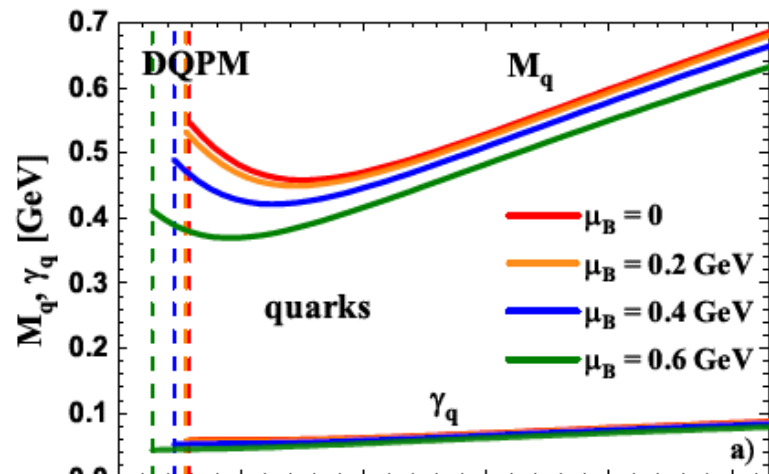
□ Coupling as a function of (T, μ_B)



→ Lorentzian spectral function:



□ Masses and widths as a function of (T, μ_B)



Partonic interactions: matrix elements

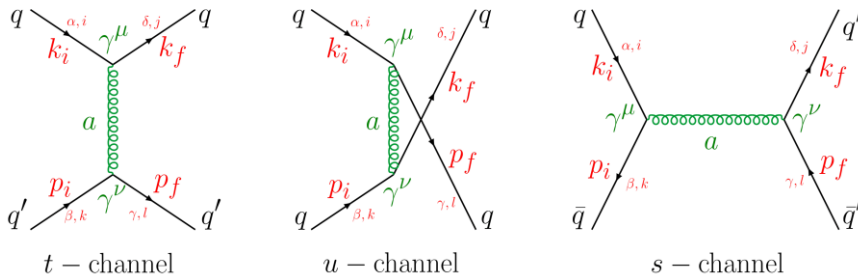
DQPM partonic cross sections \rightarrow **leading order diagrams**

Propagators for massive bosons and fermions:

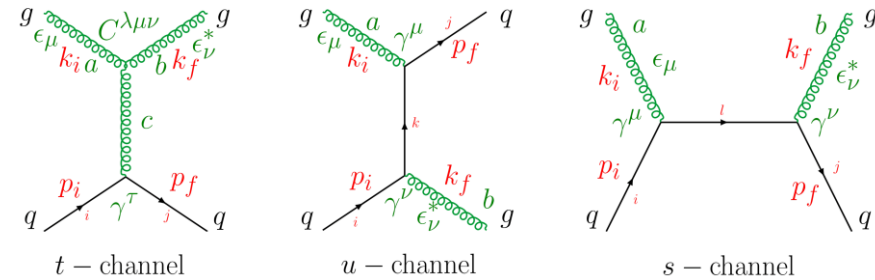
$$\frac{\mu, a}{\text{-----}} \frac{\nu, b}{q} = -i\delta_{ab} \frac{g^{\mu\nu} - q^\mu q^\nu / M_g^2}{q^2 - M_g^2 + 2i\gamma_g q_0}$$

$$\begin{array}{c} i \\ \longrightarrow \\ q \end{array} \begin{array}{c} j \\ \longrightarrow \\ q \end{array} = i\delta_{ij} \frac{q\!\!\!/ + M_q}{q^2 - M_q^2 + 2i\gamma_q q_0}$$

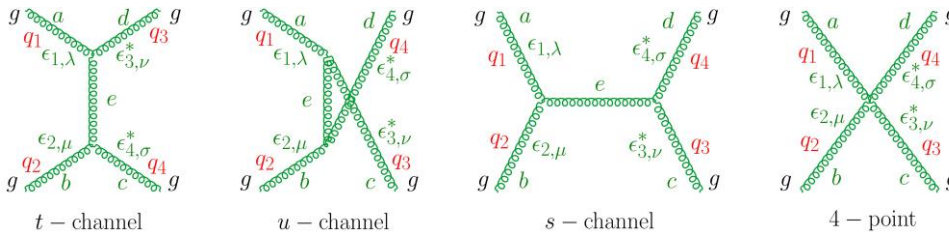
qq' \rightarrow qq' scattering



gq \rightarrow gq scattering



gg \rightarrow gg scattering

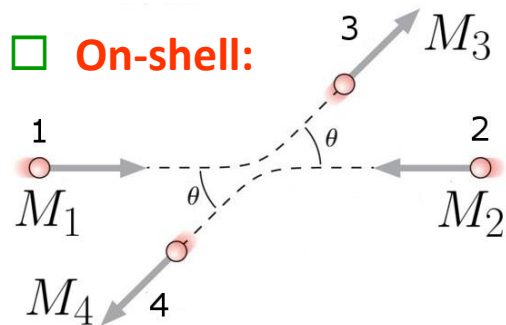


H. Berrehrah et al, PRC 93 (2016) 044914,
Int.J.Mod.Phys. E25 (2016) 1642003,



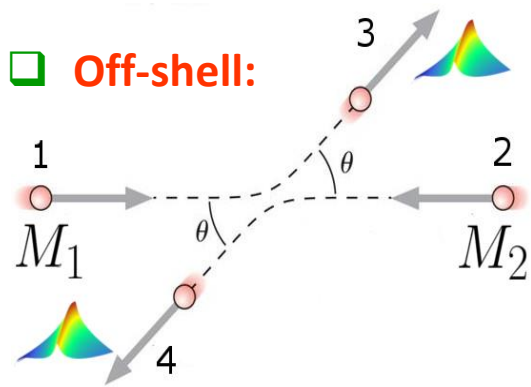
P. Moreau et al., PRC100 (2019) 014911

Differential cross sections



Initial masses: pole masses

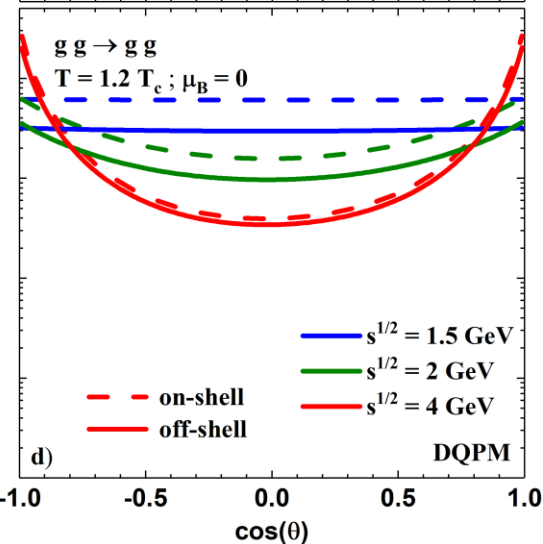
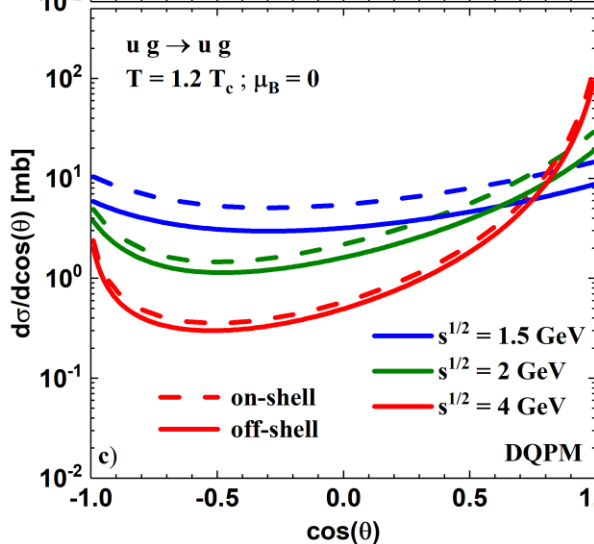
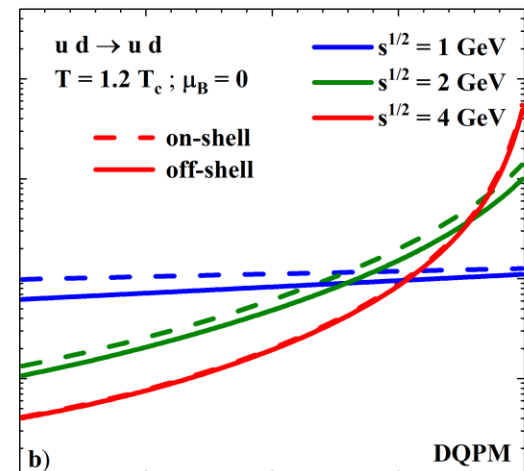
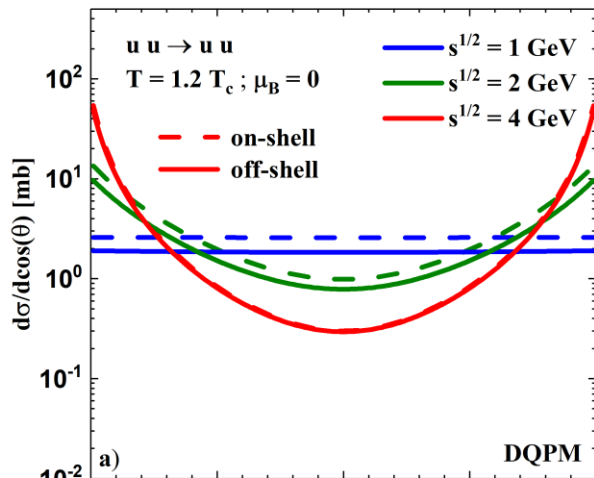
Final masses: pole masses



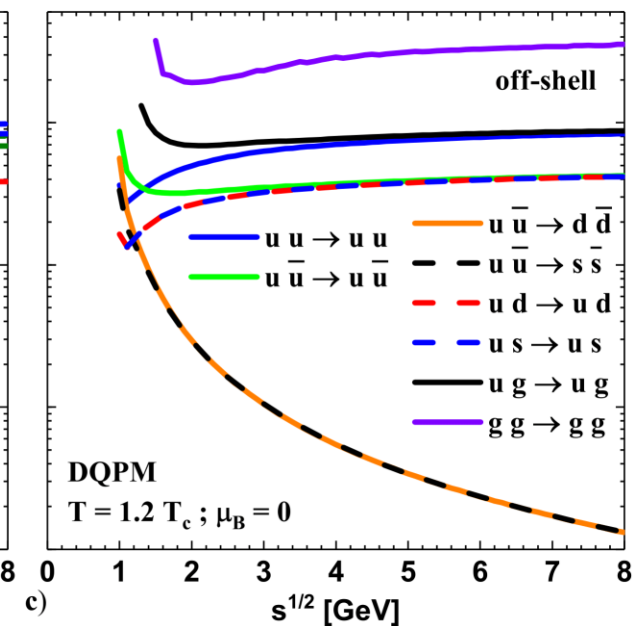
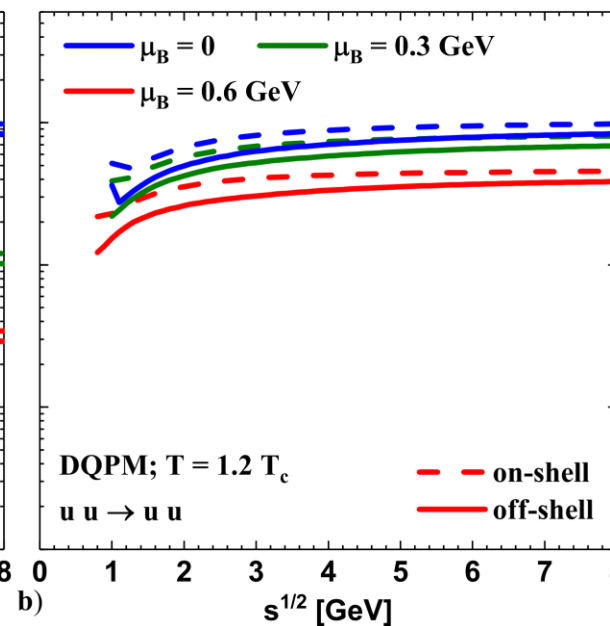
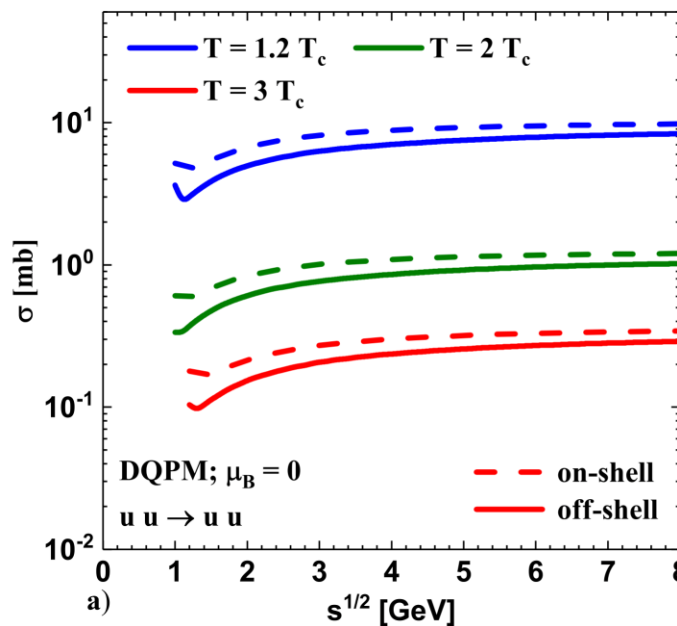
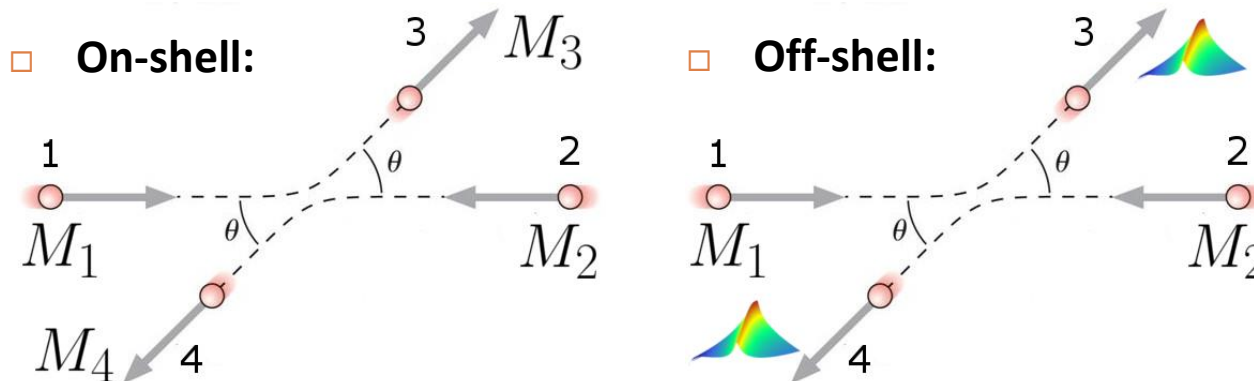
Initial masses: pole masses

Final masses: integrated over spectral functions

- At lower s : off-shell $\sigma <$ on-shell σ since $\omega_3 + \omega_4 < \sqrt{s}$



Total cross section



DQPM: Mean-field potential for quasiparticles

Space-like part of energy-momentum tensor $T_{\mu\nu}$ defines the **potential energy density**:

$$V_p(T, \mu_q) = T_{g-}^{00}(T, \mu_q) + T_{q-}^{00}(T, \mu_q) + T_{\bar{q}-}^{00}(T, \mu_q)$$

space-like gluons + **space-like quarks+antiquarks**

$$\tilde{T}_{r_g^\pm} \dots = d_g \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} 2\omega \rho_g(\omega) \Theta(\omega) n_B(\omega/T) \Theta(\pm P^2) \dots$$

$$\tilde{T}_{r_q^\pm} \dots = d_q \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} 2\omega \rho_q(\omega) \Theta(\omega) n_F((\omega - \mu_q)/T) \Theta(\pm P^2) \dots$$

$$\tilde{T}_{r_{\bar{q}}^\pm} \dots = d_{\bar{q}} \int \frac{d\omega}{2\pi} \frac{d^3p}{(2\pi)^3} 2\omega \rho_{\bar{q}}(\omega) \Theta(\omega) n_F((\omega + \mu_q)/T) \Theta(\pm P^2) \dots$$

→ **mean-field scalar potential (1PI) for quarks and gluons (U_q, U_g) vs parton scalar density ρ_s :**

$$U_s(\rho_s) = \frac{dV_p(\rho_s)}{d\rho_s} \quad \rho_s = N_g^+ + N_q^+ + N_{\bar{q}}^+$$

$$U_q = U_s, \quad U_g \sim 2U_s$$

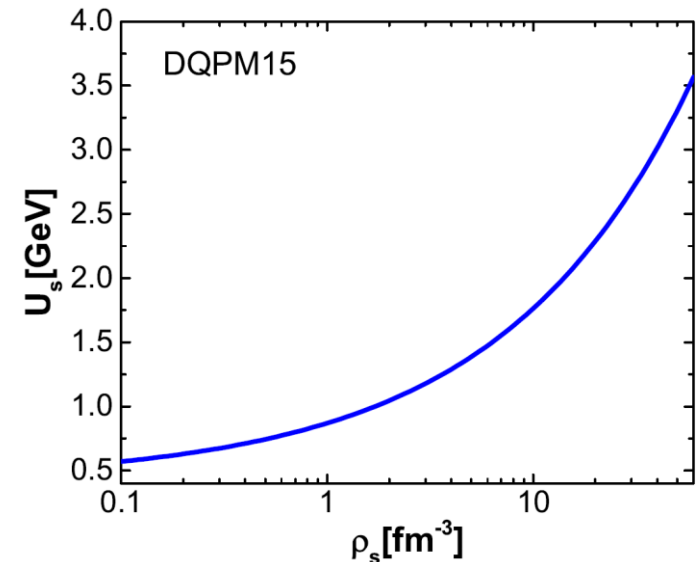
Quasiparticle potentials (U_q, U_g) are repulsive !

→ **the force acting on a quasiparticle j :**

$$F \sim M_j/E_j \nabla U_s(x) = M_j/E_j dU_s/d\rho_s \nabla \rho_s(x)$$

$$j = g, q, \bar{q}$$

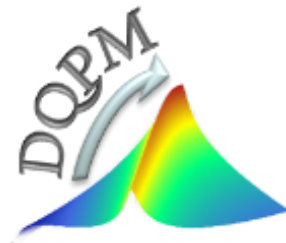
→ **accelerates particles**



QGP near equilibrium

DQPM (T, μ_q):

transport properties at finite (T, μ_q)



The properties of QGP in HICs → transport coefficients

Properties of the QGP near equilibrium are characterized by **transport coefficients**

Shear η , bulk viscosity ζ , ... are **'input'** for the **viscous hydrodynamic models!**

Hydrodynamics

$$T^{\mu\nu} = -Pg^{\mu\nu} + wu^\mu u^\nu + \Delta T^{\mu\nu}$$

$$J_B^\mu = n_B u^\mu + \Delta J_B^\mu$$

$$\begin{cases} \partial_\mu J_B^\mu = 0 \\ \partial_\mu T^{\mu\nu} = 0 \end{cases}$$

input for hydro simulations

$$\Delta T^{\mu\nu} = \eta \left(D^\mu u^\nu + D^\nu u^\mu + \frac{2}{3} \Delta^{\mu\nu} \partial_\rho u^\rho \right) - \zeta \Delta^{\mu\nu} \partial_\rho u^\rho$$

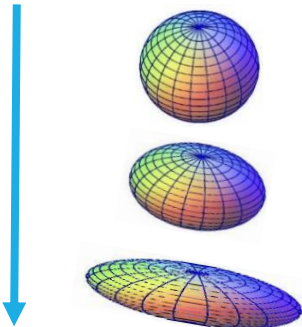
$$\Delta J_B^\mu = \kappa_B D^\mu \left(\frac{\mu_B}{T} \right)$$

$$D^\mu = \Delta^{\alpha\nu} \partial_\nu \quad \Delta^{\mu\nu} = g^{\mu\nu} - u^\mu u^\nu$$

Shear viscosity

Resistance to 'deformation'

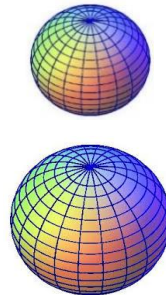
$$\eta \nabla^{\langle\mu} u^{\nu\rangle}$$



Bulk viscosity

Resistance to expansion

$$-\zeta \nabla u$$

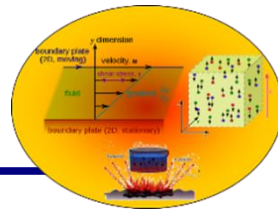


Baryon/electric charge
diffusion coefficients

$$\kappa_B \nabla^\mu \frac{\mu_B}{T}$$



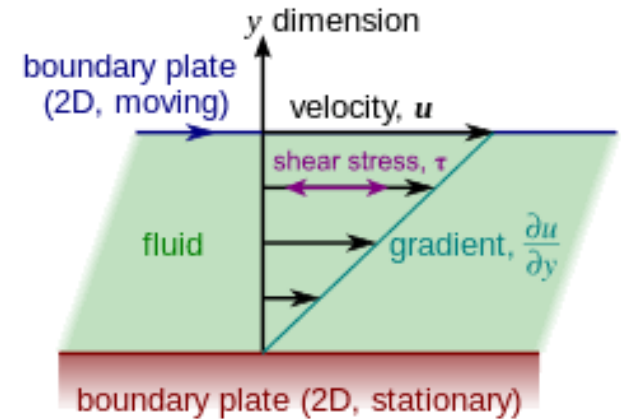
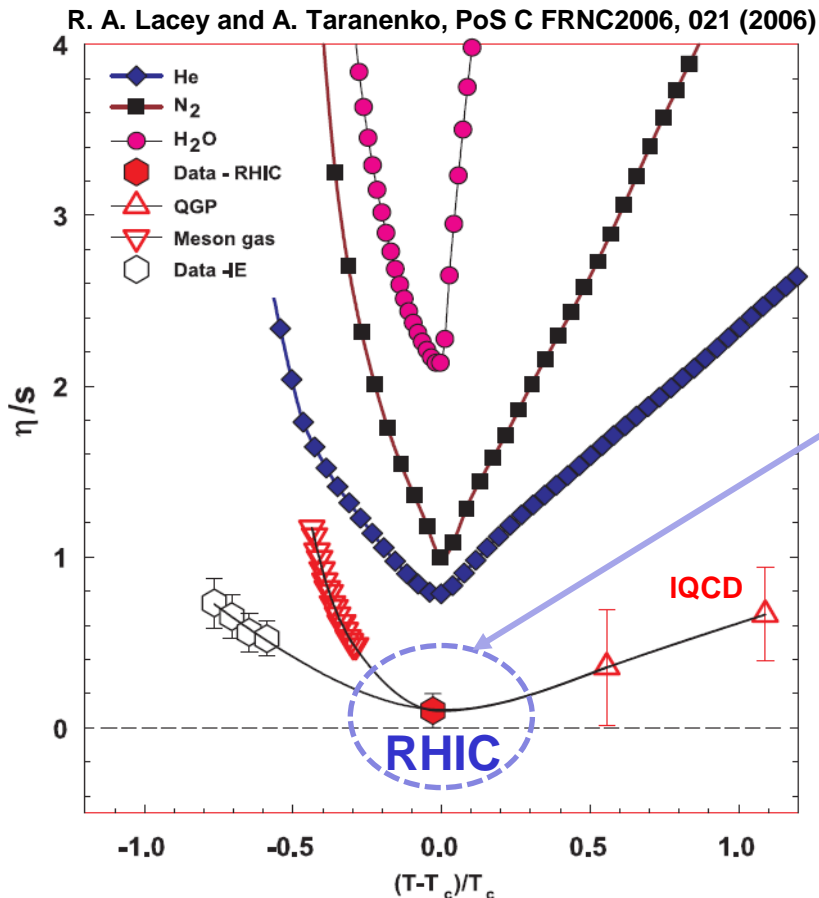
The properties of QGP from HIC - shear viscosity



The **shear viscosity** of a system measures its **resistance** to flow.

Wikipedia: Viscosity can be conceptualized as quantifying the **frictional force** that arises between adjacent layers of fluid that are in relative motion.

Compilation of the ratio of shear viscosity to entropy density for various substances:



Exp. data + IQCD:

η/s near T_c is very small !

→ **QGP** : close to an **ideal liquid**, not a gas of weakly interacting quarks and gluons

→ **QGP**: **strongly-interacting matter**

pQCD: shear viscosity η

QCD: Pure Yang-Mills (only gluons)

LO (Leading order) perturbative QCD calculations:

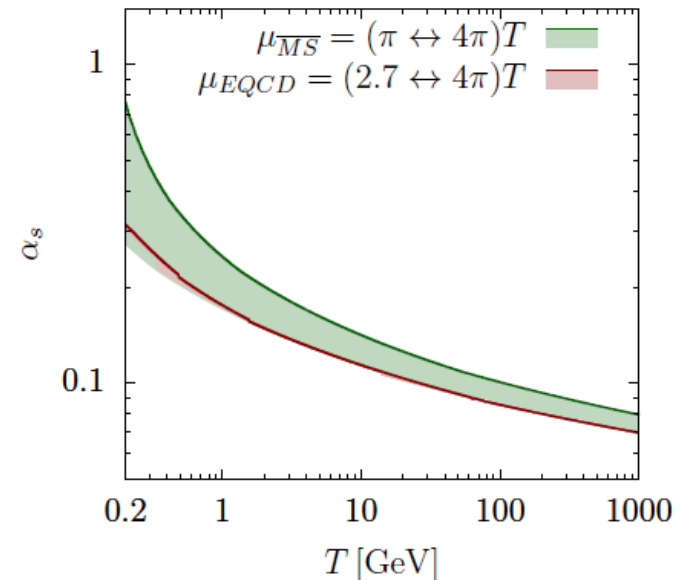
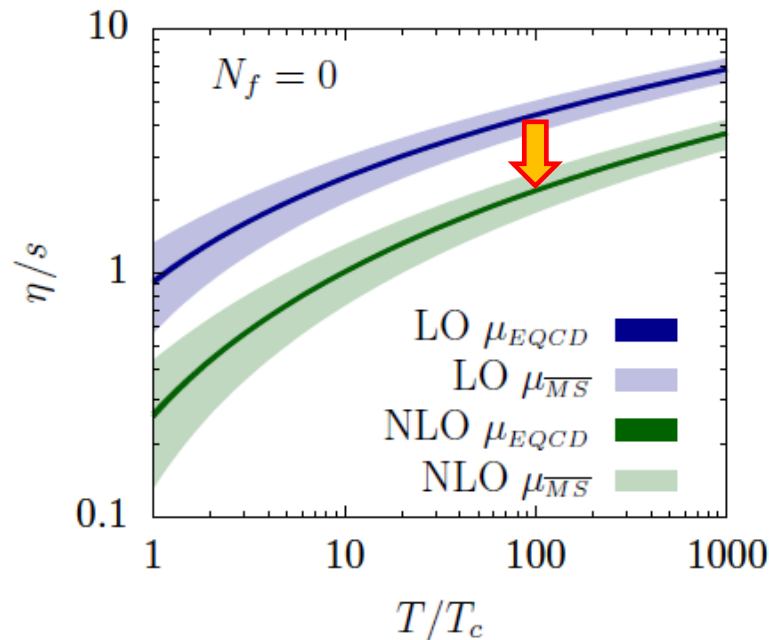
$\eta/s > 0.5$ at T near T_c 'AMY': P.B. Arnold, G.D. Moore and L.G. Yaffe, JHEP 11 (2000) 001)

NLO (Next-to-leading order):

(J. Ghiglieri, G.D. Moore, D. Teaney, JHEP 1803 (2018) 179):

“The next-to-leading order corrections are large and bring η/s down by more than a factor of 3 at physically relevant couplings.

The perturbative expansion is problematic even at $T \sim 100$ GeV”



→ from pQCD to effective models of QCD!

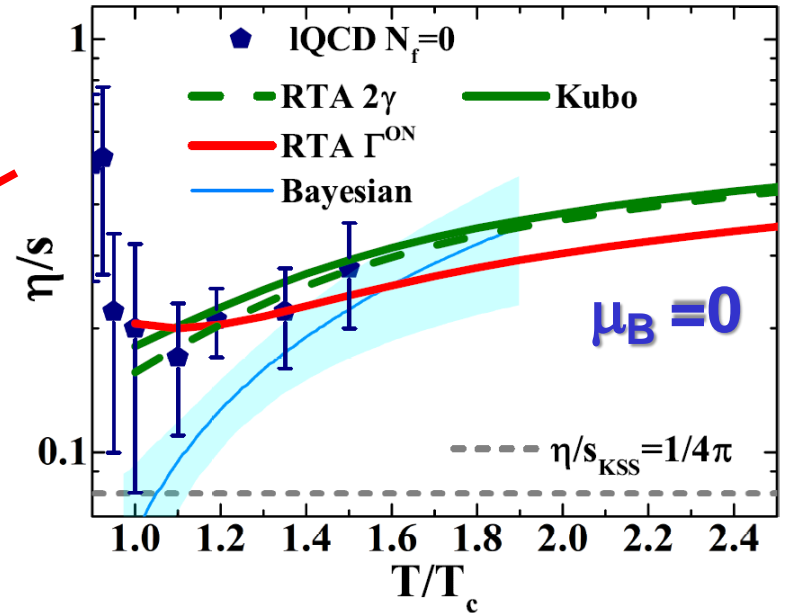
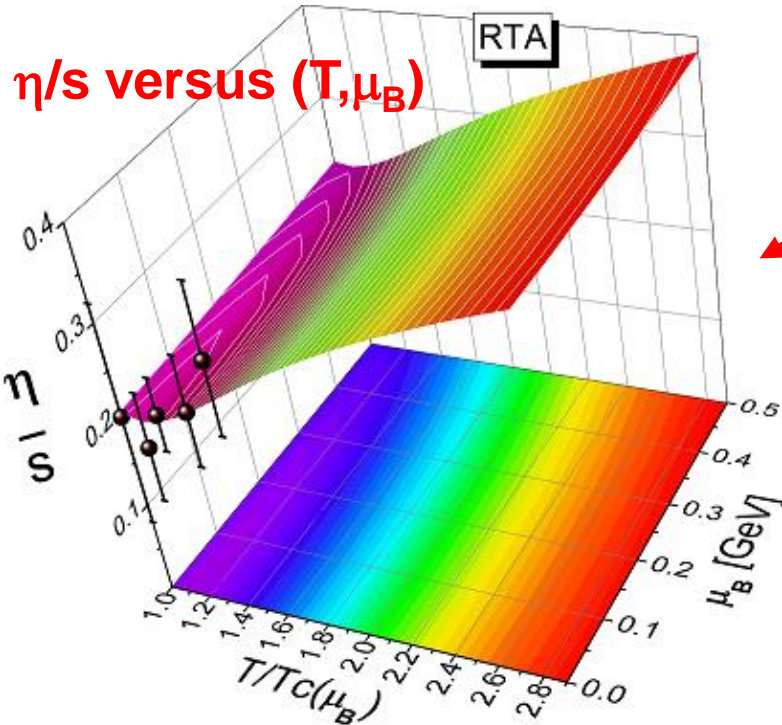
Transport coefficients: shear viscosity η

➤ Relaxation Time Approximation

$$\eta^{\text{RTA}}(T, \mu_B) = \frac{1}{15T} \sum_{i=q, \bar{q}, g} \int \frac{d^3p}{(2\pi)^3} \frac{\mathbf{p}^4}{E_i^2} \tau_i(\mathbf{p}, T, \mu_B) d_i (1 \pm f_i) f_i$$

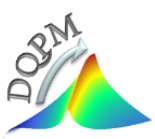
$$1) \tau_i(\mathbf{p}, T, \mu_B) = \frac{1}{\Gamma_i(\mathbf{p}, T, \mu_B)}$$

$$2) \tau_i(T, \mu_B) = \frac{1}{2\gamma_i(T, \mu_B)}$$



Hydro: Bayesian analysis, S. Bass et al., NPA967 (2017) 67
Kovtun-Son-Starinets bound : $(\eta/s)_{\text{KSS}} = 1/(4\pi)$

➤ Weak dependence of shear viscosity on μ_B



Transport coefficients: bulk viscosity ζ

➤ Relaxation Time Approximation

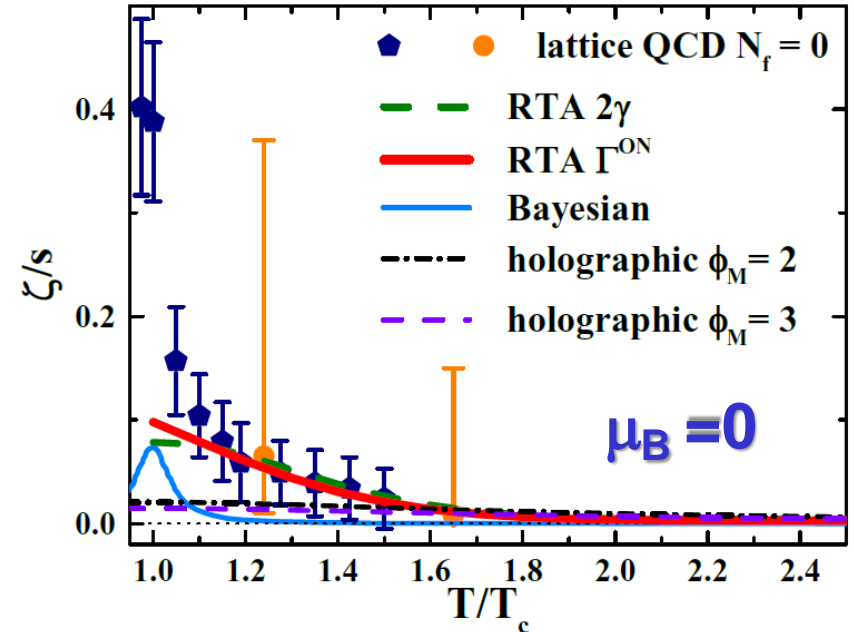
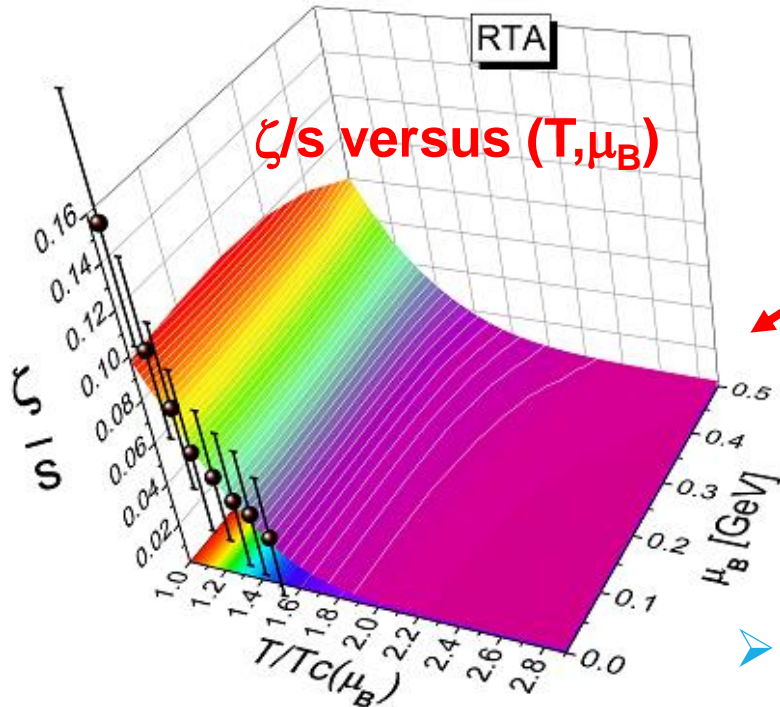
$$\zeta^{\text{RTA}}(T, \mu_B) = \frac{1}{9T} \sum_{i=q, \bar{q}, g} \int \frac{d^3p}{(2\pi)^3} \tau_i(\mathbf{p}, T, \mu_B)$$

Relaxation times

$$\times \frac{d_i(1 \pm f_i)f_i}{E_i^2} \left(\mathbf{p}^2 - 3c_s^2 \left(E_i^2 - T^2 \frac{dm_i^2}{dT^2} \right) \right)^2$$

From DQPM parametrization

Speed of sound



Lattice: Astrakhantsev et al, Phys.Rev. D98 (2018) 054515

➤ Weak dependence of bulk viscosity on μ_B

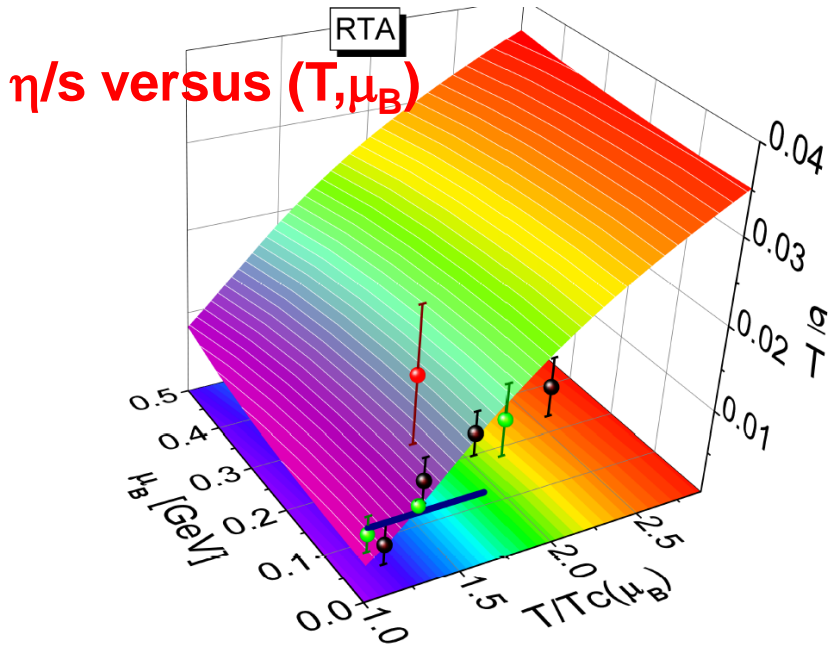
Transport coefficients: electric conductivity σ_e/T

$\sigma_0 \rightarrow$ Probe of **electric properties of the QGP**

➤ **Relaxation Time Approximation**

$$\sigma_0^{\text{RTA}}(T, \mu_B) = \frac{e^2}{3T} \sum_{i=q, \bar{q}} q_i^2 \int \frac{d^3p}{(2\pi)^3} \frac{\mathbf{p}^2}{E_i^2} \times \tau_i(\mathbf{p}, T, \mu_B) d_i(1 - f_i) f_i$$

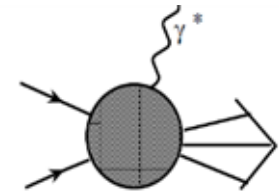
- the **QCD matter** even at $T \sim T_c$ is a **much better electric conductor than Cu or Ag** (at room temperature) by a factor of **500** !



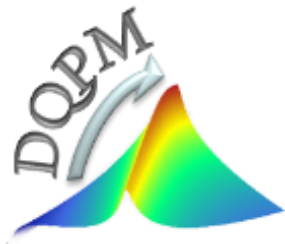
Exp. observables – photon and dilepton spectra

☐ **Photon emission:** rates for $q_0 \rightarrow 0$ are related to **electric conductivity σ_0**

$$q_0 \left. \frac{dR}{d^4x d^3q} \right|_{q_0 \rightarrow 0} = \frac{T}{4\pi^3} \sigma_0$$



QGP:
in-equilibrium → off-equilibrium
microscopic transport theory!



From weakly to strongly interacting systems

Properties of matter (on hadronic and partonic levels) in heavy-ion collisions:

QGP – strongly interacting system! Degrees of freedom – dressed partons!

Hadronic matter – in-medium effects – modification of hadron properties at finite T, μ_B (vector mesons, strange mesons)

Many-body theory:

Strong interaction → large width = short life-time

→ broad spectral function → **quantum object**

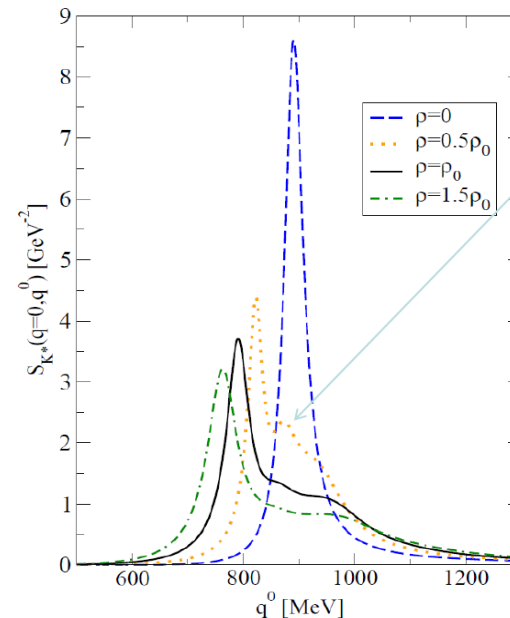
▪ How to describe the dynamics of broad strongly interacting quantum states in transport theory?

□ semi-classical BUU

first order gradient expansion of quantum Kadanoff-Baym equations

□ generalized transport equations based on Kadanoff-Baym dynamics

Kbar* spectral function



$\Lambda(1783)N^{-1}$
and
 $\Sigma(1830)N^{-1}$
excitations

Dynamical description of strongly interacting systems

Quantum field theory →

Kadanoff-Baym dynamics for resummed single-particle Green functions $S^<$

$$\hat{S}_{0x}^{-1} S_{xy}^< = \sum_{xz}^{ret} \odot S_{zy}^< + \sum_{xz}^< \odot S_{zy}^{adv}$$

(1962)

Green functions $S^</math> / self-energies Σ :$

$$iS_{xy}^< = \eta \langle \{ \Phi^+(y) \Phi(x) \} \rangle$$

$$iS_{xy}^> = \langle \{ \Phi(y) \Phi^+(x) \} \rangle$$

$$iS_{xy}^c = \langle T^c \{ \Phi(x) \Phi^+(y) \} \rangle \text{ - causal}$$

$$iS_{xy}^a = \langle T^a \{ \Phi(x) \Phi^+(y) \} \rangle \text{ - anticausal}$$

Integration over the intermediate spacetime

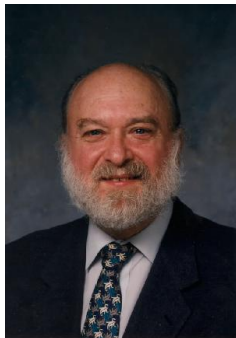
$$S_{xy}^{ret} = S_{xy}^c - S_{xy}^< = S_{xy}^> - S_{xy}^a \text{ - retarded}$$

$$S_{xy}^{adv} = S_{xy}^c - S_{xy}^> = S_{xy}^< - S_{xy}^a \text{ - advanced}$$

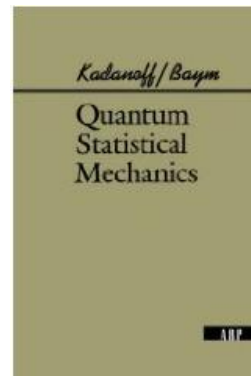
$$\eta = \pm 1 (\text{bosons / fermions})$$

$$T^a (T^c) \text{ - (anti-)time - ordering operator}$$

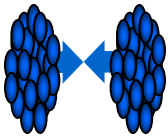
$$\hat{S}_{0x}^{-1} \equiv -(\partial_x^\mu \partial_\mu^x + M_0^2)$$



Leo Kadanoff



Gordon Baym



From Kadanoff-Baym equations to generalized transport equations

After the first order gradient expansion of the Wigner transformed Kadanoff-Baym equations and separation into the real and imaginary parts one gets:

Generalized transport equations (GTE):

$$\underbrace{\diamond \{ P^2 - M_0^2 - \text{Re} \Sigma_{XP}^{\text{ret}} \}}_{\text{drift term}} \underbrace{\{ S_{XP}^< \}}_{\text{Vlasov term}} - \underbrace{\diamond \{ \Sigma_{XP}^< \} \{ \text{Re} S_{XP}^{\text{ret}} \}}_{\text{backflow term}} = \frac{i}{2} [\Sigma_{XP}^> S_{XP}^< - \Sigma_{XP}^< S_{XP}^>] \quad \text{collision term} = \text{,gain' - ,loss' term}$$

Backflow term incorporates the **off-shell** behavior in the particle propagation
! vanishes in the quasiparticle limit $A_{XP} \rightarrow \delta(p^2 - M^2)$

□ GTE: Propagation of the Green's function $iS_{XP}^< = A_{XP} N_{XP}$, which carries information not only on the **number of particles** (N_{XP}), but also on their **properties**, interactions and correlations (via A_{XP})

□ **Spectral function:**

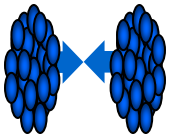
$$A_{XP} = \frac{\Gamma_{XP}}{(P^2 - M_0^2 - \text{Re} \Sigma_{XP}^{\text{ret}})^2 + \Gamma_{XP}^2/4}$$

$\Gamma_{XP} = -\text{Im} \Sigma_{XP}^{\text{ret}} = 2 p_0 \Gamma$ - **,width' of spectral function**
= reaction rate of particle (at space-time position X)

4-dimensional generalization of the Poisson-bracket:

$$\diamond \{ F_1 \} \{ F_2 \} := \frac{1}{2} \left(\frac{\partial F_1}{\partial X_\mu} \frac{\partial F_2}{\partial P^\mu} - \frac{\partial F_1}{\partial P_\mu} \frac{\partial F_2}{\partial X^\mu} \right)$$

□ **Life time** $\tau = \frac{\hbar c}{\Gamma}$



General testparticle off-shell equations of motion

W. Cassing , S. Juchem, NPA 665 (2000) 377; 672 (2000) 417; 677 (2000) 445

□ Employ **testparticle Ansatz** for the real valued quantity $i S_{XP}^<$

$$F_{XP} = A_{XP} N_{XP} = i S_{XP}^< \sim \sum_{i=1}^N \delta^{(3)}(\vec{X} - \vec{X}_i(t)) \delta^{(3)}(\vec{P} - \vec{P}_i(t)) \delta(P_0 - \epsilon_i(t))$$

insert in generalized transport equations and determine **equations of motion !**

→ **General testparticle Cassing's off-shell equations of motion for the time-like particles:**

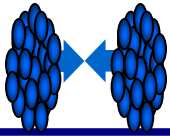
$$\frac{d\vec{X}_i}{dt} = \frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[2\vec{P}_i + \vec{\nabla}_{P_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \vec{\nabla}_{P_i} \Gamma_{(i)} \right],$$

$$\frac{d\vec{P}_i}{dt} = -\frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[\vec{\nabla}_{X_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \vec{\nabla}_{X_i} \Gamma_{(i)} \right],$$

$$\frac{d\epsilon_i}{dt} = \frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[\frac{\partial \text{Re}\Sigma_{(i)}^{\text{ret}}}{\partial t} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \frac{\partial \Gamma_{(i)}}{\partial t} \right],$$

with $F_{(i)} \equiv F(t, \vec{X}_i(t), \vec{P}_i(t), \epsilon_i(t))$

$$C_{(i)} = \frac{1}{2\epsilon_i} \left[\frac{\partial}{\partial \epsilon_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \frac{\partial}{\partial \epsilon_i} \Gamma_{(i)} \right]$$



Collision term in off-shell transport models

Collision term for reaction 1+2->3+4:

$$I_{coll}(X, \vec{P}, M^2) = Tr_2 Tr_3 Tr_4 \underline{A(X, \vec{P}, M^2) A(X, \vec{P}_2, M_2^2) A(X, \vec{P}_3, M_3^2) A(X, \vec{P}_4, M_4^2)}$$

$$\underline{|G((\vec{P}, M^2) + (\vec{P}_2, M_2^2) \rightarrow (\vec{P}_3, M_3^2) + (\vec{P}_4, M_4^2))|_{\mathcal{A}, \mathcal{S}}^2} \delta^{(4)}(P + P_2 - P_3 - P_4)$$

$$[\underbrace{N_{X\vec{P}_3 M_3^2} N_{X\vec{P}_4 M_4^2} \bar{f}_{X\vec{P} M^2} \bar{f}_{X\vec{P}_2 M_2^2}}_{\text{,gain' term}} - \underbrace{N_{X\vec{P} M^2} N_{X\vec{P}_2 M_2^2} \bar{f}_{X\vec{P}_3 M_3^2} \bar{f}_{X\vec{P}_4 M_4^2}}_{\text{,loss' term}}]$$

with $\bar{f}_{X\vec{P} M^2} = 1 + \eta N_{X\vec{P} M^2}$ and $\eta = \pm 1$ for bosons/fermions, respectively.

The trace over particles 2,3,4 reads explicitly

for fermions

$$Tr_2 = \sum_{\sigma_2, \tau_2} \frac{1}{(2\pi)^4} \int d^3 P_2 \frac{dM_2^2}{2\sqrt{\vec{P}_2^2 + M_2^2}}$$

for bosons

$$Tr_2 = \sum_{\sigma_2, \tau_2} \frac{1}{(2\pi)^4} \int d^3 P_2 \frac{dP_{0,2}^2}{2}$$

additional integration

The transport approach and the particle spectral functions are fully determined once the **in-medium transition amplitudes G** are known in their **off-shell dependence!**



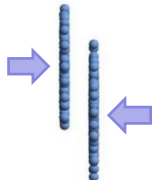
Parton-Hadron-String-Dynamics (PHSD)



PHSD is a **non-equilibrium microscopic transport approach** for the description of **strongly-interacting hadronic and partonic matter** created in heavy-ion collisions

Dynamics: based on the solution of **generalized off-shell transport equations** derived from Kadanoff-Baym many-body theory

Initial A+A collision



Initial A+A collisions :

$N+N \rightarrow$ **string formation** \rightarrow decay to pre-hadrons + leading hadrons

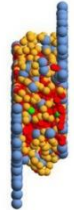
Formation of QGP stage if local $\varepsilon > \varepsilon_{\text{critical}}$:

dissolution of **pre-hadrons** \rightarrow partons

Partonic phase - QGP:

QGP is described by the **Dynamical QuasiParticle Model (DQPM)** matched to reproduce **lattice QCD EoS** for finite T and μ_B (crossover)

Partonic phase



- **Degrees-of-freedom:** strongly interacting quasiparticles: **massive quarks and gluons (g, q, q_{bar})** with sizeable collisional widths in a self-generated mean-field potential

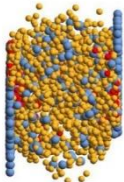
- **Interactions:** (quasi-)elastic and inelastic collisions of partons

Hadronization to colorless **off-shell mesons and baryons:**

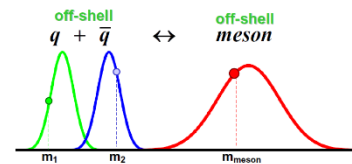
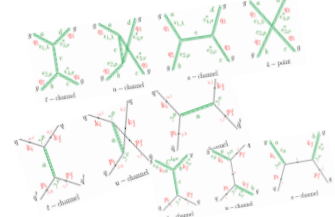
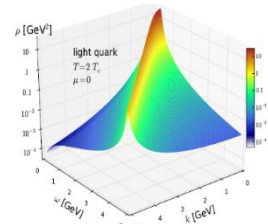
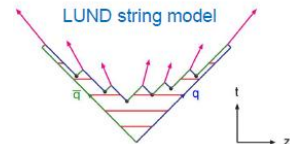
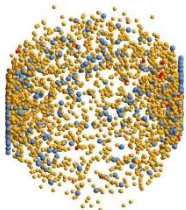
Strict 4-momentum and quantum number conservation

Hadronic phase: hadron-hadron interactions – **off-shell HSD**

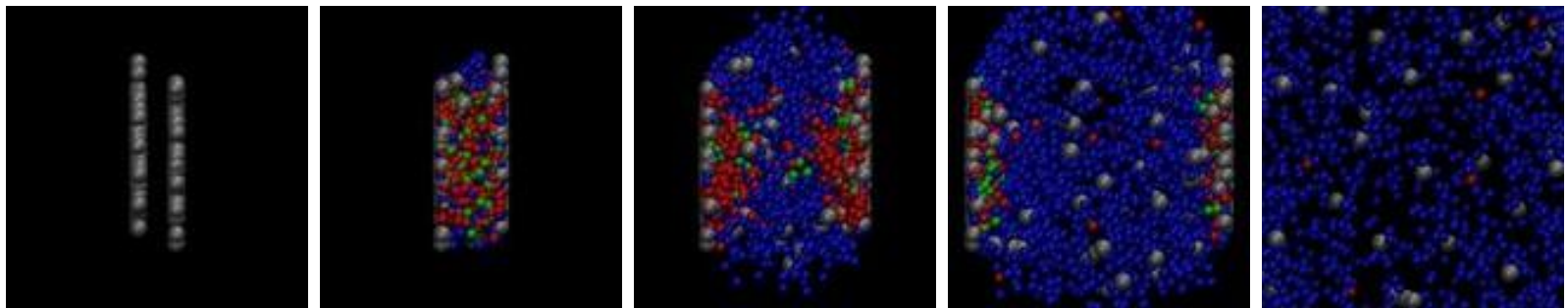
Hadronization



Hadronic phase



Traces of the QGP in observables in high energy heavy-ion collisions



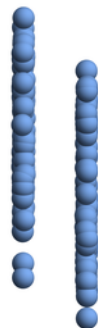
Stages of a collision in PHSD

$t = 0.05 \text{ fm}/c$



$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ – Section view



 Baryons (394)

 Antibaryons (0)

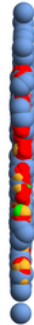
 Mesons (0)

 Quarks (0)

 Gluons (0)

Stages of a collision in PHSD

$t = 1.6512 \text{ fm}/c$



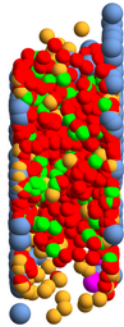
$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ – Section view

-  Baryons (394)
-  Antibaryons (0)
-  Mesons (1523)
-  Quarks (4553)
-  Gluons (368)


Stages of a collision in PHSD

$t = 3.91921 \text{ fm}/c$



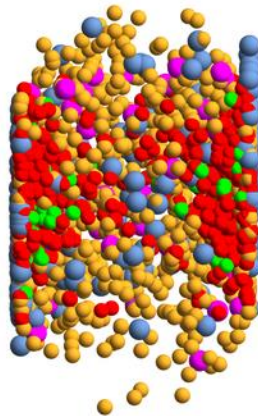
$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ – Section view

-  Baryons (426)
-  Antibaryons (29)
-  Mesons (1189)
-  Quarks (4459)
-  Gluons (783)

Stages of a collision in PHSD

$t = 7.31921 \text{ fm}/c$



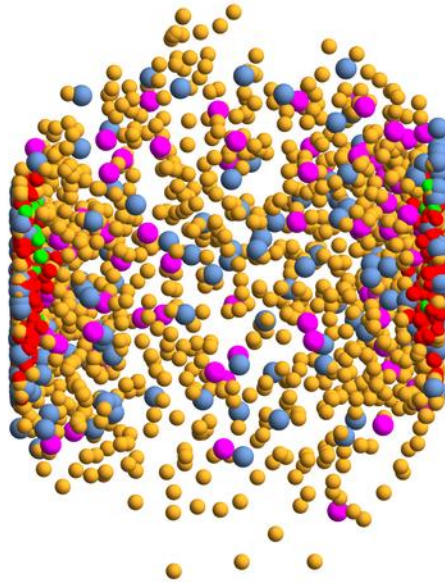
$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ – Section view

-  Baryons (540)
-  Antibaryons (120)
-  Mesons (2481)
-  Quarks (2901)
-  Gluons (492)

Stages of a collision in PHSD

$t = 12.0192 \text{ fm}/c$



$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ – Section view

 Baryons (626)

 Antibaryons (202)

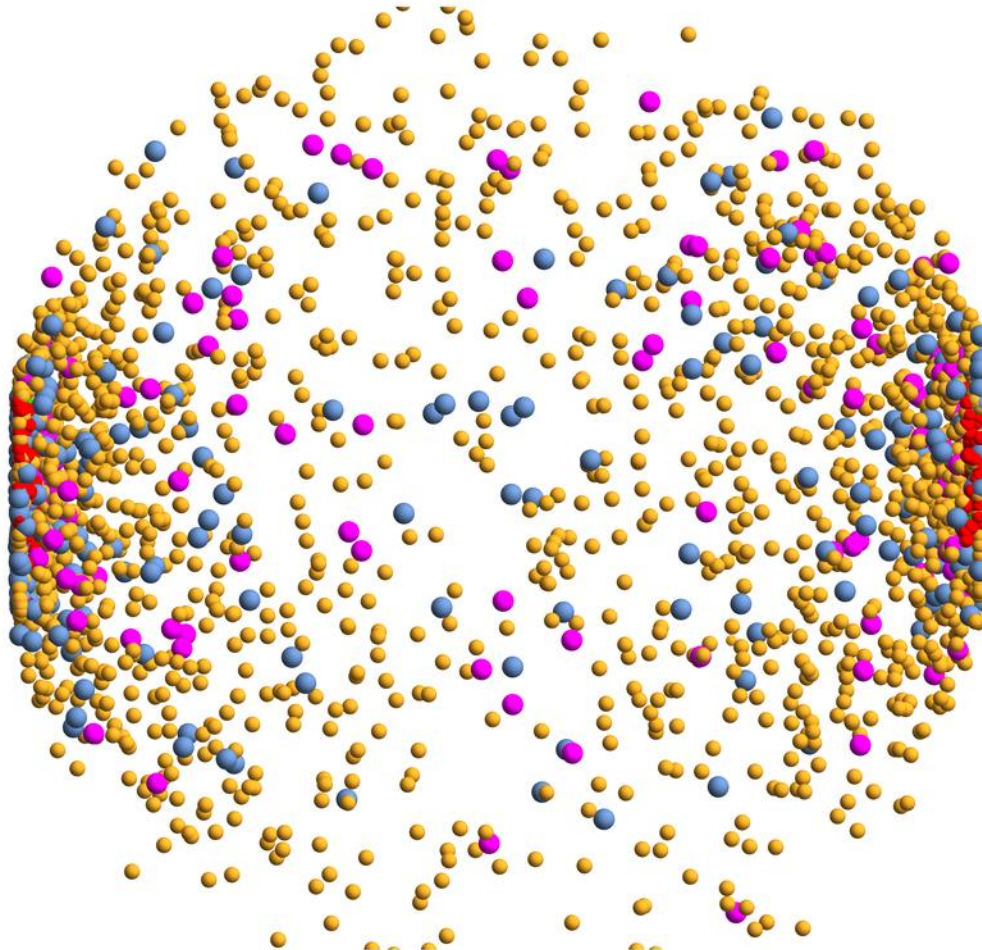
 Mesons (3357)

 Quarks (1835)

 Gluons (269)

Stages of a collision in PHSD

$t = 25.5191 \text{ fm}/c$



$\text{Au} + \text{Au} \sqrt{s_{\text{NN}}} = 200 \text{ GeV}$

$b = 2.2 \text{ fm}$ - Section view

 Baryons (710)

 Antibaryons (272)

 Mesons (4343)

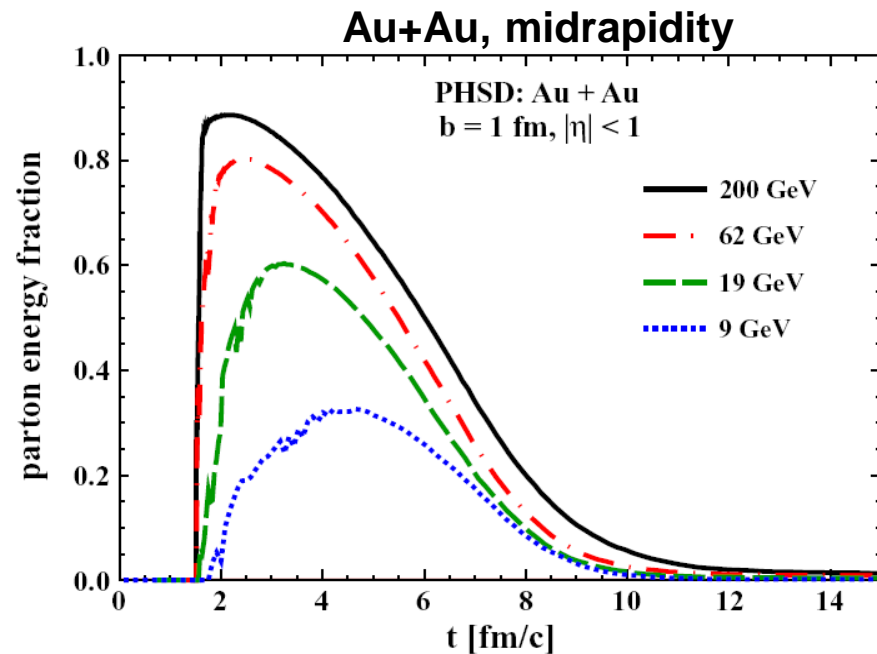
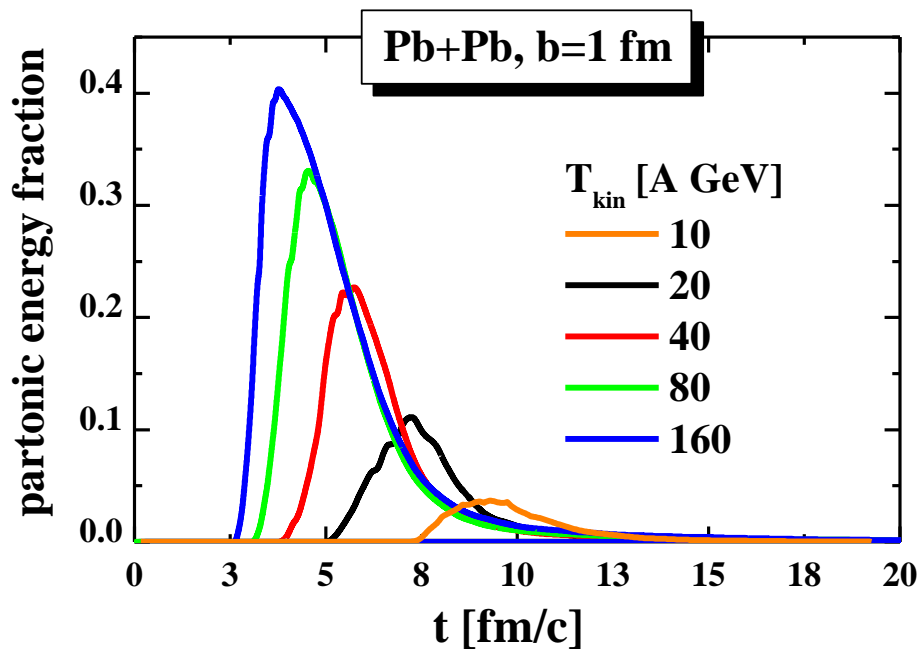
 Quarks (899)

 Gluons (46)



Partonic energy fraction in central A+A

Time evolution of the partonic energy fraction vs energy

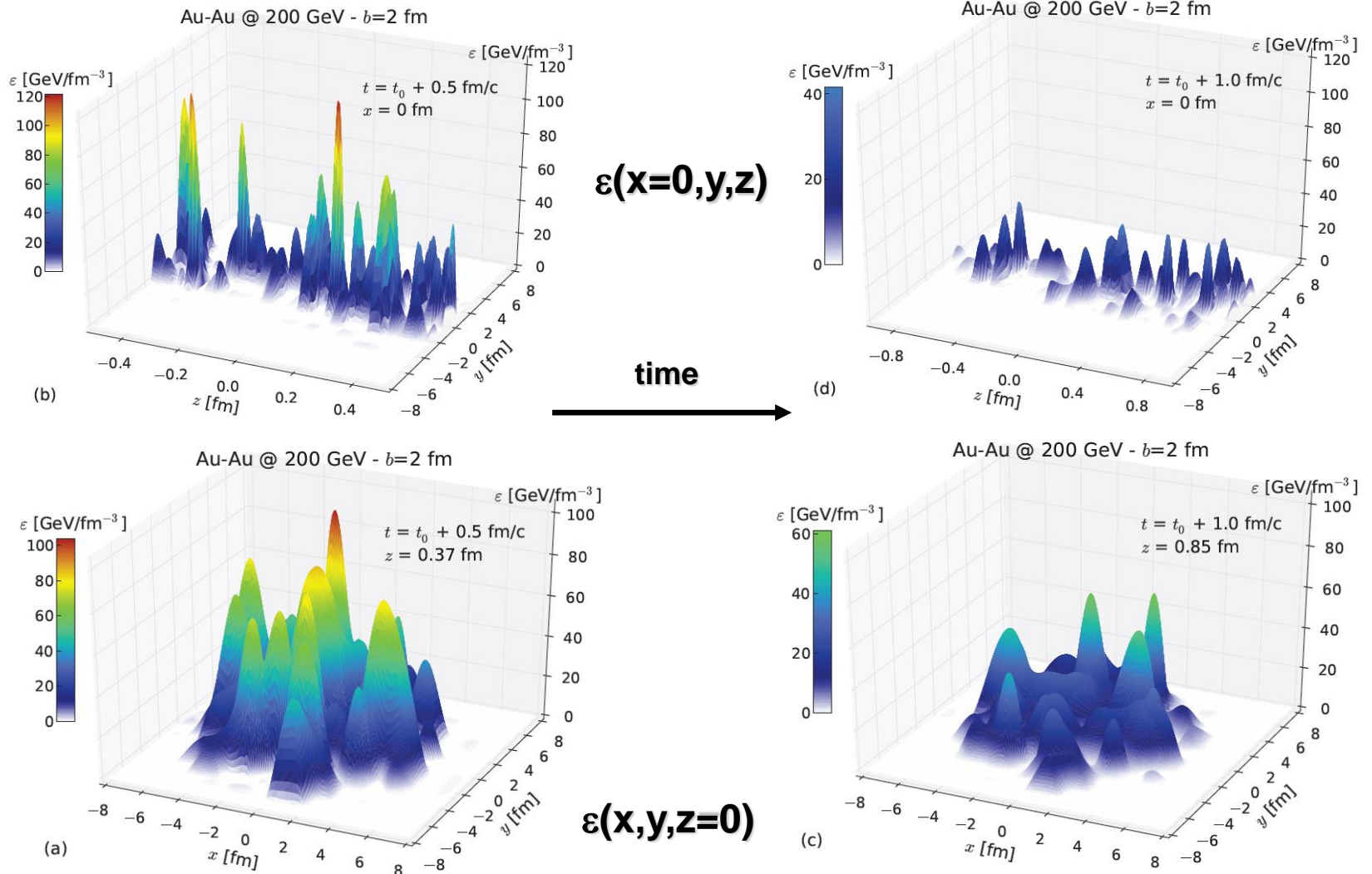


- Strong increase of partonic phase with energy from AGS to RHIC
- SPS: Pb+Pb, 160 A GeV: only about 40% of the converted energy goes to partons; the rest is contained in the large hadronic corona and leading partons
- RHIC: Au+Au, 21.3 A TeV: up to 90% - QGP



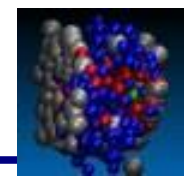
Time evolution of energy density

PHSD: 1 event Au+Au, 200 GeV, $b = 2$ fm



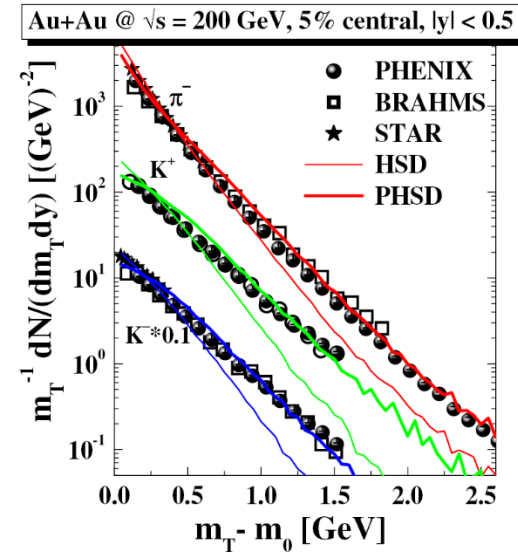
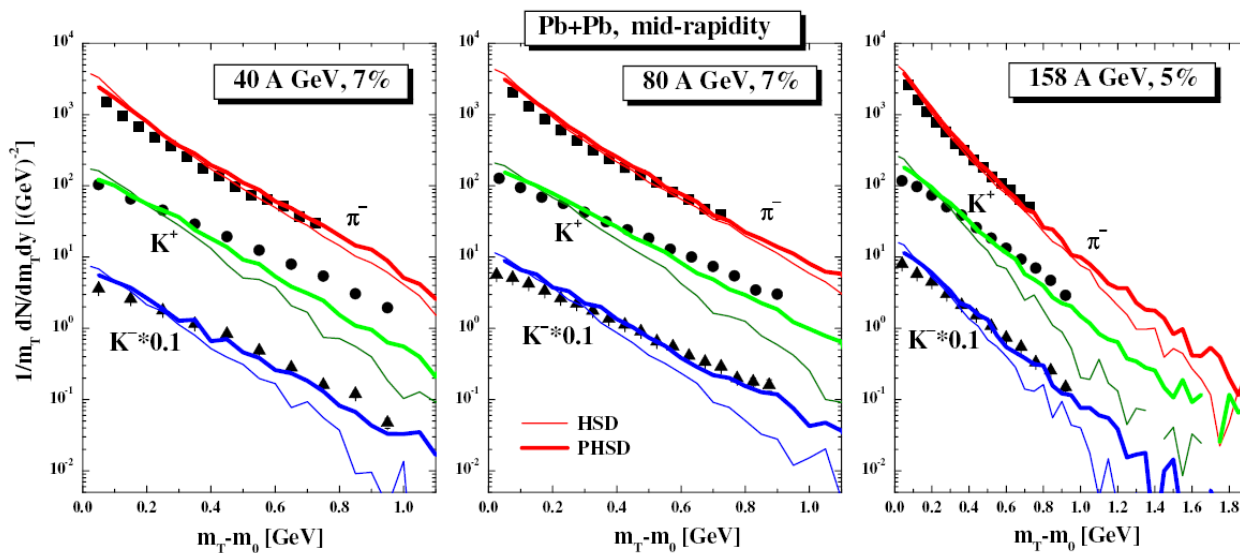
ΔV : $\Delta x = \Delta y = 1$ fm, $\Delta z = 1/\gamma$ fm

R. Marty et al., PRC 92 (2015) 015201



Central Pb + Pb at SPS energies

Central Au+Au at RHIC



- PHSD gives **harder m_T spectra** and works better than HSD (without QGP) at high energies – RHIC, SPS (and top FAIR, NICA)
- however, at **low SPS** (and low FAIR, NICA) energies the **effect of the partonic phase decreases** due to the decrease of the partonic fraction

W. Cassing & E. Bratkovskaya, NPA 831 (2009) 215

E. Bratkovskaya, W. Cassing, V. Konchakovski, O. Linnyk, NPA856 (2011) 162

Anisotropy coefficients v_n

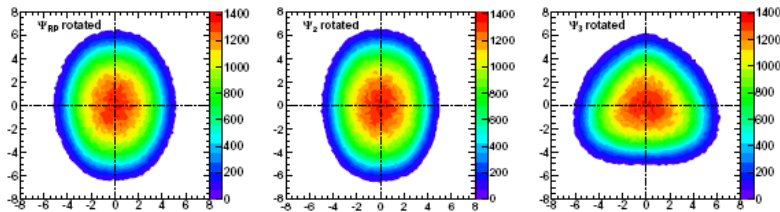
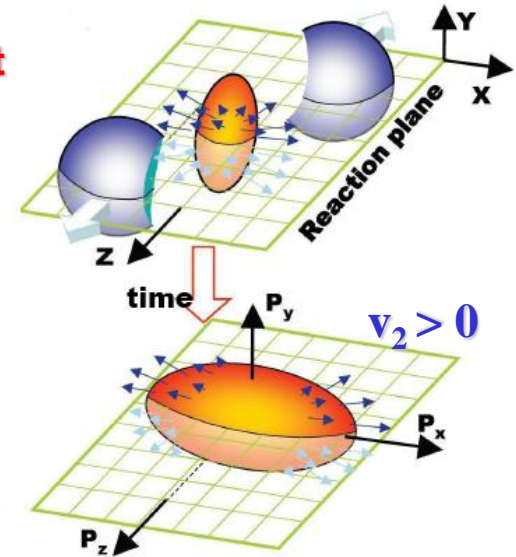
Non central Au+Au collisions :

□ interaction between constituents leads to a **pressure gradient**
 → spatial asymmetry is converted to an asymmetry in momentum space → **collective flow**

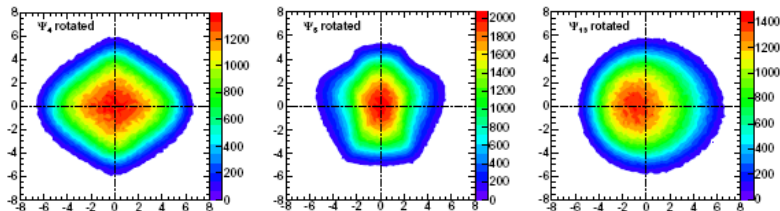
$$\frac{dN}{d\varphi} \propto \left(1 + 2 \sum_{n=1}^{+\infty} v_n \cos[n(\varphi - \psi_n)] \right)$$

$$v_n = \left\langle \cos n(\varphi - \psi_n) \right\rangle, \quad n = 1, 2, 3, \dots$$

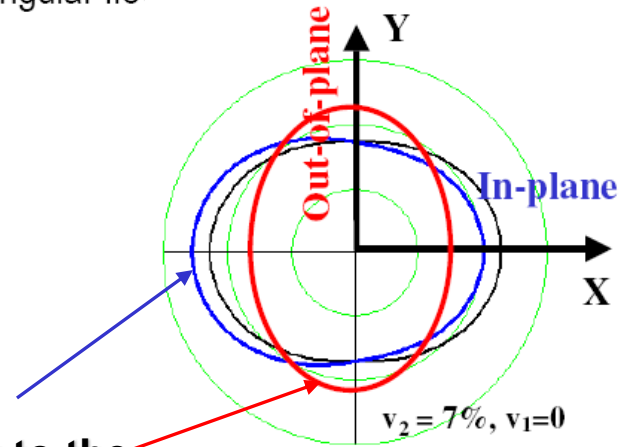
$$v_1 = \left\langle \frac{p_x}{p_T} \right\rangle, \quad v_2 = \left\langle \frac{p_x^2 - p_y^2}{p_x^2 + p_y^2} \right\rangle$$



from S. A. Voloshin, arXiv:1111.7241



v_1 : directed flow
 v_2 : elliptic flow
 v_3 : triangular flow



$v_2 > 0$ indicates **in-plane** emission of particles

$v_2 < 0$ corresponds to a **squeeze-out** perpendicular to the reaction plane (**out-of-plane** emission)

Hydrodynamic models: elliptic flow v_2

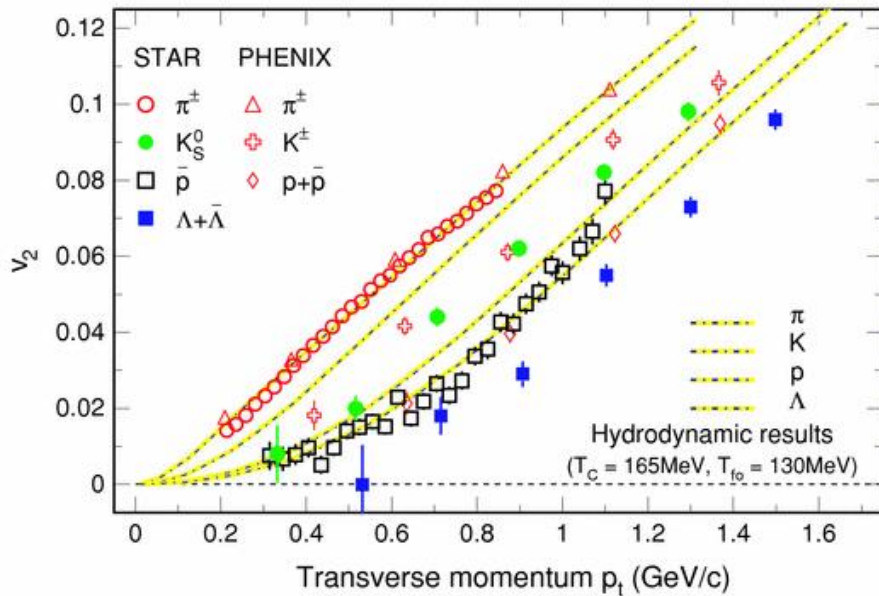
Comparison between hydro simulations and experimental data for the elliptic flow

Ideal hydrodynamic

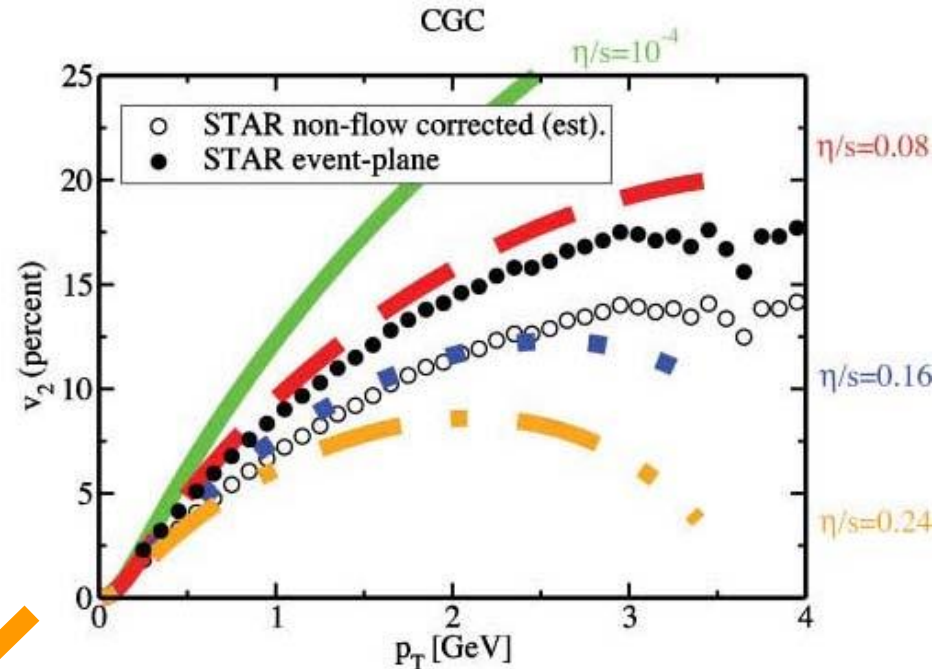


Viscous hydrodynamics

$\sqrt{s}_{NN} = 200\text{GeV } ^{197}\text{Au} + ^{197}\text{Au}$ at RHIC



Heinz:2001



Luzum,Romatschke:2008

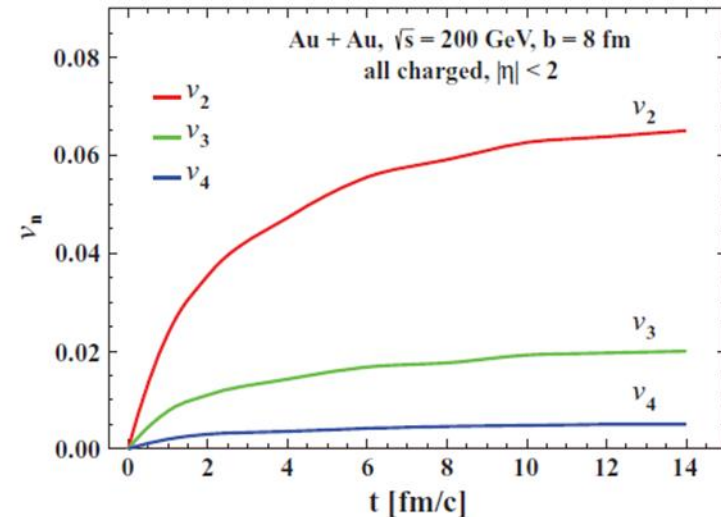
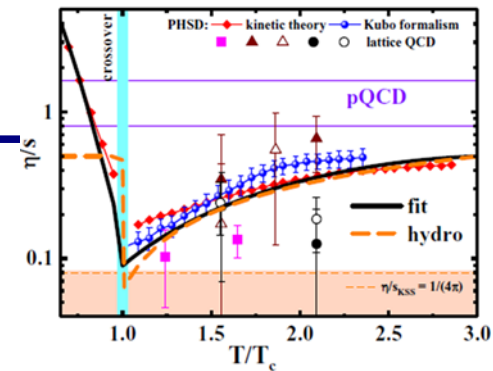
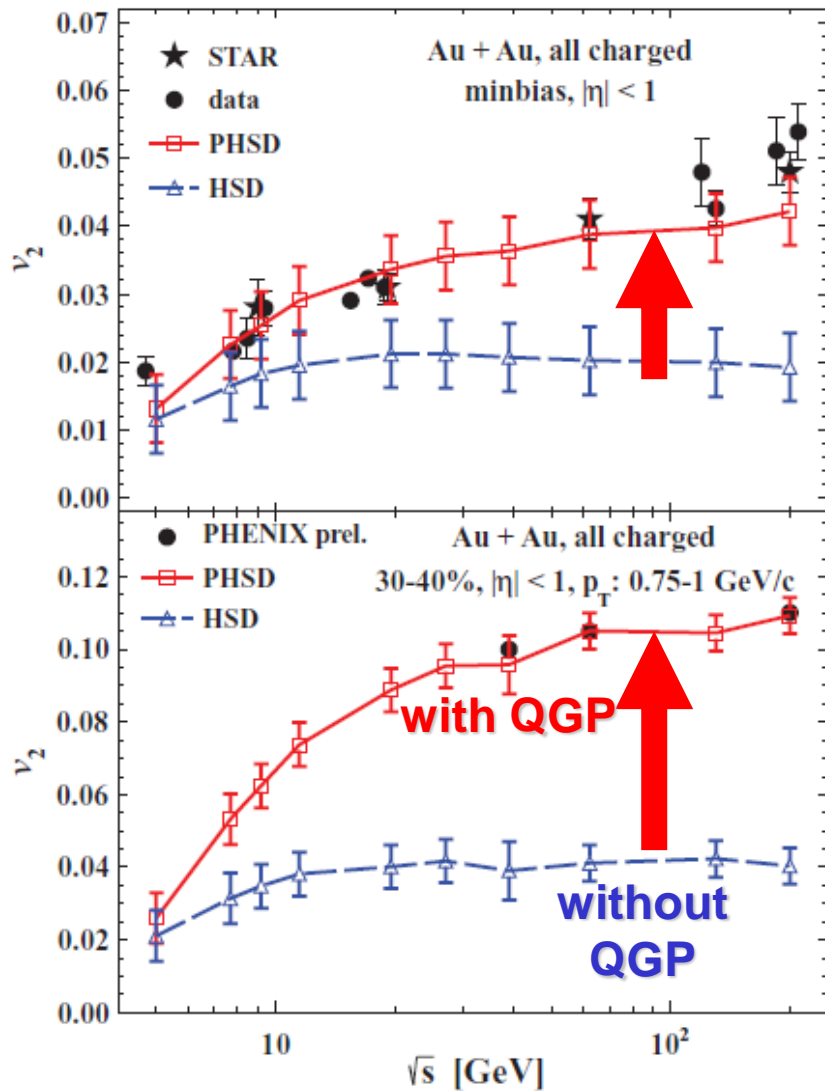
Ideal hydro: reproduces exp. data at low p_T , overestimates v_2 at $p_T > 1.2$ GeV/c

→ Viscosity of QGP has to be accounted for → viscous hydro

Elliptic flow v_2 is sensitive to η/s



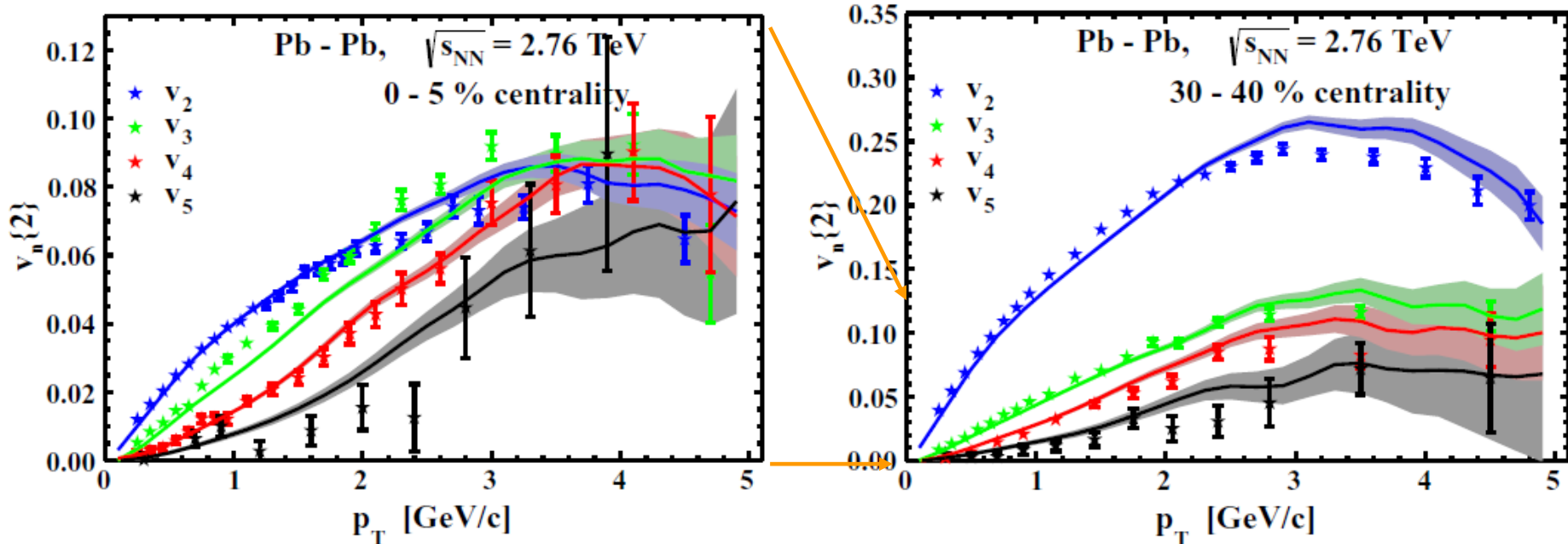
Transport model PHSD: elliptic flow v_2



- v_2 in PHSD is larger than in HSD due to the repulsive scalar mean-field potential $U_s(\rho)$ for partons
- v_2 grows with bombarding energy due to the increase of the parton fraction



V_n ($n=2,3,4,5$) of charged particles from PHSD at LHC

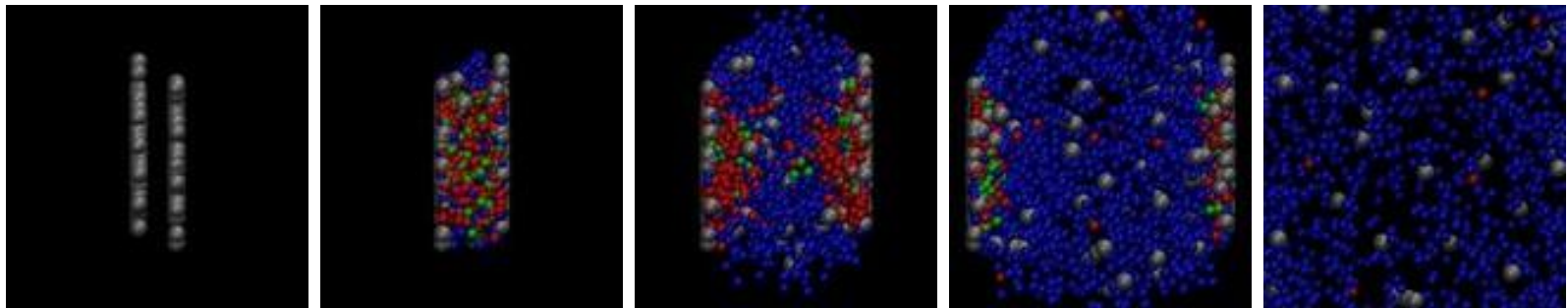


- PHSD: increase of v_n ($n=2,3,4,5$) with p_T
- v_2 increases with decreasing centrality
- v_n ($n=3,4,5$) show weak centrality dependence

symbols – ALICE
PRL 107 (2011) 032301
lines – PHSD (e-by-e)

v_n ($n=3,4,5$) develops by interactions in the QGP and in the final hadronic phase

Traces of the QGP at finite μ_q in
observables
in high energy heavy-ion collisions



Extraction of (T, μ_B) in PHSD

□ For each cell in PHSD :

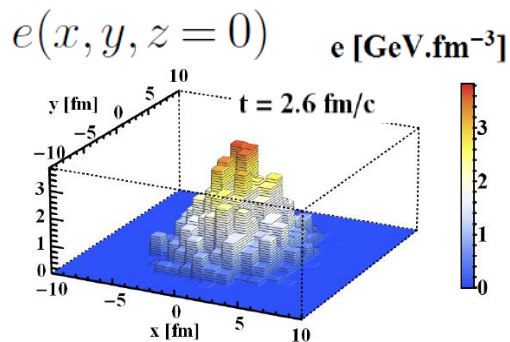
In order to extract (T, μ_B) use **IQCD** relations (up to 4th order) - **Taylor series** :

$$(1) \quad \text{IQCD} \quad \left\{ \begin{array}{l} \frac{n_B}{T^3} \approx \chi_2^B(T) \left(\frac{\mu_B}{T} \right) + \dots \\ \Delta\epsilon/T^4 \approx \frac{1}{2} \left(T \frac{\partial \chi_2^B(T)}{\partial T} + 3\chi_2^B(T) \right) \left(\frac{\mu_B}{T} \right)^2 + \dots \end{array} \right.$$

* Use baryon number susceptibilities χ_n from IQCD

➔ obtain (T, μ_B) by solving the system of coupled equations using ϵ^{PHSD} and n_B^{PHSD}

* Done by the Newton-Raphson method



Au+Au, 200 GeV, b=6 fm

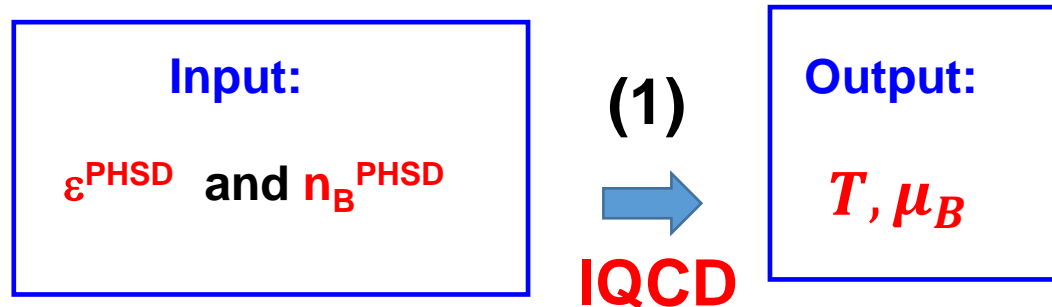


Illustration for a HIC ($\sqrt{s_{NN}} = 19.6 \text{ GeV}$)

Au + Au $\sqrt{s_{NN}} = 19.6 \text{ GeV} - b = 2 \text{ fm} - \text{Section view}$

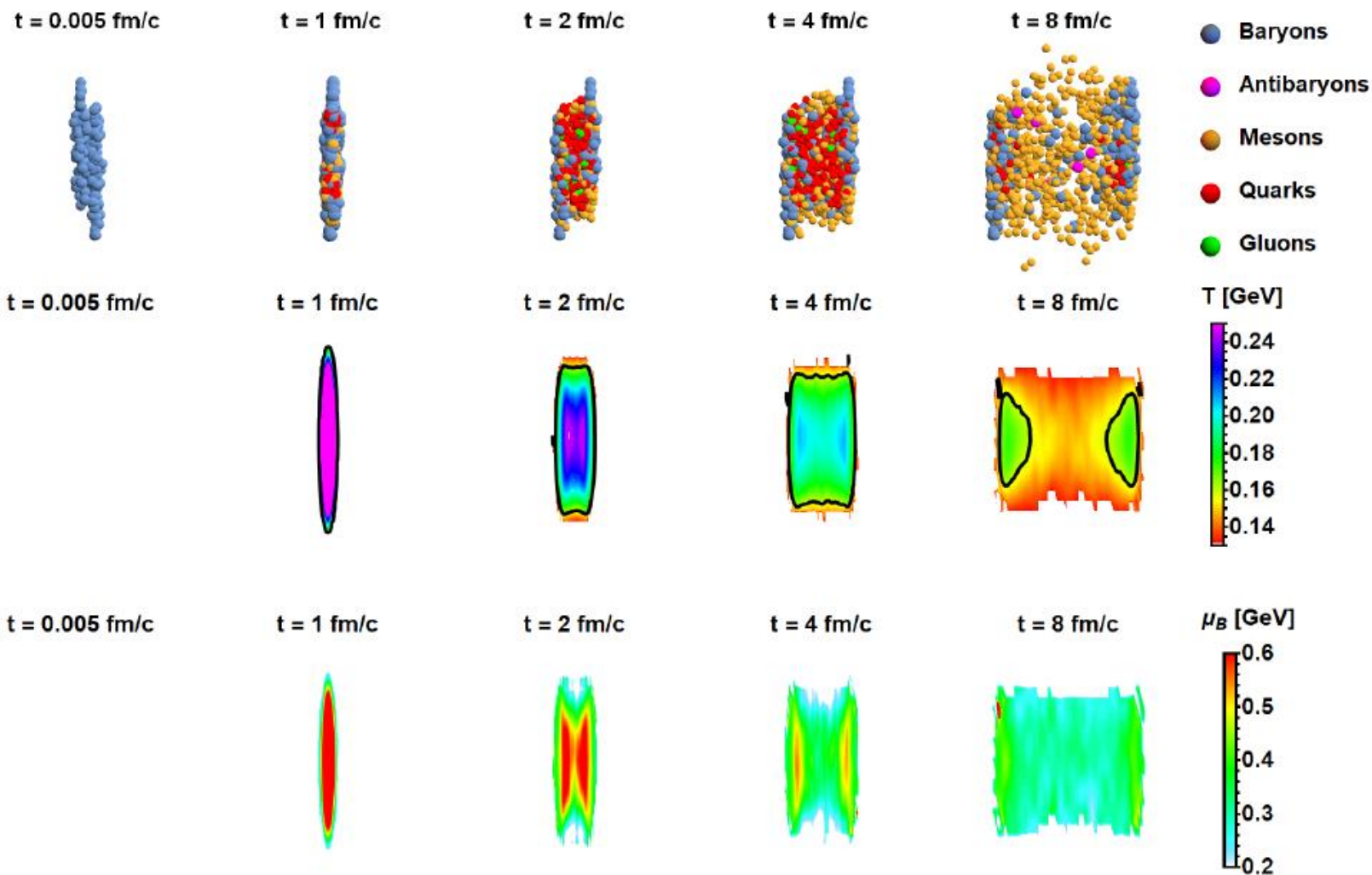
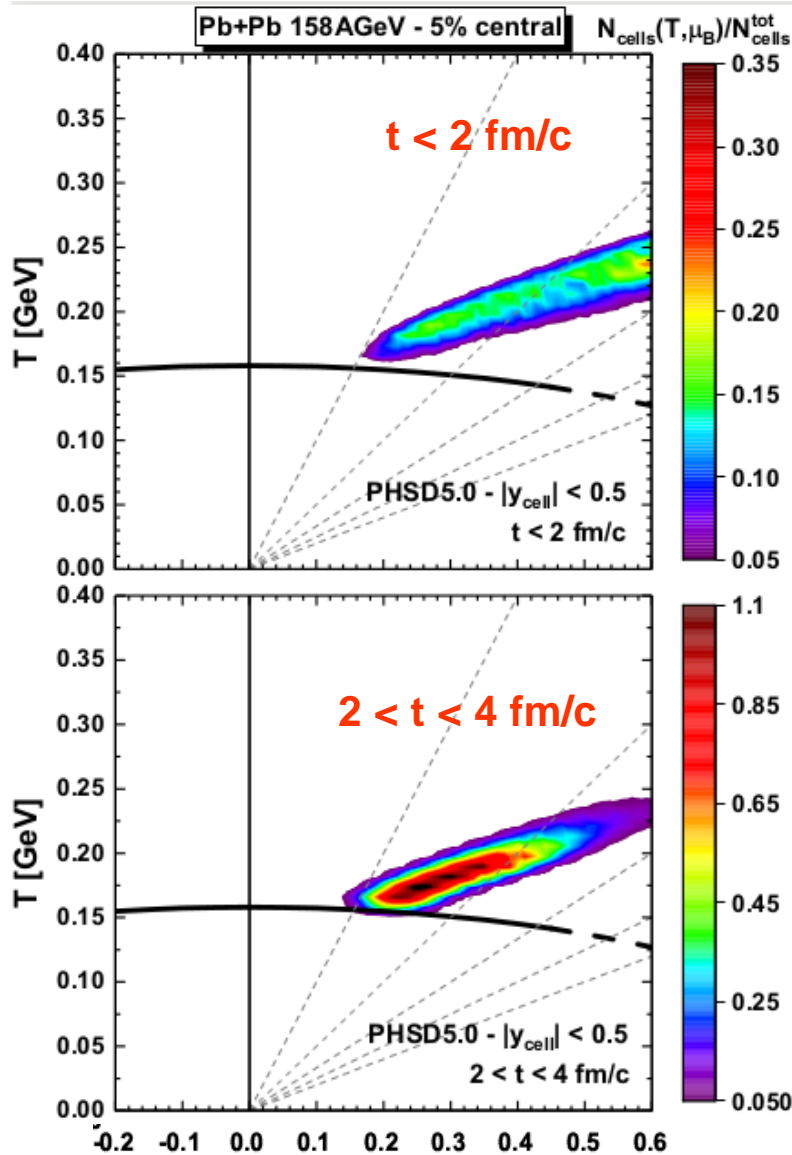
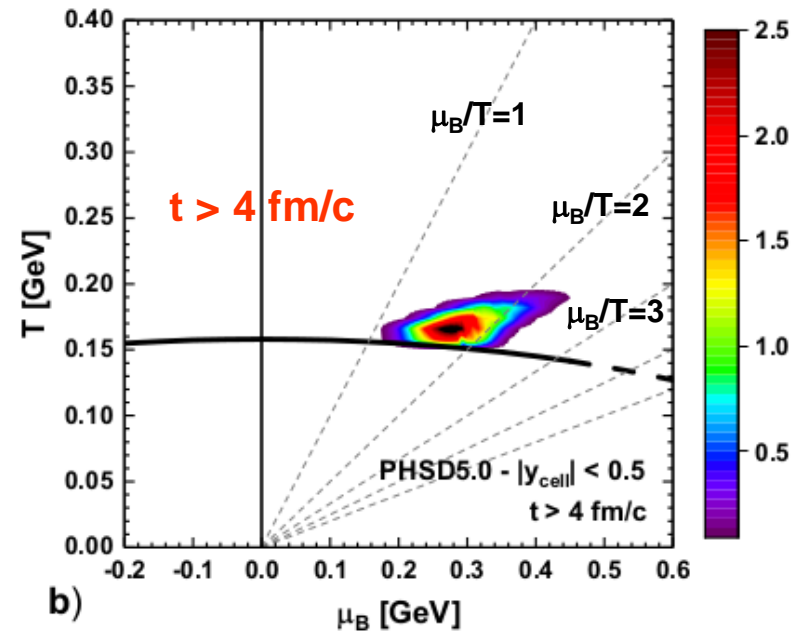


Illustration for a HIC ($\sqrt{s_{NN}} = 17$ GeV)



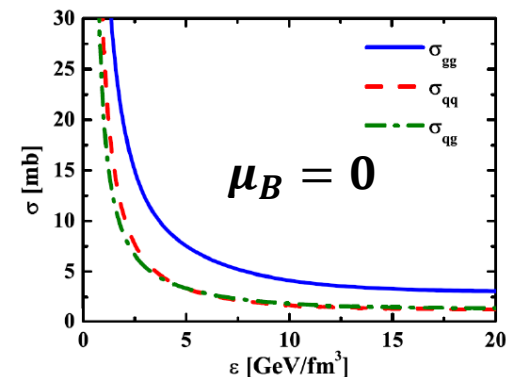
Distribution of cells ($|y_{\text{cell}}| < 0.5$) with $T > T_C$



➤ Comparison between three different results:

1) PHSD 4.0 : only $\sigma(T)$ and $\rho(T)$

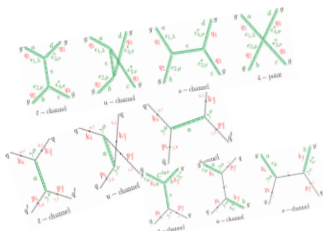
$\sigma(T)$ – parton interaction cross sections
 $\rho(T)$ – spectral function of partons
 → (masses and widths)



2) PHSD 5.0 : with $\sigma(\sqrt{s}, m_1, m_2, T, \underline{\mu_B = 0})$ and $\rho(T, \mu_B = 0)$

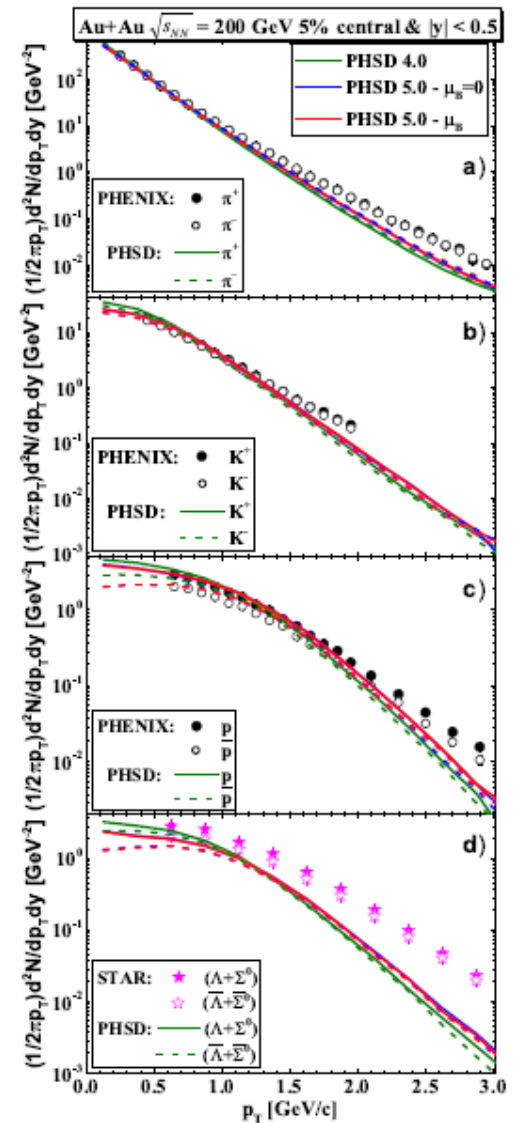
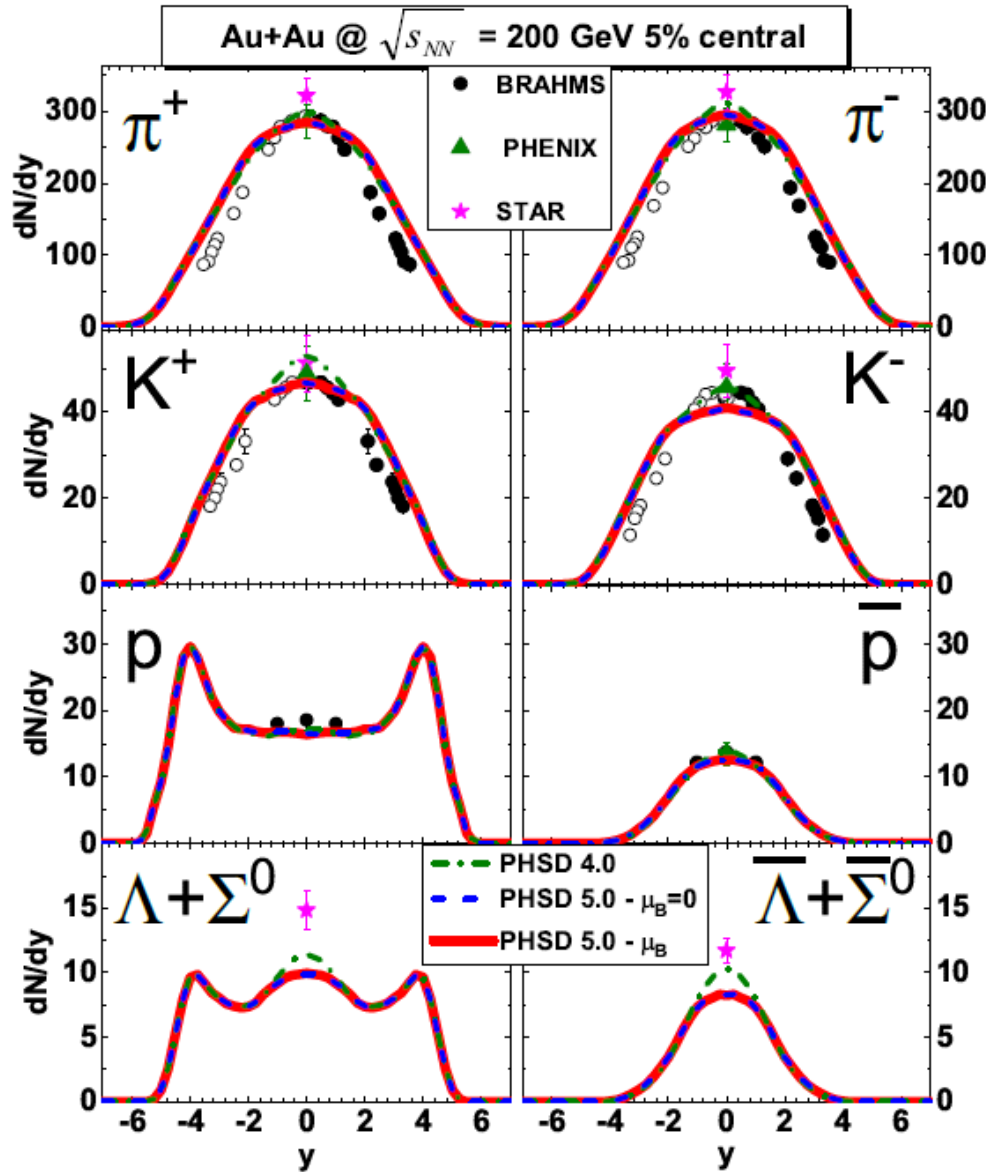
In v.5.0: + angular dependence of diff. partonic cross sections

3) PHSD 5.0 : with $\sigma(\sqrt{s}, m_1, m_2, T, \mu_B)$ and $\rho(T, \mu_B)$





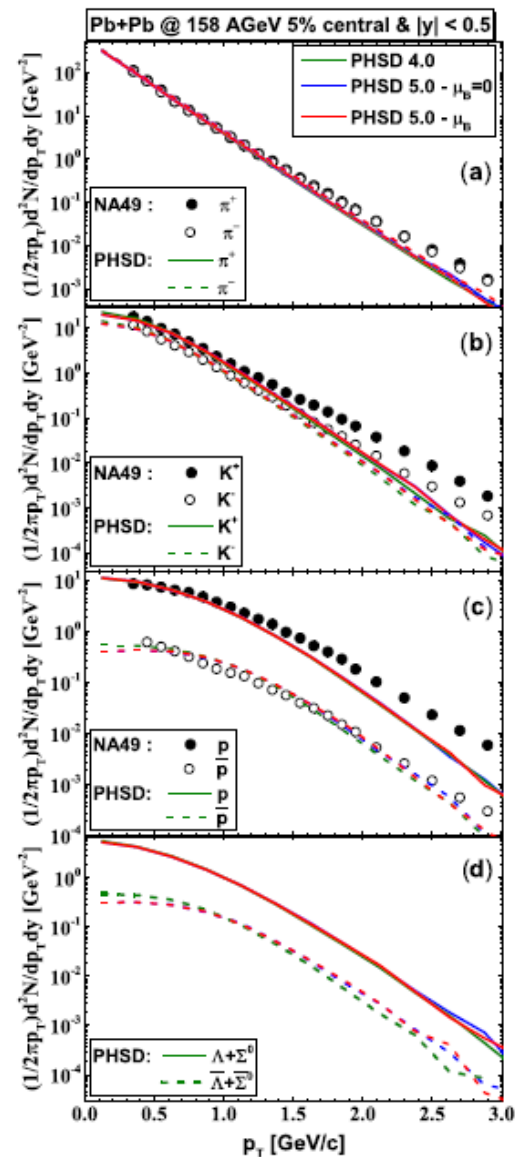
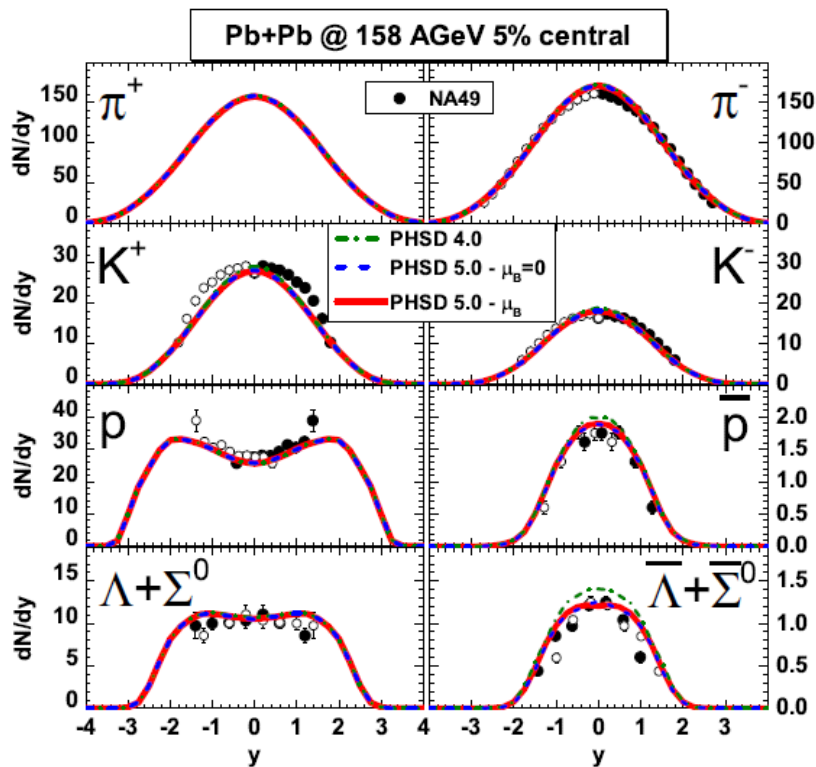
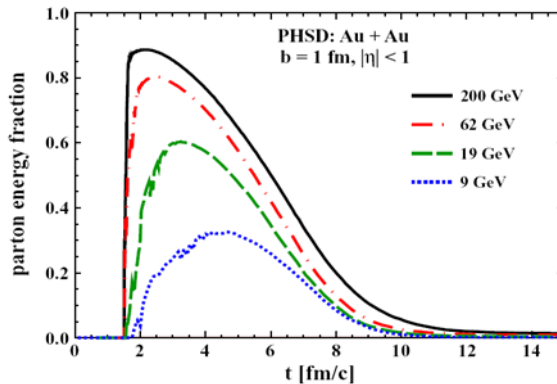
Results for HICs ($\sqrt{s_{NN}} = 200$ GeV)





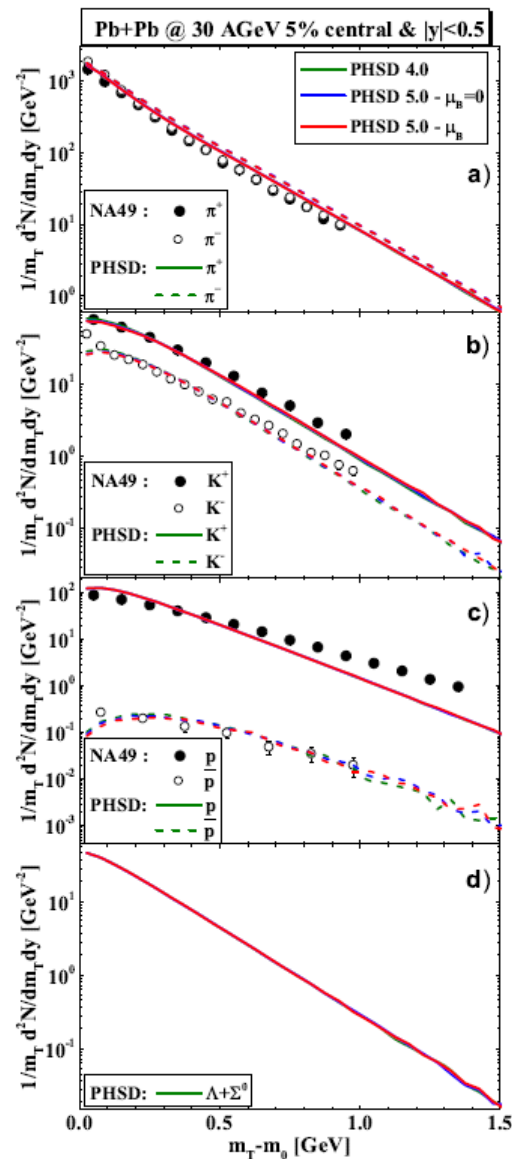
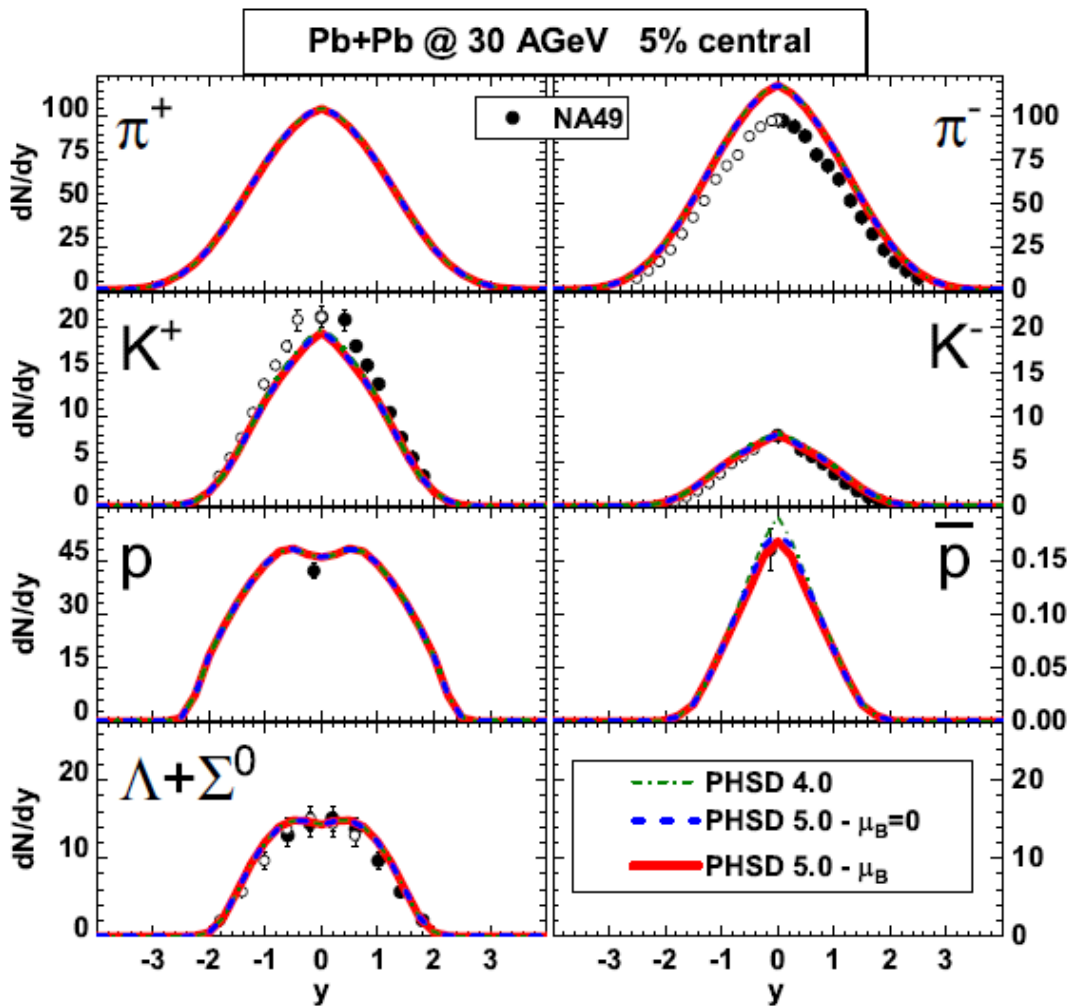
Results for HICs ($\sqrt{s_{NN}} = 17$ GeV)

High- μ_B regions are probed at **low $\sqrt{s_{NN}}$ or high rapidity regions**
 But, **QGP fraction is small at low $\sqrt{s_{NN}}$**





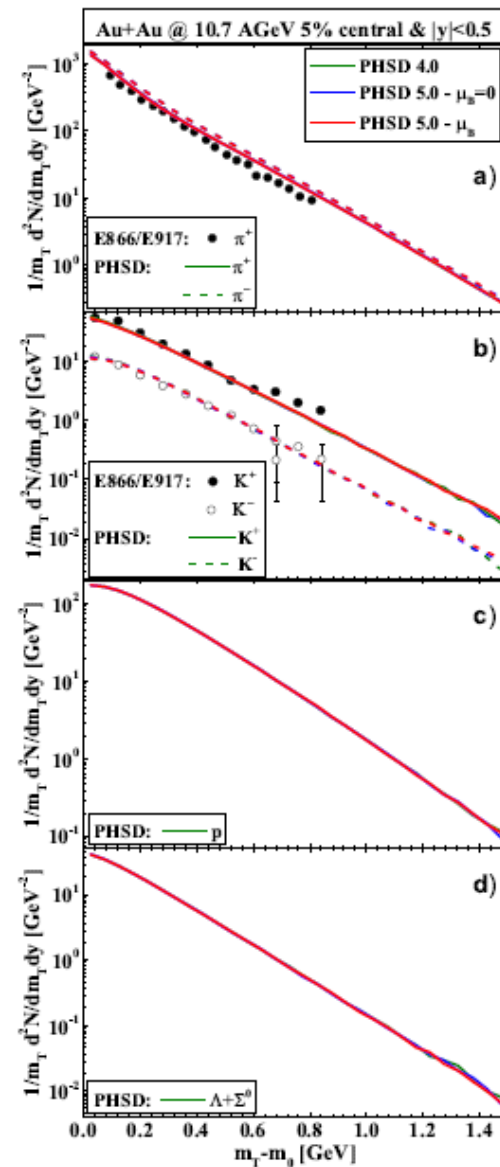
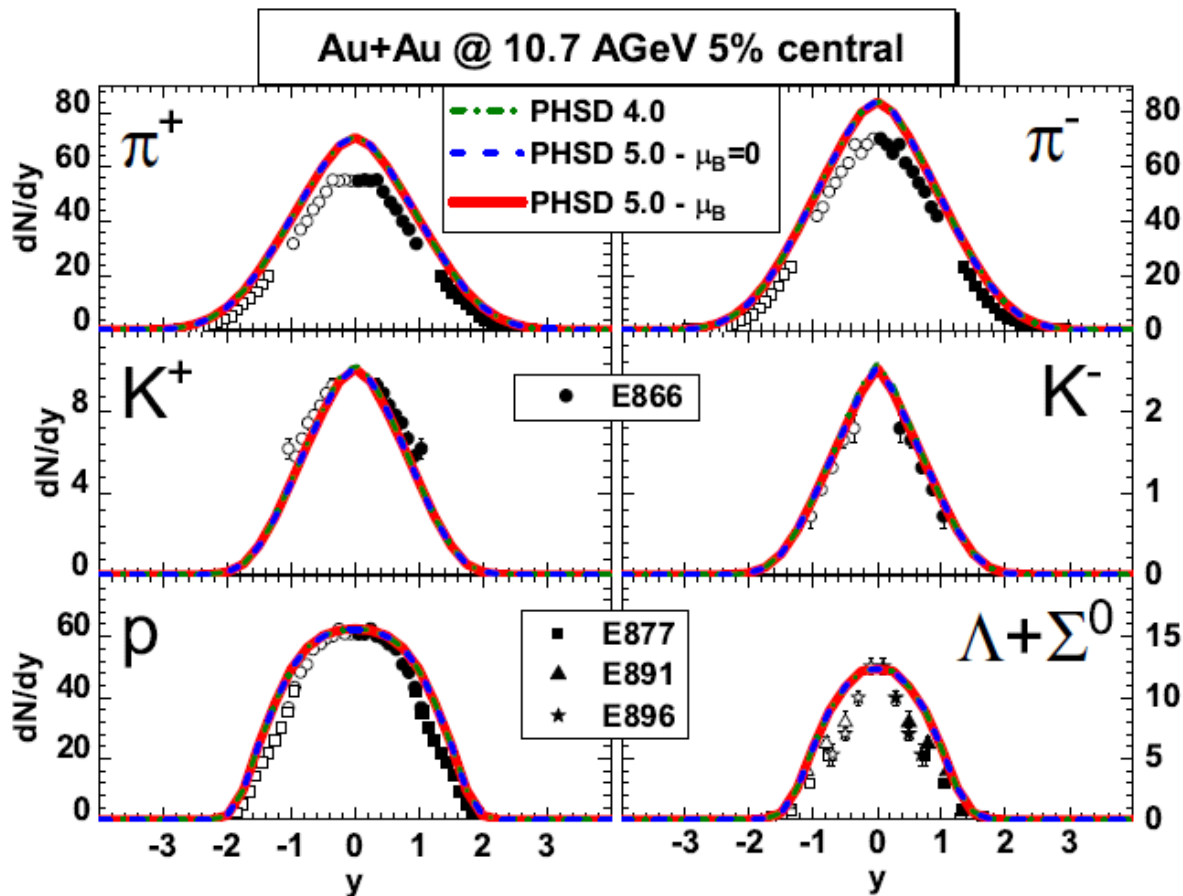
Results for HICs ($\sqrt{s_{NN}} = 7.6 \text{ GeV}$)



➤ very **weak dependence** of 'bulk' observables on μ_B

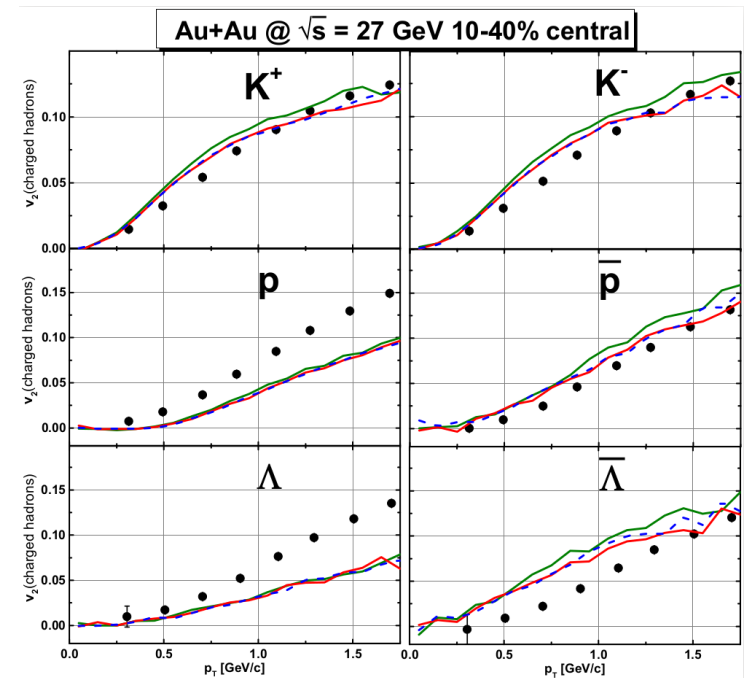
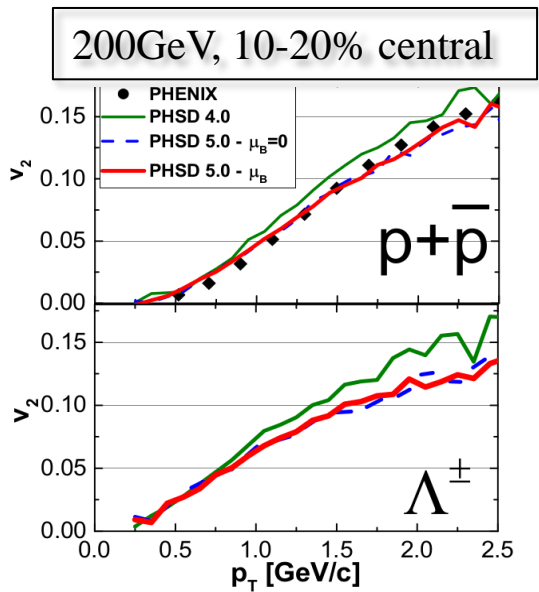
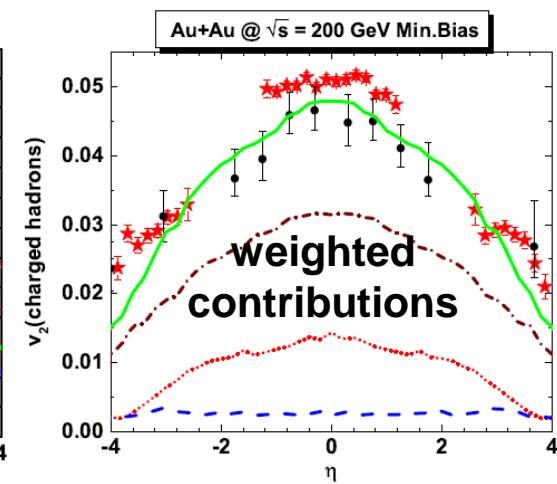
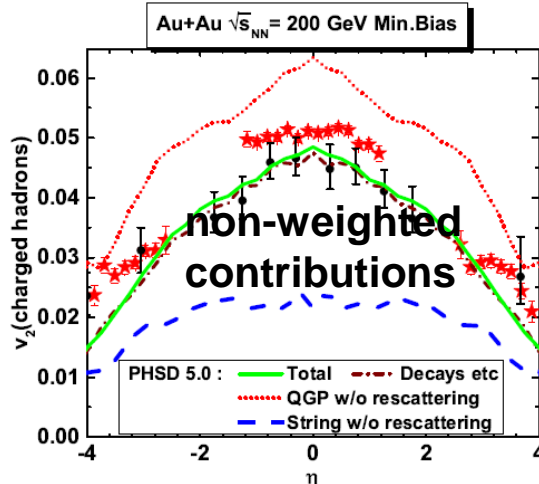
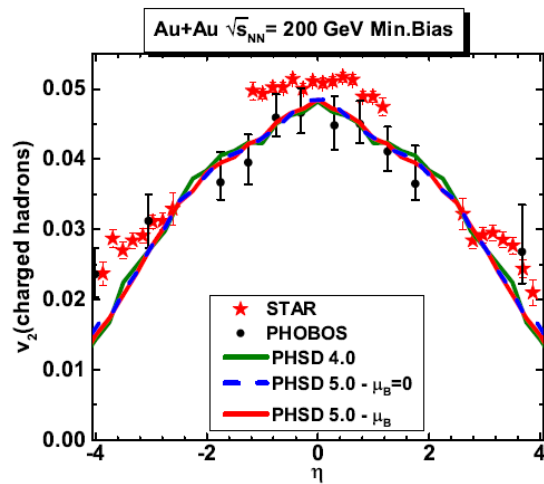


Results for HICs ($\sqrt{s_{NN}} = 4.86$ GeV)



➤ very **weak dependence** of 'bulk' observables on μ_B

Elliptic flow v_2 ($\sqrt{s_{NN}} = 200 \text{ GeV vs } 27 \text{ GeV}$)

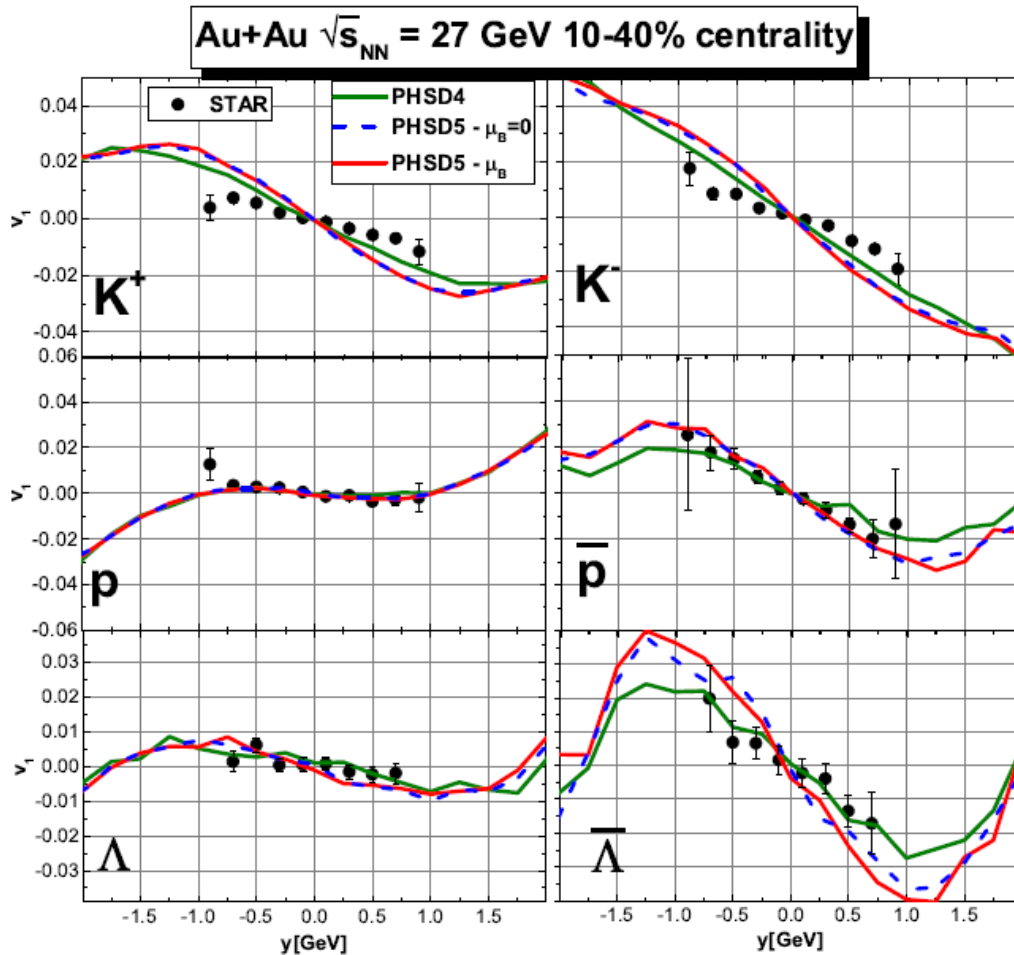


➔ Small effect of μ_B dependence on v_2



Results for v_1 for HICs ($\sqrt{s_{NN}} = 27$ GeV)

v_1



Messages from v_1, v_2 analysis:

- weak dependence of v_1, v_2 on μ_B
- small influence on v_1, v_2 of explicit \sqrt{s} -dependence of total partonic cross sections σ + angular dependence of $d\sigma/d\cos\theta$ due to the relatively small QGP volume
- strong flavor dependence of v_1, v_2

O. Soloveva et al., arXiv:2001.07951, MDPI Particles 2020, 3, 178



Messages from studies of QGP at T, μ_B

- ❑ (T, μ_B) -dependent **partonic** cross sections and masses/widths of quarks and gluons have been implemented in PHSD
- ❑ **High- μ_B** region is probed at **low bombarding energies** or high rapidity regions
- ❑ But, **QGP fraction is small at low bombarding energies**:
 - ➔ no effects of (T, μ_B) -dependent partonic cross sections and masses/widths seen in '**bulk**' **observables** – dN/dy , p_T -spectra
- ❑ Flow harmonics v_1, v_2 show :
visible sensitivity to the explicit \sqrt{s} -**dependence** of total partonic cross sections σ + **angular dependence** of $d\sigma/d\cos\theta$, however, **weak** dependence on μ_B
- ❑ **Outlook**:
 - More precise EoS at large μ_B
 - Possible 1st order phase transition at even larger μ_B ?!

High- μ_B region of QCD phase diagram ➔ **challenge for FAIR, NICA, BES RHIC**

Thanks to:

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Catania University:
Vincenzo Greco



PHSD home page:
<http://theory.gsi.de/~ebratkov/phsd-project/PHSD/index1.html>

